EPSB Mission Statement

The Education Professional Standards Board, in full collaboration and cooperation with its education partners, promotes high levels of student achievement by establishing and enforcing rigorous professional standards for preparation, certification, and responsible and ethical behavior of all professional educators in Kentucky.

EPSB Meeting Agenda

EPSB Offices
100 Airport Road, 3rd Floor, Frankfort, KY 40601
June 19, 2017

Monday, June 19, 2017

New Board Member Briefing
8:30 AM EDT Executive Director Adams’ Office

EPSB Waiver Committee
8:30 AM EDT EPSB Conference Room B

Regular Scheduled EPSB Meeting
10:00 AM EDT EPSB Conference Room A

Call to Order
Swearing In of New EPSB Members
Roll Call
Approval of June 19, 2017, EPSB Meeting Agenda
Open Speak

Approval of Consent Items
A. Approval of April 10, 2017, EPSB Meeting Minutes (Pages 1-36)
B. Off-Site Campus Request, University of Pikeville (Dr. Ben Boggs) (Pages 37-38)
C. New Teacher Institute Approval, Kentucky Department of Education (Dr. Boggs) (Pages 39-42)
D. Instructional Computer Technology P-12 Endorsement, Eastern Kentucky University (Dr. Boggs) (Pages 43-44)
E. Elementary (P-5) Math Specialist Endorsement, Eastern Kentucky University (Dr. Boggs) (Pages 45-46)

Report of the Executive Director
A. Report from the Kentucky Department of Education
B. Report from the Council on Postsecondary Education
C. Strategic Plan Update (written only)
D. Committee for Mathematics Achievement (CMA) Presentation (Mr. Ryan Davis, CMA Chair, Math Teacher Central High School, JCPS)
E. Teacher Supply and Demand Study (KCEWS)
F. Teacher Leader Masters Implementation Update (Dr. Boggs)
G. Office of Attorney General’s Opinion Regarding Compensation for Student Teaching and Clinical Hours
H. Alternate Route to Certification (KRS 161.048) Survey Results (Mr. Terry Hibpshman)
I. Other Updates

Report of the Chair
A. Appointment to the Nominating Committee for Chair and Vice-Chair
B. Appointment to the Model Code of Ethics for Educators Review Committee
C. Appointment to the Waiver Committee

Information/Discussion Items
A. New Strategic Plan and Board Goals (Mr. John Fields) (Pages 47-48)
B. Recommendations from the Ad Hoc Committee to Review Expanding Certificate Options for Rank II (Mr. Fields) (Pages 49-50)
C. Policy Governing Proceedings Relating to Action on an Application for Certification or an Educator’s Certification (Ms. Cassie Trueblood) (Pages 51-54)
D. 16 KAR 5:030. Proficiency Evaluation, Amendment, Notice of Intent (Dr. Boggs) (Pages 55-68)
E. 16 KAR 2:010. Kentucky Teaching Certificates, Amendment, Notice of Intent (Ms. Brockman) (Pages 69-70)

Action Items
A. Statements of Consideration for 16 KAR 2:020. Occupation-Based Career and Technical Education Certification, Amendment, Final Action (Ms. Lisa Lang; Ms. Brockman) (Pages 71-72)
B. Statements of Consideration for 16 KAR 8:040. Ranking of Occupation-Based Career and Technical Education Teachers, Amendment, Final Action (Ms. Lang; Ms. Brockman) (Pages 73-74)
C. Statements of Consideration for 16 KAR 5:020. Standards for Admission to Educator Preparation, Amendment, Final Action (Ms. Lang; Dr. Boggs) (Pages 75-76)
D. 16 KAR 1:015. Teacher Leader Standards, Amendment, Final Action (Dr. Boggs) (Pages 77-88)
E. **Occupation-Based Career and Technical Education Assessment Requirements** (Dr. Boggs) *(Pages 89-90)*

**Waivers**

A. **16 KAR 2:020. Request to Waive Educational Requirements, Ron Herp** (Ms. Brockman) *(Pages 91-92)*

B. **16 KAR 8:010. Request to Waive Rank Change Requirements, Jr. Aaron Sams** (Ms. Brockman) *(Pages 93-94)*

C. **16 KAR 6:010. Request to Waive Testing Requirements, Megan Poage** (Ms. Brockman) *(Pages 95-96)*

D. **16 KAR 2:120. Request to Waive Emergency Certificate Requirements, Alex Ryan Tungate** (Ms. Brockman) *(Pages 97-98)*

E. **16 KAR 4:080. Request to Waive Out-of-State Recency Requirements, Superintendent Collins on behalf of Chanelle Collins** (Ms. Brockman) *(Pages 99-100)*

F. **16 KAR 4:080. Request to Waive Out-of-State Recency Requirements, Superintendent Collins on behalf of Bethany Goodman** (Ms. Brockman) *(Pages 101-102)*

G. **16 KAR 2:140. Request to Waive Restriction of the Total Validity Period of the Probationary Certificate for Interdisciplinary Early Childhood Education, Beverly Yancy** (Ms. Brockman) *(Pages 103-104)*

H. **16 KAR 8:010. Request to Waive Rank I Classification Requirements, Kiristen Webb** (Ms. Brockman) *(Pages 105-106)*

I. **16 KAR 3:030. Request to Waive Certification Requirements for Director of Pupil Personnel, Alvin Elsbernd** (Ms. Brockman) *(Pages 107-108)*

J. **16 KAR 5:040. Request to Waive Student Teaching Requirements, Dr. Sam Evans on behalf of Tanya Escobar** (Dr. Boggs) *(Pages 109-1112)*

K. **16 KAR 5:040. Request to Waive Student Teaching Requirements, Dr. Amy Lingo on behalf of Jared Ramirez** (Dr. Boggs) *(Pages 113-114)*

L. **16 KAR 5:040. Request to Waive Student Teaching Requirements, Dr. Amy Lingo on behalf of Katie Maurer** (Dr. Boggs) *(Pages 115-116)*

M. **16 KAR 1:010. Request to Waive Kentucky Teacher Standards, Dr. Beverly Ennis, Campbellsville University** (Dr. Boggs) *(Pages 117-118)*

N. **16 KAR 7:010. Waiver of KTIP Requirement, Kentucky Department of Education** (Dr. Boggs) *(Pages 119-120)*

**Board Comments**
Closed Session Review

Following a motion in open session pursuant to KRS 61.810 (1) (c) and (1)(j), it is anticipated that the Board will move into closed session to conduct a character and fitness review and to review potential actions relating to complaints and reports. The board will also review pending litigation.

Case Decisions

Following the closed session review, the board shall move into open session. All decisions will be made in open session.

Adjournment

Next Regular Meeting:  August 21, 2017
Agenda Book

The actions delineated below were taken in open session of the EPSB at the April 10, 2017, meeting. This information is provided in summary form; an official record of the meeting is available in the permanent records of the Education Professional Standards Board (EPSB), 100 Airport Road, 3rd Floor, Frankfort, KY 40601

Education Professional Standards Board (EPSB)
Summary Minutes of the Meeting
EPSB Offices, 100 Airport Road, 3rd Floor
Frankfort, Kentucky

Consent Item A

Call to Order
Chair David Whaley called the meeting to order at 10:00 a.m. EDT. He read the mission statement to the Board and audience.

Roll Call
The following Board members were present during the April 10, 2017, EPSB meeting: Rob Akers, Sarah Burnett, Rachel Colyer, Tolya Ellis, Ashley Fishback, Casey Gesenhues, Donna Hedgepath, David Graham, R. Daniel Morgan, Jay Morgan, William Owens, Sherry Powers, Stephen Pruitt, Sandra Ramsey, Thomas Salyer, and David Whaley. Tracy Adams and Sandra Ramsey were absent.

Approval of April 10, 2017, EPSB Meeting Agenda

Motion made by Dr. Sherry Powers, seconded by Mr. David Graham, to approve the April 10, 2017, EPSB meeting agenda and to consider Action Items E, F, and G before Action Items A, B, C, and D.

Vote: Unanimous

Open Speak
There were no requests for Open Speak.

Approval of Consent Items

2017-004

Motion made by Mr. William Owens, seconded by Ms. Sarah Burnett, to approve the following items on the consent agenda:

Approval of February 13, 2017, EPSB Meeting Minutes

Grades 8-12: Biological Science, Chemistry, English, Mathematics, and Social Studies (Initial Graduate Level - MAT traditional and Option 6), Campbellsville University

English as a Second Language P-12 Endorsement, University of the Cumberlands

Learning and Behavior Disorders P-12, University of the Cumberlands (Certification and Rank I)

Vote: Unanimous (Dr. Donna Hedgepath recused on Consent Item B)

Dr. Ben Boggs recognized representatives of Campbellsville University and University of the Cumberlands whose programs were approved.

June 19, 2017
Report of the Executive Director

Report from the Kentucky Department of Education

Commissioner Stephen Pruitt informed the group that KDE is excited about charter schools and is working on a webinar to disseminate information on HB 520, the charter school bill.

Report from the Council on Postsecondary Education

Executive Director Adams congratulated Dr. Jay Morgan on his new role as the President of Morehead State University. Dr. Morgan stated that he looked forward to continue working with the Board until June, when he will transition into his new role.

Dr. Morgan stated the CPE and the EPSB continue to work with deans across Kentucky for the Teacher Leader Master’s to become more responsive and a primary vehicle for teachers to become dual certified. He anticipated that a more formal discussion around this topic would be presented to the Board in the future.

Strategic Plan Update

A written report was provided to the Board.

Update from the Ad Hoc Committee to Review Expanding Certificate Options for Rank II

Deputy Executive Director John Fields reported that the Ad Hoc Committee to review expanding certificate options for Rank II met on March 28, 2017. The committee’s purpose is to review the current Rank II requirements and make recommendations to the Board about changes and a recommendation for a third option to receive a Rank II. The goal is to have those recommendations made at the June Board meeting.

Legislative Update

Executive Staff Advisor Lauren Graves reported that the 2017 session ended on March 30, 2017. The bills followed by EPSB (listed below) were signed by the Governor. They will be in effect approximately 90 days from the final day of the 2017 session, unless stated as Emergency, indicating its immediate effect upon the Governor’s signature.

- HB 50. Administrative regulations (signed by Governor March 21, 2017)
- HB 113. Occupational licensure for military service members and veterans (signed by Governor March 21, 2017)
- HB 312. Student financial assistance (signed by Governor March 20, 2017)
- HB 520. Charter schools (signed by Governor March 21, 2017)
- SB 117. Alternative certification (signed by Governor March 16, 2017)

Master’s Degree Discussion

Senator Mike Wilson, sponsor of SB 80, expressed his concerns regarding teachers obtaining a Rank II through a master’s degree and the costs and time-constraints they encounter.

Executive Director Adams also expressed the concern of two school superintendents located in school districts that border other states. These superintendents have difficulty recruiting teachers because the border states do not require a master’s degree. Mr. Adams said the Board needs to
be proactive in finding a solution that makes the teaching profession attractive but also holds high standards. The Ad Hoc Committee to Review Expanding Certificate Options for Rank II will address these concerns and bring back recommendations for the Board to consider.

**Kentucky Educator Preparation Accountability System Update**

An update was provided to the Board on the Kentucky Educator Program Review System (KEPRS). Mr. Rich Miller presented the system to the Board and walked through the steps of the system, explaining the process in which programs are submitted by educator preparation providers (EPPs). It is anticipated that the system will be ready for production release in June 2017.

**Local Educator Assignment Data (LEAD) Report**

An update was provided on the Local Educator Assignment Data (LEAD) report collected by the Division of Certification. The 2017 LEAD report results show 40,881 teachers placed in Kentucky schools with 99.8% reporting accuracy indicating teachers were placed in classrooms according to their certification area(s).

**Teach for America Presentation**

Mr. Josh Sparks, Executive Director of Teach for America (TFA), presented on the success TFA has had in Kentucky and the process in which teachers are selected for the program.

**Other Updates**

Mr. Adams informed the Board that the agency has submitted a reorganization plan that will rename some divisions. Cassie Trueblood is the new Director of Educator Ethics, Ben Boggs is the Director of the Division of Educator Preparation, Assessment, and Internship, and Donna Brockman is the Director of the Division of Certification. John Fields is now the Deputy Executive Director.

The proficiency regulation will be brought to the Board in June as an information item.

EPSB staff have been working with the Kentucky Center for Education and Workforce Statistics on a teacher supply and demand study at the district level. The study will be presented at the June meeting.

As a result of HB150 that passed during the last legislative session, EPSB staff will ask for Board direction in June regarding biblical studies in schools.

EPSB staff recently received an Attorney General opinion regarding student teaching. Staff will spend more time reviewing the opinion and bring to the Board in June.

**Report of the Chair**

**Appointment to the Evaluation of the Executive Director Committee**

Chair Whaley appointed Rob Akers as chair of the Evaluation of the Executive Director Committee.

**Appointments to the Ad Hoc Committee to Review Expanding Certificate Options for Rank II**

Dr. Whaley appointed Dr. Yolanda Carter to the Ad Hoc Committee to Review Expanding Certificate Options for Rank II.
Information/Discussion Items

New Regulation: Teacher Leader Standards, Notice of Intent

During the October 2016 meeting, the Board accepted the recommendations from the Teacher Leader Master’s Review Committee. The committee recommended that the Teacher Leader programs reflect the Teacher Leader Model Standards, which align with InTASC Standards. These standards identify the knowledge, skills, and competencies that teachers need to assume leadership roles in their schools, districts, and the profession.

16 KAR 1:015 establishes the standards required for certified teachers to obtain or maintain certification as a teacher leader. The proposed standards are aligned with the Council for the Accreditation of Education Preparation (CAEP) Standards for Advanced Programs.

CTE Cut Scores

EPSB shall require the specialty assessments and passing scores for new applicants and educators seeking additional certification under 16 KAR 6:020, which was amended and approved by the Board on February 13, 2017. 16 KAR 6:020 no longer specifically identifies every specialty assessment in the occupational area for which teacher certification is requested. Given the fast pace of ever-changing industry, it is more efficient for the EPSB and the KDE to periodically review and update, as necessary, the specialty assessments and the corresponding scores necessary for teacher certification. The information will be posted on the EPSB website through an order. At the next meeting, the Board will be presented with an order to approve and post on the EPSB website.

Action Items

16 KAR 2:020. Occupation-Based Career and Technical Education Certification, Amendment, Final Action

After an explanation of proposed amendments to 16 KAR 2:020, a lengthy discussion among the Board and various stakeholders ensued.

Individuals that spoke in favor of the regulation amendment included the following: KDE Commissioner Stephen Pruitt, Education and Workforce Development Secretary Hal Heiner, Bullitt County Superintendent Keith Davis, and KDE Division of Technical Schools and Federal Programs Director David Horseman.

Individuals that spoke in opposition to the regulation amendment included the following: Dr. Frank Kincaid, Field Based Teacher Educator and Instructor at Eastern Kentucky University; Dr. Kemaly Parr, Director of Career and Technology Education in the College of Education in Health and Human Services at Murray State University; and Dr. Brent Askin, Professor in Department of Architectural and Manufacturing Sciences Environmental Science and Technology at Western Kentucky University.

Motion made by Mr. William Owens, seconded by Ms. Ashley Fishback, to postpone the final action until the June meeting so that groups can work together and give more information to the Board. The motion was withdrawn.

2017-005
Motion made by Ms. Sarah Burnett, seconded by Mr. Daniel Morgan, to approve the proposed amendments to 16 KAR 2:020. Motion made by Dr. Sherry Powers, seconded by Ms. Fishback, to amend the motion to include that collaboration with other stakeholders was expected. The amended motion was withdrawn as this was already an expectation.

Vote: Yes (Dr. Jay Morgan dissented)

16 KAR 5:020. Standards for Admission to Educator Preparation, Amendment, Final Action 2017-006

Motion made by Dr. Donna Hedgepath, seconded by Mr. David Graham, to approve the amendments to 16 KAR 5:020.

Vote: Unanimous

16 KAR 8:040. Ranking of Occupation-Based Career and Technical Education Teachers, Amendment, Final Action 2017-007

Motion made by Mr. Graham, seconded by Ms. Tolya Ellis, to approve the proposed amendments to 16 KAR 8:040.

Vote: Unanimous

2017-2018 KTIP Funding 2017-008

Motion made by Ms. Burnett, seconded by Dr. Hedgepath, to approve the recommended KTIP allocations and allow EPSB staff to make modifications to the maximum number of interns should additional funds become available.

Vote: Unanimous

Board Committee Composition Procedure 2017-009

Motion made by Mr. Graham, seconded by Mr. Thomas Salyer, to approve the Board Committee Composition Procedure.

Vote: Unanimous

Waiver Request Procedure Amendment 2017-010

Motion made by Mr. Owens, seconded by Mr. Rob Akers, to approve the Waiver Request Procedure Amendment.

Vote: Unanimous

Procedures Relating to Board Action Against an Educator’s Certificate 2017-011

Motion made by Mr. Graham, seconded by Mr. Daniel Morgan, to modify the revisions to include the additional suggested modifications as follows:
Agenda Book

Section 2(I)(A), Page 78: Change citation from “KRS 161.120(2)a)” to KRS 161.120(2)”

Section 3(II)(A), Page 81: Replace “misconduct” with “violation”

Section 5(VI)(B)(2)(a), Page 91: Delete “to”

Section 5(II), Page 91: Change the citation from “KRS 13B.110(7)” to “KRS 13B110(4)”

Section 5(VII)(D)(2), Page 92: Replace “fact finding” with “finding of fact”

Section 6(VIII), Page 94: Replace “of” with “or”

Section 8(I)(D)(1)(a), Page 97: Edit to state “Upon expiration of the period of suspension, the EPSB staff will reinstate the certificate and remove the reference to the suspension from the EPSB website.”

Section 8(II)(D), Page 99: Insert “illegal” before “drug use”

Vote: Unanimous

Waivers

16 KAR 2:010. Request for Extension of Teaching Certification, Andy Price

2017-012

Motion made by Dr. Powers, seconded by Ms. Fishback, to approve the waiver request for Andy Price for one year.

Vote: Unanimous

16 KAR 3.010. Request to Waive Requirements Pertaining to Administrative Experience Requirements, John Elliott, Certification for School Superintendent

2017-013

Motion made by Dr. Powers, seconded by Mr. Morgan, to accept the waiver committee’s recommendation to deny the waiver request.

Vote: Unanimous

16 KAR 2.010. Request to Waive Certification Requirements, Marlin Alexander Gregg

2017-014

Motion made by Ms. Rachel Colyer, seconded by Ms. Fishback to accept the waiver committee’s recommendation to deny the waiver request.

Vote: Unanimous

16 KAR 5:040. Request to Waive Student Teaching Requirements, Dr. Sam Evans on behalf of Sydney Meaux

2017-015

Motion made by Mr. Owens, seconded by Ms. Burnett, to accept the waiver committee’s recommendation to approve the waiver request.

Vote: Unanimous
Agenda Book

16 KAR 5:040. Request to Waive Student Teaching Requirements, Dr. Sam Evans on behalf of Hannah Shirley

2017-016

Motion made by Ms. Fishback, seconded by Mr. Graham, to accept the waiver committee’s recommendation to approve the waiver request.

Vote: Unanimous

Alternative Route to Certification Applications

Edith Ballestero, Spanish, Grades Primary -12

2017-017

Motion made by Mr. Salyer, seconded by Ms. Colyer, to accept the waiver committee’s recommendation to approve the alternative route to certification application. This request is for a one-year provisional certificate.

Vote: Unanimous

DISCIPLINARY MATTERS:
MINUTES OF CASE REVIEW

April 10, 2017

Motion made by Ms. Casey Gesenhues seconded by Mr. Thomas Salyer, to go into closed session to conduct a character and fitness review and to review potential actions relating to complaints and reports in accordance with KRS 61.810(1) (c) & (j). The Board also reviewed pending litigation.

Vote: Unanimous

Motion made by Ms. Casey Gesenhues seconded by Ms. Tolya Ellis, to return to open session.

Vote: Unanimous

The following Board members concurred with the actions as listed below with the noted exceptions:

Sarah Burnett, Rachel Colyer, Tolya Ellis, Ashley Fishback, Casey Gesenhues, David Graham, Robin Hebert, Donna Hedgepath, Daniel Morgan, William Owens, Sherry Powers, Thomas Salyer, and David Whaley.

Attorneys present were Luke Gilbert, Lisa Lang, Eric Ray, Hannah Satram-Hale, Cassie Trueblood and Chelsea Young.

Initial Case Review

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### Agreed Orders

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| 1610823     | Stephen Adams | Accept Agreed Order admonishing Adams for subjecting his students to embarrassment and disparagement. The Board reminds Adams that he has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students. An educator must refrain from subjecting students to embarrassment or disparagement. Subjecting students to demeaning or aggressive behavior or
using inappropriate language in their presence is unacceptable conduct for an educator. The Board will not tolerate any further incidents of misconduct from Adams.

By August 1, 2017, Adams shall complete provide written proof to the Board that he has completed a course of professional development or training in the area of educator ethics with a focus on professionalism, as approved by the Board. If Adams fails to satisfy this condition by August 1, 2017, Certificate Number 200109519 shall be automatically suspended until such time as Adams satisfies this condition.

By August 1, 2017, Adams shall complete provide written proof to the Board that he has completed a course of professional development or training in the area of educator ethics with a focus on classroom management, as approved by the Board. If Adams fails to satisfy this condition by August 1, 2017, Certificate Number 200109519 shall be automatically suspended until such time as Adams satisfies this condition.

Furthermore, Certificate Number 200109519 shall be subject to the following probationary condition for a period of two (2) years:

1. Adams shall not receive any disciplinary action for violating the Professional Code of Ethics for Kentucky Certified School Personnel from any school district in which he is employed. If Adams fails to satisfy this condition, Certificate Number 200109519 shall be automatically suspended for a period of thirty (30) days and subject to additional sanctions by the Board pursuant to KRS 161.120.

   “Disciplinary action” is defined as any termination, suspension, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process, including any appeal therefrom. If the
tribunal amends the disciplinary action or if Respondent agrees to amend the disciplinary action through arbitration, the new disciplinary action if a termination, suspension, or public reprimand shall be considered a violation of this condition.

Adams is aware that should he violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote: Unanimous**

1506409 Tanya Lancaster Accept Agreed Order admonishing Lancaster for failing to fulfill her duties as an administrator. The Board reminds Lancaster that, as an administrator, she has a responsibility to complete all the duties of her position, including completion of mandatory Effective Instructional Leadership Act training hours. The Board will tolerate no further misconduct by Lancaster.

Certificate Number 200305847 is hereby suspended for a period of one (1) year from the date upon which the Board approves this agreement. Lancaster shall neither apply for, nor be issued, a teaching certificate in the Commonwealth of Kentucky during the suspension period.

In addition to any educational and/or testing requirements, reinstatement of Lancaster’s certificate after the one (1) year suspension period is expressly conditioned upon Lancaster providing written evidence that she has complied with the following:

1. Lancaster must provide written proof that she has completed twelve (12) hours of training on the Professional Code of Ethics for Kentucky Certified School Personnel. Any expense incurred for said training shall be paid by Lancaster; and

2. Lancaster must provide written proof that she has completed all past due and currently required Effective Instructional Leadership Act training hours. Any expense incurred for said training shall be paid by Lancaster.

Should Lancaster fail to satisfy any or all of these conditions, certificate number 200308547 shall be automatically permanently revoked.
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Vote: Unanimous

1609641  Kristi Cannon  Accept Agreed Order suspending Certificate Number 200306250 for a period of one (1) year.

Additionally, Cannon is admonished for failing to exemplify behaviors which maintain the dignity and integrity of the profession, and for concealing her misdemeanor convictions when renewing her certificate. Driving under the influence of alcohol and using controlled substances are not only illegal activities, but they are especially dangerous activities that demonstrate a strong disregard for the health, welfare and safety of others. The Board will not tolerate any further incidents of misconduct by Cannon.

Prior to reinstatement of Certificate Number 200306250, Cannon shall first comply with the following conditions:

1. Cannon shall undergo a comprehensive alcohol/substance abuse assessment by a Kentucky licensed or certified chemical dependency counselor, as approved by the Board, and shall submit written evidence that she has complied with the assessment process. Cannon shall pay any expense incurred.

2. Cannon shall undergo a comprehensive evaluation from a Kentucky licensed or certified psychiatrist or mental health professional, as approved by the Board, and shall submit written evidence that she is fit to return to the classroom, presents as capable of performing her duties as an educator, is not a danger to herself or others, and is compliant with all treatment recommendations. Cannon shall pay any expense incurred.

3. Cannon shall submit written proof to the Board that she has successfully completed twelve (12) hours of professional development or training on the Professional Code of Ethics for Kentucky Certified
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School Personnel, as approved by the Board. Cannon shall pay any expense incurred.

Upon reinstatement, Certificate Number 200306250 shall be subject to the following permanent probationary conditions:

1. If Cannon’s chemical dependency counselor makes any treatment recommendations, Cannon shall submit quarterly written progress reports from her counselor to the Board until she is released from treatment. Cannon shall pay any expense incurred. If Cannon fails to satisfy this condition, Certificate Number 200306250 shall be administratively suspended until such condition is satisfied.

2. If Cannon’s psychiatrist or mental health professional makes any treatment recommendations, Cannon shall submit quarterly written progress reports from her psychiatrist or mental health professional to the Board until she is released from treatment. Cannon shall pay any expense incurred. If Cannon fails to satisfy this condition, Certificate Number 200306250 shall be administratively suspended until such condition is satisfied.

3. Cannon shall have no further criminal convictions. If Cannon is convicted of, or enters a guilty or no contest plea, to any criminal charge, other than a minor traffic violation, she shall submit this information to the Board, in writing, within thirty (30) days. A violation is not considered a minor traffic violation if it is a violation for which jail time may be imposed. If Cannon fails to satisfy this condition, Certificate Number 200306250 shall be administratively suspended pending Board review and disposition.

4. Cannon shall not receive any disciplinary action from any school district in which she is employed. If Cannon fails to satisfy this condition, Certificate
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Number 200306250 shall be administratively suspended pending Board review and disposition.

“Disciplinary action” is defined as any termination, suspension, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process including any appeal therefrom. If the tribunal amends the disciplinary action or if Respondent agrees to amend the disciplinary action through arbitration, the new disciplinary action if a termination, suspension, or public reprimand shall be considered a violation of this condition.

Cannon is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

Vote: Unanimous

1511813 Stacey Owens Accept Agreed Order stating that Owens has provided written proof to the Board that she has completed three (3) hours of professional development training entitled Teaching with Love & Logic. Upon acceptance of this agreement by the Board, Case No. 1511813 shall be dismissed without prejudice. Owens is aware that if she violates KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

Vote: Unanimous

1211711 Jace Bales Accept Agreed Order stating that Bales voluntarily, knowingly, and intelligently surrenders his teaching certificate, number 200702101, and agrees to not apply for, nor be issued, a teaching or administrative certificate in the Commonwealth of Kentucky at any time in the future. Upon the acceptance of this agreement by the Board, Bales shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

Vote: Unanimous (Ms. Burnett recused)

1402130 Trasci Wilson Accept Agreed Order admonishing Wilson for committing FERPA violations and breaching the confidentiality of staff members. This conduct is unbecoming an educator and
administrator and the Board reminds Wilson that the principal of a school must maintain the integrity and privacy of students and staff members at all times. She must strive to uphold the responsibilities of her profession by maintaining the confidence of students and staff. The Board expects Wilson to uphold the Processional Code of Ethics and will tolerate no further incidents of misconduct by Wilson.

Upon acceptance of this agreement by the Board, Certificate Number 201172321 shall be on probation for a period of three (3) years subject to the following probationary conditions:

1) By January 31, 2018, Wilson shall submit written proof to the Board that she has completed twelve (12) hours of training on the Professional Code of Ethics for Kentucky Certified School Personnel, as approved by the Board. Any expense required for said training shall be paid by Wilson. If Wilson fails to satisfy this condition, Certificate Number 201172321 shall automatically be suspended until Wilson completes the required training and provides the appropriate written proof to the Board.

2) During the probationary period, Wilson shall not receive any disciplinary action from any school district in the Commonwealth of Kentucky for breach of confidentiality or FERPA violations. “Disciplinary action” is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Wilson fails to satisfy this condition, Certificate Number 201172321 shall automatically be suspended for a period of one (1) year and subject to additional sanctions by the Board pursuant to KRS 161.120.

Wilson is aware that should she violate KRS 161.120, either during or following her three (3) year probationary period, the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote:** Unanimous (Dr. Hedgepath recused)
1205312  Tiffany Graves  Accept Agreed Order stating that by January 31, 2018, Graves shall provide written proof to the Board that she has completed twelve (12) hours of training on the Professional Code of Ethics for Kentucky Certified School Personnel, with an emphasis on professionalism, as approved by the Board. Any expenses required for said training shall be paid by Graves. If Graves fails to satisfy this condition, Certificate Number 201123008 shall be automatically suspended until Graves completes the required training and provides the appropriate written proof to the Board.

**Vote:** Unanimous

1408634  Addie Anderson  Accept Agreed Order stating that Anderson has retired and certificate 000049446 is expired. Anderson shall neither apply for nor be issued any teaching, administrative, or emergency certificate in the Commonwealth of Kentucky at any time in the future. Any future application submitted by Anderson, or on her behalf, shall be denied.

**Vote:** Unanimous

1409673  Lynne Folsom  Accept Agreed Order suspending Certificate Number 200100252 is for a period of one (1) year from the date the Board approves this agreement. Upon acceptance of this agreement by the Board, Folsom shall immediately surrender the original and all copies of her certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601.

In addition to any educational requirements, reinstatement of Folsom’s certificate at the conclusion of the one (1) year suspension period is expressly conditioned upon Folsom providing written evidence that she has complied with the following:

1. Folsom shall provide written proof to the Board that she has completed a comprehensive alcohol/substance abuse assessment by a Kentucky licensed or certified chemical dependency counselor, as approved by the Board. Folsom shall also submit written proof to the Board that she has
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successfully completed any and all treatment recommendations proposed by the counselor and is competent to fulfill her duties as a certified educator. Any expense for the assessment, treatment, and/or written reports shall be paid by Folsom.

2. Folsom shall provide the Board with written evidence from a Kentucky licensed and/or certified physician, as approved by the Board, that she is fit to return to the classroom. Any expense for the assessment and/or written reports shall be paid by Folsom.

3. Folsom shall provide written proof to the Board that she has successfully completed twelve (12) hours of training on the Professional Code of Ethics for Kentucky Certified School Personnel, as approved by the Board. Any expense incurred for said training shall be paid by Folsom.

Upon reinstatement, Certificate Number 200100252 shall be on probation for a period of two (2) years and subject to the following probationary conditions:

1. During the probationary period, Folsom shall not be convicted of any crime involving the use and/or possession of any controlled substance or alcohol. Failure to comply with this condition will result in Certificate Number 200100252 being automatically suspended pending Board review and disposition.

2. Folsom shall submit a copy of her current criminal record, as prepared by the Administrative Office of the Courts, by July 1st of each year of the probationary period. Any expense required to satisfy this condition shall be paid by Folsom. If Folsom fails to satisfy this condition, Certificate Number 200100252 shall be automatically suspended until Folsom provides the appropriate written proof to the Board.
Folsom is aware that should she violate KRS 161.120, the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote: Unanimous**

1108583 Kingsley Smith Accept Agreed Order stating that Smith shall neither apply for nor be issued any teaching, administrative, or emergency certificate in the Commonwealth of Kentucky at any time in the future. Any future application submitted by Smith, or on his behalf, shall be denied.

**Vote: Unanimous**

1609637 Morris Howard Accept Agreed Order stating that Howard shall neither apply for nor be issued any teaching, administrative, or emergency certificate in the Commonwealth of Kentucky at any time in the future. Any future application submitted by Howard, or on his behalf, shall be denied.

**Vote: Unanimous**

1411769 Jacqueline Haynes Accept Agreed Order admonishing Haynes for using poor professional judgment in allowing an unlicensed minor to drive her vehicle. The Board reminds Haynes that as a certified educator in the Commonwealth of Kentucky she has a duty to take reasonable measures to protect the health, safety, and emotional well-being of all minors and students alike. The Board expects Haynes to uphold the Professional Code of Ethics in the future.

Upon acceptance of this agreement by the Board, Certificate Number 200147595 shall be on four (4) year probation and subject to the following probationary conditions:

1. By January 31, 2018, Haynes shall submit written proof to the Board that she has successfully completed twelve (12) hours of Professional Development training with an emphasis on Appropriate Student Teacher Boundaries. Any expense required for said training shall be paid by Haynes. If Haynes fails to satisfy this condition, Certificate Number 200147595 shall be automatically suspended until Haynes submits the required written documentation to the Board.
2. During the probationary period, Haynes shall not receive any disciplinary action by a district in which she is employed. “Disciplinary action” is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by a tribunal and/or arbitration process. If Haynes fails to satisfy this condition, Certificate Number 200147595 shall be automatically suspended pending review and disposition by the Board.

Haynes is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote: Unanimous**

1412819 Ronald Mays Accept Agreed Order stating that Case No. 1412819 shall be dismissed without prejudice conditioned upon the following:

1. By October 1, 2017, Mays shall provide written proof to the Board that he has completed educator ethics training, as approved by the Board. Mays shall pay any expense incurred. If Mays fails to satisfy this condition by October 1, 2017, Certificate Number 199901510 shall be administratively suspended until such condition is satisfied.

Mays is aware that should he violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote: Unanimous**

1609629 Darryl Watson Accept Agreed Order admonishing Watson for exercising poor professional judgment in attempting to redirect a student’s behavior, and for failing to exemplify behaviors, which maintain the dignity and integrity of the profession. The Board reminds Watson that as a certified educator in the Commonwealth of Kentucky, he must provide students with professional education services in consonance with accepted best practice known to the educator, and to refrain from subjecting students to embarrassment or
disparagement. The Board will not tolerate any further incidents of misconduct from Watson.

Watson is not currently teaching. However, if Watson returns to the Kentucky public school system in any capacity that requires certification, he shall first comply with the following conditions:

1. Watson shall provide written proof to the Board that he has completed educator ethics training, as approved by the Board. Watson shall pay any expense incurred. If Watson fails to satisfy this condition prior to accepting a certified position, Certificate Number 201112085 shall be administratively suspended until such condition is satisfied.

2. Watson shall provide written proof to the Board that he has completed classroom management training, as approved by the Board. Watson shall pay any expense incurred. If Watson fails to satisfy this condition prior to accepting a certified position, Certificate Number 20112085 shall be administratively suspended until such condition is satisfied.

Furthermore, if Watson returns to the education profession, Certificate Number 20112085 shall be subject to the following probationary condition for a period of two (2) years:

1. Watson shall not receive any discipline for inappropriate classroom management techniques from any school district in which he is employed. If Watson fails to satisfy this condition, Certificate Number 201112085 shall be administratively suspended pending Board review and disposition.

“Disciplinary action” is defined as any termination, suspension, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by a tribunal and/or arbitration
process including any appeal therefrom. If the tribunal amends the disciplinary action or if Respondent agrees to amend the disciplinary action through arbitration, the new disciplinary action if a termination, suspension or public reprimand shall be considered a violation of this condition.

Watson is aware that should he violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote: Unanimous**

CF174  Broderick Simpson

Accept Agreed Order issuing Simpson a Kentucky teaching certificate upon providing proof that he has met the academic and testing requirements necessary for issuance of a certificate.

In addition, any and all certificates issued to Simpson shall be subject to the following conditions for a period of two (2) years:

1. Simpson shall submit a copy of his current criminal record, as prepared by the Administrative Office of the Courts, with any application for renewal of his certification(s) and/or for additional certification(s). Simpson shall pay any expense incurred. If Simpson fails to satisfy this condition, any application for renewal of his certification(s) and/or for additional certifications shall be denied until such condition is satisfied.

2. Simpson shall not be convicted of nor enter a guilty or no contest plea to any criminal charge(s) other than minor traffic violations. A violation is not considered a minor traffic violation if it is a violation for which jail time may be imposed. If Simpson is convicted of, or enters a guilty or no contest plea, to any criminal charge other than minor traffic violations, he shall submit this information to the Board, in writing, within thirty (30) days. If Simpson fails to comply with this
condition, any certificate(s) issued to him shall be administratively suspended pending Board review and disposition.

**Vote:** *Unanimous*

1608577 Roger Hayes  
Accept Agreed Order stating that Hayes is currently retired and has no future plans of becoming employed in any capacity in any school system in Kentucky or elsewhere. Upon acceptance of this agreement by the Board, Hayes agrees not to seek or accept any position of employment in Kentucky that requires the use of Certificate Number 200147552, Standard High School Certificate, Grades 7-12; Endorsement For Supervisor of Instruction, Elementary, Secondary, 12-Grade; Endorsement For School Superintendent; Endorsement For Secondary School Principal, Grades 7-12; Endorsement For Elementary School Principal, Grades K-8; Area Specialization: Social Studies. Additionally, Hayes shall neither apply for, nor be issued, a teaching, administrative, or emergency certificate in the Commonwealth of Kentucky at any time in the future. If Hayes violates this agreement, Certificate Number 200147552 shall be administratively suspended pending Board review and disposition.

**Vote:** *Unanimous*

17. 1607465 Rachel Ellison  
Accept Agreed Order admonishing Ellison for her lack of professional judgment in her interaction with a student. As a certified educator in the Commonwealth of Kentucky, Ellison has an ethical obligation to take reasonable measures to protect the health, safety and emotional well being of all students, even those that are being defiant. The Board reminds Ellison that she must exemplify behaviors, which maintain the dignity and integrity of the profession. The Board will not tolerate any further incidents of misconduct from Ellison.

1. By November 1, 2017, Ellison shall provide written proof to the Board that she has completed educator ethics training, as approved by the Board. Ellison shall pay any expense incurred. If Ellison fails to
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satisfy this condition by November 1, 2017, Certificate Number 200001493 shall be administratively suspended until such condition is satisfied.

Ellison is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

Vote: Unanimous

1602153  Matrid Ndife  Accept Agreed Order admonishing Ndife for exercising poor professional judgment when removing a student from the classroom. The Board recognizes that students are going to misbehave and disrupt the classroom setting, but an educator must remain aware of the line between appropriate and inappropriate physical intervention when interacting with a student. The Board reminds Ndife of her ethical obligations to provide students with professional education services in consonance with accepted best practices, to take reasonable measures to protect the health, safety, and emotional well-being of students, to make reasonable effort to communicate to parents information which should be revealed in the interest of the student, and to exemplify behaviors which maintain the dignity and integrity of the profession at all times.

Upon acceptance of this agreement by the Board, Certificate Number 200236056 shall be subject to the following probationary conditions for a period of two (2) years:

1. By February 1, 2018, Ndife shall provide written proof to the Board that she has completed at least six (6) hours of educator ethics training, as approved by the Board. Ndife shall pay any expense incurred. If Ndife fails to satisfy this condition by February 1, 2018, Certificate Number 200236056 shall be administratively suspended until such condition is satisfied.
2. By February 1, 2018, Ndife shall provide written proof to the Board that she has completed at least six (6) hours of classroom management training, as approved by the Board. Ndife shall pay any expense incurred. If Ndife fails to satisfy this condition by February 1, 2018, Certificate Number 200236056 shall be administratively suspended until such condition is satisfied.

3. Ndife shall not receive any disciplinary action for use of physical force from any school district in which she is employed. If Ndife fails to satisfy this condition, Certificate Number 200236056 shall be automatically suspended for a period of five (5) days and subject to additional sanctions by the Board pursuant to KRS 161.120.

“Disciplinary action” is defined as any termination, suspension, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process, including any appeal therefrom. If the tribunal amends the disciplinary action or if Respondent agrees to amend the disciplinary action through arbitration, the new disciplinary action if a termination, suspension, or public reprimand shall be considered a violation of this condition.

Ndife is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

Vote: Unanimous

1608515 Pamela Bates Accept Agreed Order admonishing Bates for her poor professional judgment, specifically for her choice of words, and actions to manage a student’s behavior. The Board recognizes that students are going to misbehave and disrupt the classroom setting, but Bates is expected to maintain her composure
and implement accepted best practices in classroom management at all times. As a certified educator in the Commonwealth of Kentucky, Bates has a duty to take reasonable measures to protect the health, safety and emotional well-being of all students. The Board will not tolerate any further incidents of misconduct from Bates.

Bates is not currently employed as an educator, and has no plans to return to the education profession. However, prior to accepting a certified position in the state of Kentucky, Bates shall first comply with the following conditions:

1. Bates shall provide written proof to the Board that she has completed classroom management training, as approved by the Board. Bates shall pay any expense incurred. If Bates fails to satisfy this condition prior to accepting a certified position in Kentucky, Certificate Number 20117475 shall be administratively suspended until such condition is satisfied.

2. Bates shall provide written proof to the Board that she has completed educator ethics training, as approved by the Board. Bates shall pay any expense incurred. If Bates fails to satisfy this condition prior to accepting a certified position in Kentucky, Certificate Number 20117475 shall be administratively suspended until such condition is satisfied.

Bates is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

Vote: Unanimous

1605295 Missy Moore Accept Agreed Order admonishing Moore for failing to exemplify behaviors which maintain the dignity and integrity of the profession. The Board reminds Moore that as a certified educator in the Commonwealth of Kentucky, she is expected to abide by the Professional Code of Ethics for Kentucky Certified School Personnel at all times. The
Board will not tolerate any further incidents of misconduct by Moore.

Moore is currently on KTRS Disability Retirement, and has no immediate plans to return to the education profession. However, prior to returning to a certified position, Moore shall first comply with the following conditions:

1. Moore shall submit written proof from a licensed/certified physician, as approved by the Board that that she has complied with a comprehensive evaluation and is fit to return to the classroom, presents as capable of performing her duties as an educator, and is compliant with all treatment recommendations. If Moore is unable to complete all treatment recommendations prior to her return to a certified position, she shall submit to the Board quarterly written progress reports from her physician until she is released from treatment. Moore shall pay any expense incurred. If Moore fails to satisfy any portion of this condition, Certificate Number 200001265 shall be administratively suspended until such condition is satisfied in its entirety.

2. Moore shall submit written proof to the Board that she has completed training on educator ethics, as approved by the Board. Moore shall pay any expense incurred. If Moore fails to satisfy this condition prior to returning to a certified position, Certificate Number 200001265 shall be administratively suspended until such condition is satisfied.

3. Moore shall submit to the Board a current state and federal criminal background report. Moore shall pay any expense incurred. If Moore fails to satisfy this condition prior to returning to a certified position, Certificate Number 200001265 shall be administratively suspended until such condition is satisfied. If Moore has received any criminal convictions, other than minor traffic violations,
since 2015, Certificate Number 200001265 shall be administratively suspended pending Board review and disposition. A violation is not considered a minor traffic violation if it is a violation for which jail time may be imposed.

Moore is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote: Unanimous**

1306399 Anthony Durrant Accept Agreed Order permanently revoking Certificate Number 000081766. Durrant shall neither apply for, nor be issued, a teaching and/or administrative certificate in the Commonwealth of Kentucky at any time in the future. Upon acceptance of this agreement by the Board, Durrant shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

**Vote: Unanimous**

1610809 Adam Rogers Accept Agreed Order admonishing Rogers for conduct unbecoming a teacher. The Board reminds Rogers that, as a teacher, he has a duty to uphold the dignity and integrity of the teaching profession. Driving under the influence of alcohol is dangerous, and this type of behavior sets a horrible example for students. The Board will not tolerate any further incidents of misconduct by Rogers.

By July 1, 2017. Rogers shall provide written proof to the Board that he has completed a comprehensive alcohol/substance abuse assessment by a Kentucky licensed or certified chemical dependency counselor, as approved by the Board. If the counselor makes any treatment recommendations, Rogers shall submit written progress reports from his chemical dependency counselor on January 1st and July 1st until such time as the counselor releases him from treatment. Each progress report shall certify that Rogers is continuing to comply with any and all treatment recommendations, and that he remains fit and competent to fulfill his duties as
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an educator. Any expense for the assessment, treatment, and/or written reports shall be paid by Rogers.

Should Rogers fail to satisfy any of the above conditions, certificate number 74805 shall be automatically suspended until such time as all of the above conditions are met.

Rogers is aware that should he violate KRS 161.120, the Board shall initiate new disciplinary action and seek additional sanctions.

Vote: Unanimous

1602151 Charlene Looney Accept Agreed Order suspending Certificate Number 199602229 for the period of February 23, 2016 to June 1, 2016.

Looney is admonished for exercising poor professional judgment and for failing to properly manage a student’s behavior. The Board recognizes that students are going to misbehave and disrupt the classroom setting, but an educator must remain aware of the line between appropriate and inappropriate physical intervention when interacting with a student. The Board reminds Looney that she has a duty to protect the health and safety of students and to set a positive example for her students. The Board will not tolerate any further incidents of misconduct from Looney.

1. By August 1, 2017 Looney shall provide written proof to the Board that she has completed six (6) hours of professional development or training in the areas of educator ethics and classroom management, as approved by the Board. Looney shall pay any expense incurred. If Looney fails to satisfy this condition by August 1, 2017, Certificate Number 199602229 shall be administratively suspended until such condition is satisfied.

Furthermore, Certificate Number 199602229 shall be subject to the following probationary condition for a period of two (2) years:

1. Looney shall not receive any disciplinary action for violating the Professional Code of Ethics for
Kentucky Certified School Personnel from any school district in which she is employed. If Looney fails to satisfy this condition, Certificate Number 199602229 shall be automatically suspended for a period of thirty (30) days and subject to additional sanctions by the Board pursuant to KRS 161.120.

“Disciplinary action” is defined as any termination, suspension, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process, including any appeal therefrom. If the tribunal amends the disciplinary action or if Respondent agrees to amend the disciplinary action through arbitration, the new disciplinary action if a termination, suspension, or public reprimand shall be considered a violation of this condition.

Looney is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote: Unanimous**

CF161036 David Puckett  
Accept Agreed Order issuing Puckett a Kentucky teaching certificate upon providing proof that he has met the academic and testing requirements necessary for issuance of a certificate, and has completed the following:

1. Puckett shall undergo a comprehensive alcohol/substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor, as approved by the Board, and shall present written evidence to the Board that he has complied with the assessment process. Any expense for the assessment and written reports shall be paid by Puckett.

2. Puckett shall submit written proof to the Board that he has completed a course on professional ethics
training, as approved by the Board. Any expense required for said training shall be paid by Puckett.

Any and all certificates issued to Puckett shall be subject to the following conditions:

1. If Puckett’s chemical dependency counselor makes any treatment recommendations, Puckett shall comply with the treatment recommendations. Puckett shall submit quarterly written progress reports from his counselor to the Board until such time as the counselor releases him from treatment. Any expense for the treatment and/or written reports shall be paid by Puckett. Failure to comply with this condition will result in Puckett’s certificate being automatically suspended until Puckett is in compliance.

2. Puckett shall not be convicted of nor enter a guilty or no contest plea to any criminal charge(s) involving the use or possession of alcohol. If Puckett is convicted of, or enters a guilty or no contest plea, to any criminal charge involving the use or possession of alcohol, he shall submit this information to the Board, in writing, within thirty (30) days. Failure to comply with this condition will result in Puckett’s certificate being automatically suspended pending Board review and disposition.

3. Puckett shall submit a copy of his current criminal record, as prepared by the Administrative Office of the Courts, with any application for renewal of his certification(s) and/or for additional certification(s). Any expense required to satisfy this condition shall be paid by Puckett. Failure to comply with this condition will result in the denial of all applications for renewal and/or additional certification(s) submitted by Puckett or on his behalf.

**Vote: Unanimous**

1306428 Clyde Windland Accept Agreed Order stating that Windland is currently on disability retirement and has no plans to return to the
classroom. Windland shall neither apply for, nor accept a position that requires certification in the Commonwealth of Kentucky at any time in the future. Should Windland fail to satisfy this condition, certificate number 000043201 shall be automatically permanently revoked.

Vote: Unanimous (Mr. Salyer recused)

1505232 Dennis Byrd Accept Agreed Order stating that Byrd is retired and has no plans to return to the teaching or school administration profession. Should Byrd decide to return to the profession in the future, he must complete the following conditions prior to accepting a position which requires Kentucky certification:

Byrd shall submit written proof to the Board that he has completed a course of professional development/training in the area of sexual abuse awareness and the duty to report. Any expense for this training shall be paid by Byrd. If Byrd fails to satisfy this condition, Certificate Number 200121977 shall be automatically suspended until such time as Byrd satisfies this condition.

Vote: Unanimous

1505230 Gary Peters Accept Agreed Order stating that Peters is retired and has no plans to return to the teaching or school administration profession. Should Peters decide to return to the profession in the future, he must complete the following conditions prior to accepting a position which requires Kentucky certification:

Peters shall submit written proof to the Board that he has completed a course of professional development/training in the area of sexual abuse awareness and the duty to report. Any expense for this training shall be paid by Peters. If Peters fails to satisfy this condition, Certificate Number 5884 shall be automatically suspended until such time as Peters satisfies this condition.

Vote: Unanimous

1508587 Kimberly McKay Accept Agreed Order admonishing McKay for making unnecessary physical contact with a student. As a
professional educator, McKay must treat each and every student with dignity and respect, no matter the circumstance. The Board reminds McKay that she has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students. In the future, McKay should be more cognizant of appropriate physical boundaries in her classroom.

**Vote:** *Unanimous*

1507501  John Foley Accept Agreed Order stating that Foley has retired and has no immediate plans to return to the education profession. Should Foley decide to return to the classroom at some point in the future, he must comply with the following conditions prior to accepting a certified position:

1. Foley must provide written proof to the Board that he has successfully completed training on Safe Crisis Management, as approved by the Board.

2. Foley must provide written proof to the Board that he has completed twelve (12) hours of training on The Professional Code of Ethics for Kentucky Certified School Personnel, as approved by the Board.

Any expense involved in meeting these requirements shall be paid by Foley. If Foley fails to satisfy these conditions, Certificate Number 199901430 shall be automatically suspended until all conditions are met.

**Vote:** *Unanimous*

**Recommended Order**

**Case Name** | **Decision**
--- | ---
1307481  Laura Maier | Accept the Hearing Officer’s Findings of Fact and Conclusions of Law and revise the Recommended Order to meet the requirements of KRS 13B and 16 KAR 1:030. Sanctions sought are that Maier will not be able to apply for certification within three (3) years of the date of the Final Order.
Vote: Unanimous

1410734 Minnie McCord Accept the Hearing Officer’s Findings of Fact and Conclusions of Law and revise the Recommended Order to meet the requirements of KRS 13B and 16 KAR 1:030. Sanctions sought are that McCord’s certificate shall be revoked for five (5) years from the date of the Final Order.

Vote: Unanimous

Pending Litigation

Motion made by Mr. Graham, seconded by Ms. Gesenhues to approve the agreed order for dismissal of the case of Taylor, et al. v. EPSB, et al.

Vote: Unanimous

Motion made by Ms. Gesenhues seconded by Mr. Graham to adjourn the meeting.

Vote: Unanimous

Meeting adjourned at 6:15 p.m.

Next Meeting: June 19, 2017
10:00 AM
EPSB Board Room
Frankfort, Kentucky
EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Consent Item B

Action Item:
University of Pikeville request to offer programs at four off-site locations

Applicable Statutes and Regulation:
KRS 161.028; KRS 161.048
16 KAR 5:010, Section 28

Applicable Goal:
Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:
Should the EPSB approve the University of Pikeville’s request to establish four off-site locations?

Background:
Regulation 16 KAR 5:010 Standards for Accreditation of Educator Preparation Units and Approval of Programs was revised in 2007 to require EPSB approval for off-site campuses established by educator preparation providers. The regulation requires educator preparation providers to seek approval from the EPSB before establishing off-site campuses.

The University of Pikeville (UPike) is requesting approval to offer fifteen of its thirty-one hour Teacher Leader master’s program at four off-site locations in Carter, Floyd, Johnson, and Perry Counties. Resources available at the Carter County Board of Education and Allen Central, Johnson Central, and Perry County High Schools include generous workspace, free parking, handicap accessibility, and technology resources. Candidates will have full access to UPike webmail accounts and an academic advisor as well as the full support of the Patton College of Education through email, phone, and face-to-face contact with their academic advisor and graduate program coordinator.

A full description of UPike’s request is available on the secure website.

Potential Actions:
1. Approve the University of Pikeville request to establish the off-site locations.
2. Modify and approve the University of Pikeville request to establish the off-site locations.
3. Do not approve the University of Pikeville request to establish the off-site locations.

Staff Recommendation:
Potential Action 1

Rationale:
The University of Pikeville can provide the necessary resources, advisement, and faculty to support the additional off-site locations. Candidates will have full access to instructional and
technological resources.

**Contact Person:**
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(502) 782-2145
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**Date:**
June 19, 2017
EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Consent Item C

**Action Item:**
Kentucky Department of Education Office of Career and Technical Education: New Teacher Institute

**Applicable Statutes and Regulation:**
KRS 161.020; KRS 161.028; KRS 161.030
16 KAR 2:020; 16 KAR 5:020
16 KAR 7:010

**Applicable Goals:**
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Goal 4: Every credentialed educator participates in a high quality induction into the profession and approved educational advancement programs that support effectiveness in helping all students achieve.

**Issue:**
Should the EPSB approve the following non-traditional teacher educator preparation program?

**KENTUCKY DEPARTMENT OF EDUCATION**

**5.0 CERTIFICATES FOR GRADES 5-12**
Occupation-Based Career and Technical Education

**Background:**
Pursuant to 16 KAR 2:020, the Kentucky Department of Education (KDE) has developed a non-traditional teacher educator preparation program for teacher candidates seeking an occupation-based career and technical education certification (OCTE teacher candidates). KDE's proposed non-traditional teacher educator preparation program consists of a New Teacher Institute (NTI) as well as a content-based associates degree program. The Education Professional Standards Board (Board) is in the process of amending 16 KAR 2:020 to recognize this preparation program as an additional route to certification for OCTE teacher candidates.

KRS 161.030(10) provides that the Board shall approve any teacher preparation curricula offered by a state agency as well as a public or private postsecondary education institution. KDE presented its proposed preparation program to EPSB staff for review and recommendation to the Board for program approval pursuant to KRS 161.030(10). Because KDE's proposed teacher preparation program model does not specifically blend all elements of teacher preparation (pedagogy) with the elements of content knowledge, EPSB only has statutory authority to review
and approve the pedagogy portion of the proposed NTI. Therefore, upon review of the proposed program, EPSB staff offer the following summations:

1. The New Teacher Institute (NTI) is a 24-month induction model for all OCTE teachers. All OCTE teachers will be required to complete a 24-month cycle that will consist of face-to-face meetings, on-line meetings, and field-based support.

2. The NTI proposal addresses the following areas including, but not limited to:
   a. KDE's capacity to create, deliver, and sustain an effective preparation program for OCTE teachers;
   b. the means by which KDE will assess program compliance, effectiveness, and impact to ensure continuous improvement;
   c. KDE's effort to collaborate with partners to ensure that the needs of Kentucky school districts are met; and
   d. sufficient evidence that KDE will assess its candidates for mastery of the Kentucky Teacher Standards (which includes InTASC Standard #1, Students with Special Learning Needs).

3. Within its program proposal, KDE specifically states that it will deliver Educator Ethics training to OCTE teachers and will explore the possibility of using the ProEthica program to deliver that training.

Potential Actions:
1. Approve the proposed educator preparation program.
2. Approve the proposed educator preparation program with conditions.
3. Do not approve the proposed educator preparation program.

Recommendation:
Potential Action 2

Rationale:
EPSB staff recommends the proposed program for approval, with the following conditions:

Issue 1: In its program proposal, KDE states that it "will work with numerous partners to recruit prospective teachers, create and deliver the NTI content, and to provide field-based and mentorship support." KDE anticipates that its partners will facilitate this work, but does not definitively state who these parties will be.

Condition 1: KDE will identify its partners, and clarify their responsibilities.
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**Issue 2:** KDE states that it will provide field-based support to OCTE teachers that includes three on-site visits during the first and second year of the induction process. KDE further states that its "field-based experts" will be teacher educators and/or staff contracted by the KDE. Exactly who these field-based experts will be and what their qualifications will be is unclear.

**Condition 2:** KDE will specify who will serve as “field-based experts” and what their qualifications will be.

**Issue 3:** KDE states that in order to ensure support and quality of delivery, all parties outside of KDE will be required to execute a Memorandum of Understanding that will outline specific roles and responsibilities. However, it does not state who those parties will be or if negotiations have been initiated.

**Condition 3:** KDE will need to identify the parties that have agreed to execute a Memorandum of Understanding along with an executed copy of the Memorandum of Understanding that identifies the negotiated roles and responsibilities of the parties.

EPSB staff recommend that KDE be approved to operate this program once acceptable resolution of the issues presented in this section has been obtained.

**Contact Person:**
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**Date:**
June 19, 2017
Agenda Book

EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Consent Item D

Action Item:
Eastern Kentucky University – Instructional Computer Technology P-12 Endorsement

Applicable Statutes and Regulation:
KRS 161.028; KRS 161.030
16 KAR 5:010; 16 KAR 2:010

Applicable Goal:
Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:
Should the EPSB approve the following educator preparation program addition?

EASTERN KENTUCKY UNIVERSITY

8.0 ENDORSEMENTS
Instructional Computer Technology P-12

Background:
The Instructional Computer Technology P-12 Endorsement program proposed by Eastern Kentucky University (EKU) is designed to provide advanced teacher candidates the opportunity to continue their growth and development as professional educators in educational technology. The four-course, 12-hour program will be delivered online in an 8-week intensive course sequence. The program will assist teachers in knowledge, application, organization, and evaluation of instructional technology and instructional design. Throughout the program, candidates will reflect on their instructional needs in order to provide opportunities for their students to gain and demonstrate technology skills. Candidates are required to complete a minimum of twenty-one clinical hours to identify real problems in instructional environments and to propose digital solutions. Candidates will collaborate with P-12 teachers and students as well as their instructors to implement instruction based upon online tools and strategies; evaluate the success of that instruction; and reflect upon the process and the outcomes. The courses and assessments demonstrate alignment with the Kentucky Teacher Standards as well as the standards of the International Society for Technology in Education (ISTE).

The assessment process for the program includes three transition points: (1) Program Entry which requires meeting the admission requirements of the Graduate School, a Bachelor’s degree, teacher certification, and an Admission Reflection essay; (2) Midpoint which includes the monitoring of candidate GPA throughout the course sequence and performance-based evidence collected through eight key assessments; and (3) Program Completion which includes an overall 3.0 GPA, successful completion of the clinical components, and a successful oral Capstone presentation.
KRS 161.028 and KRS 161.030 provide for the EPSB to establish curricula for educator preparation programs in Kentucky and approve such programs for Educator Preparation Providers. The Division of Educator Preparation, Assessment, and Internship, the Content Area Program Review Committee, and the Reading Committee evaluated the program review documents submitted for approval against performance-based program certification guidelines established by the EPSB. This program proposal meets all the requirements set forth by the EPSB. A Letter of Support from the Dean of the College of Education at Eastern Kentucky University and the program review document, which includes an Executive Summary, are available on the EPSB secure website.

**Potential Actions:**
1. Approve the proposed educator preparation program addition.
2. Do not approve the proposed educator preparation program addition.

**Staff Recommendation:**
Potential Action 1

**Rationale:**
The proposed educator preparation program follows the appropriate regulation (16 KAR 5:010) outlining program requirements for program approval as established by the EPSB.

**Contact Person:**
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**Date:**
June 19, 2017
Action Item:
Eastern Kentucky University – Elementary (P-5) Math Specialist Endorsement

Applicable Statutes and Regulation:
KRS 161.028; KRS 161.030
16 KAR 5:010; 16 KAR 2:010

Applicable Goal:
Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:
Should the EPSB approve the following educator preparation program addition?

EASTERN KENTUCKY UNIVERSITY

8.0 ENDORSEMENTS
Elementary (P-5) Math Specialist

Background:
The Elementary (P-5) Math Specialist Endorsement program proposed by Eastern Kentucky University (EKU) is designed to provide advanced teacher candidates the opportunity to continue their growth and development as professional educators and become Math Specialists. The endorsement program will provide candidates key learning strategies designed to increase mathematics competencies, and critical and creative thinking skills along with a knowledge of student support and intervention strategies. The program allows candidates to gain expertise for teaching elementary math with a specific focus on candidates who struggle with instructional techniques such as using assessment tools, various instructional strategies, and the organization of the classroom for math instruction. The five-course, 15-hour program will be delivered online and include 22 clinical hours in which candidates will connect course theory to genuine practice.

The assessment process for the program includes three transition points: (1) Program Entry which requires meeting the admission requirements of the Graduate School, a bachelor’s or master’s degree in elementary education with a cumulative 2.75 GPA or 3.0 on the last 30 hours of credit completed, teacher certification or Statement of Eligibility in Elementary Education, and an Admission Reflection essay; (2) Midpoint which includes the monitoring of candidate GPA throughout the course sequence and performance-based evidence collected from eight key assessment areas; and (3) Program Completion which includes an overall 3.0 GPA with no grade lower than a C and successful completion of signature assessments covering the major program components.

KRS 161.028 and KRS 161.030 provide for the EPSB to establish curricula for educator
preparation programs in Kentucky and approve such programs for Educator Preparation Providers. The Division of Educator Preparation, Assessment, and Internship, the Content Area Program Review Committee, and the Reading Committee evaluated the program review documents submitted for approval against performance-based program certification guidelines established by the EPSB. This program proposal meets all the requirements set forth by the EPSB. A Letter of Support from the Dean of the College of Education at Eastern Kentucky University and the program review document, which includes an Executive Summary, are available on the EPSB secure website.

**Groups/Persons Consulted:**
Content Area Review Committee
Reading Committee

**Potential Actions:**
1. Approve the proposed educator preparation program addition.
2. Do not approve the proposed educator preparation program addition.

**Staff Recommendation:**
Potential Action 1

**Rationale:**
The proposed educator preparation program follows the appropriate regulation (16 KAR 5:010) outlining program requirements for program approval as established by the EPSB.

**Contact Person:**
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**Date:**
June 19, 2017
Information Item:
Report on the progress of the Strategic Plan of the EPSB

Applicable Statutes and Regulation:
KRS 161.017 (1) (a), (d)

Applicable Goal:
Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations, and established federal, state and agency policies.

Background:
The Board directed the executive director to work with a committee to review and revise the Strategic Plan for the Education Professional Standards Board. The committee met on November 15, 2016, and reviewed the current plan and then made recommendations for revision. Recommendations for revisions to the Strategic Plan will be presented for consideration by the Board at the August 2017 meeting.

Groups/Persons Consulted
Strategic Planning Committee: Tolya Ellis, Sherry Powers, Jay Morgan, Sandra Ramsey and Donna Hedgepath
EPSB division directors: Donna Brockman, Ben Boggs

Contact Person:
Mr. John Fields
Deputy Executive Director
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E-mail: John.Fields@ky.gov

Date:
June 19, 2017
Information/Discussion Item:
Recommendations from the Ad Hoc Committee to Review Expanding Certificate Options for Rank II

Applicable Statutes and Regulation:
KRS 161.1211. Classification of Teachers
KRS 161.028. Powers and Duties Regarding the Preparation and Certification of Professional School Personnel
16 KAR 8:020. Planned Fifth-year Program
16 KAR 8:030. Continuing Education Option (CEO)

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Background:
KRS 161.028(1) states that the Education Professional Standards Board shall issue a Rank II to those holding regular certificates and who have a master’s degree in a subject field approved by the Education Professional Standards Board or equivalent continuing education.

The Board appointed an Ad Hoc Committee to review expanding certificate options for Rank II. The committee first met on March 28, 2017, and again on April 25, 2017. The Rank II Options Committee is made up of stakeholders representing both public and private higher education, school district administrators and teachers. The purpose of the committee is to make recommendations to the Board on options that will be available to teachers for a Rank II. While meeting, the committee was steadfast that any option must be equivalent in rigor to master’s level work, relate directly to the current work of the educator, and be cost effective paying dividends, not just in compensation for the teacher, but for the students and their learning in the classroom.

Currently, there are three options for obtaining a Rank II. The first option is to complete an approved Master’s degree program from a college or university. The second option is to complete a planned Fifth-year program of thirty-two (32) semester hours of graduate level coursework earned beyond the bachelor’s degree and the four (4) year program of teacher preparation. The third option is to complete the Continuing Education Option that is made up of a combination of research, job-embedded professional development, and university courses.

Contact Person:
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Date:
June 19, 2017
Information/Discussion Item:
Policy Governing Proceedings Relating to Action on an Application for Certification or an Educator’s Certification

Applicable Statutes and Regulation:
KRS 13B, KRS 161.028, KRS 161.120, 16 KAR 1:020, 16 KAR 1:030

Applicable Goal(s):
Goal 3: Every credentialed educator exemplifies behaviors that maintain the dignity and integrity of the profession by adhering to established law and EPSB Code of Ethics.

Background:
On March 3, 2017, the Board’s revisions to 16 KAR 1:030, Procedures for Educator Certificate Surrender, Revocation, Suspension, Reinstatement, and Reissuance, and for Application Denial, became effective. At the April 10, 2017, Board meeting, the Board revised the Procedures Relating to Board Action on an Educator’s Certification in accordance with the final version of 16 KAR 1:030. The purpose of this Policy is to supplement the regulation and procedures by adding a deliberate set of principles to guide Board decisions and achieve consistent outcomes.

Contact Person:
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Date:
June 19, 2017
Agenda Book

Education Professional Standards Board

POLICY GOVERNING PROCEEDINGS RELATING TO ACTION ON AN APPLICATION FOR CERTIFICATION OR AN EDUCATOR’S CERTIFICATION

APPROVED ________

I. PURPOSE. THE PURPOSE OF THIS POLICY IS:

A. to support the mission of Kentucky’s Education Professional Standards Board (Board);
B. to ensure that the goals and strategies of the Board are met;
C. to ensure the safety and welfare of Kentucky students and school personnel;
D. to ensure that educators and applicants are fit to instruct or to supervise students;
E. to regulate and to enforce the standards of conduct of educators and applicants;
F. to provide for proceedings relating to certification in conformity with the rules of practice and procedure set forth in KRS Chapter 13B;
G. to enforce an educators’ code of ethics;
H. to fairly and efficiently resolve proceedings relating to certification at the least expense possible to the parties and the state;
I. to promote the development of legal precedents through Board decisions and ensure that proceedings relating to certification are justly resolved; and
J. to provide for regulation and general administration pursuant to the Board’s enabling statutes.

II. POLICY GOVERNING PROCEEDINGS RELATING TO CERTIFICATION.

A. A certified educator holds a unique position of public trust with almost unparalleled access to the hearts and minds of impressionable students. The conduct of an educator must be held to the highest standard. Because sanctions are imposed by the Board for reasons of public policy, and are not penal in nature, criminal procedural and punishment standards are not appropriate for proceedings set forth in this section.

B. The following general principles shall apply:
   1. Because the Board’s primary duty is to promote high levels of student achievement by establishing and enforcing rigorous professional standards for preparation, certification, and responsible and ethical behavior of all professional educators in Kentucky, educator certification must be considered a privilege and not a right.
   2. The Board may take action relating to the certification of an educator or an applicant for the reasons set forth in KRS 161.120(1) and 16 KAR 1:030.
EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Information Item D

Information/Discussion Item:
16 KAR 5:030 Proficiency Evaluation

Applicable Statutes and Regulation:
KRS 161.020, 161.028, 161.030

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Background:
This administrative regulation establishes an alternate process by which an educator preparation provider may recognize the competency and proficiency of a prospective educator or certified educator based on something other than college credit.

Such an established alternate process will ensure there is a means by which a certified educator can establish proficiency and competency based on that certified teacher’s teaching experience or past college coursework; and that a prospective educator can establish proficiency and competency based on skills that the prospective educator has previously developed.

An educator preparation provider that would like to administer proficiency evaluations will need to:

1) Notify the EPSB of its plans to conduct proficiency evaluations;
2) Provide the EPSB with a copy of the procedure it will use to conduct the proficiency evaluations;
3) Work with prospective educators or currently certified educators to create a plan for obtaining a certification; and
4) Recommend prospective educators or currently certified educators for certification.

The EPSB will need to receive and review proficiency evaluation plans.

Contact Person:
Dr. Ben Boggs, Director
Division of Educator Preparation
502-564-4606
E-mail: Ben.Boggs@ky.gov

Date:
June 19, 2017
EDUCATION AND WORKFORCE DEVELOPMENT CABINET

Education Professional Standards Board

(Amendment)

16 KAR 5:030. Proficiency evaluation.

RELATES TO: KRS 161.020, [161.025,] 161.030

STATUTORY AUTHORITY: KRS [156.070,]161.030

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.020, [161.025,]and 161.030 require that educators [teachers] and other professional school personnel hold certificates of legal qualifications for their respective positions to be issued upon completion of programs of preparation [prescribed by the Kentucky Council on Teacher Education and Certification and approved by the State Board of Education]. The traditional [and formal] means of recognizing competency and proficiency for educator [teacher] preparation is by earning academic [in terms of standard college credits and the teacher certification requirements are generally stated in terms of college] credits. This administrative regulation provides an alternate [a] means for recognizing competency and proficiency [that might have been attained in some manner] other than academic credit [college preparation].

Section 1. Definition. (1) Approved program of preparation means a program approved by the Education Professional Standards Board under 16 KAR 5:010 for a specific certification.

(2) Educator preparation provider (EPP) for the purpose of this regulation means a Kentucky college or university that offers educator preparation programs approved by the Education Professional Standards Board.
(3) Proficiency evaluation means the process by which an EPP may evaluate an educator to recognize competency and proficiency. [A state accredited teacher education institution may evaluate and accept competency for teacher certification purposes for any of the specific curriculum requirements when the teacher candidate can demonstrate proficiency by reason of previous education, unusual experience, or proficiency examination at a level comparable to the usual requirements in that curriculum area.]

Section 2. (1) An EPP shall be required to meet the following requirements before conducting proficiency evaluations:

(a) The EPP shall provide notice to the EPSB of its intent to conduct proficiency evaluations; and

(b) The EPP shall provide to the EPSB a plan that sets forth the process by which the EPP shall conduct proficiency evaluations.

(2) Failure to satisfy the requirements of this administrative regulation may result in the following action:

(a) The board may take action against the EPP’s state accreditation; and

(b) The board may notify the Council of Postsecondary Education that the EPP has not met the requirements of KRS 164.097.

Section 3. The EPP shall only conduct proficiency evaluations for the EPP’s existing board traditional and alternate programs approved by the board.

Section 4. When conducting a proficiency evaluation, the EPP may assess proficiency by considering any of the following factors: (1) Previous education; 

(2) Unusual experience; or
(3) Proficiency assessment at a level comparable to the usual requirements in the content area in which the educator is seeking certification.

Section 5. Initial Certification for teacher candidates. (1) An EPP may evaluate and accept competency for initial teacher certification purposes for any of the specific curriculum requirements when the teacher candidate can demonstrate proficiency by reason of previous education, unusual experience, or proficiency examination at a level comparable to the usual requirements in that curriculum area.

(2) The teacher candidate shall be required to:

(a) Satisfy the applicable admission requirements set forth in 16 KAR 5:020; and
(b) Meet the internship requirements set forth in 16 KAR 7:010 or 16 KAR 7:020.

(3) The EPP shall be required to report to EPSB in accordance with the requirements of 16 KAR 5:020 the candidates for whom the EPP completed a proficiency evaluation.

(4) The teacher candidate may demonstrate proficiency of the requirements set forth in 16 KAR 5:040 by reason of previous experience.

(5) The EPP shall be responsible for recommending that the EPSB issue certification.

(6) The EPSB shall issue certificate for teacher candidates in accordance with the requirements of 16 KAR 2:010.

Section 6. Additional Certification for certified educators.

(1) After completing the proficiency evaluation, the EPP shall:

(a) Prepare an educator learning plan (ELP) for the certified educator outlining all necessary requirements to complete a program for additional content area or grade range along with a timeline for completion not to exceed two (2) years; and
(b) Recommend that the EPSB issue a one year provisional certificate in accordance with 16 KAR 2:010.

(2) Upon successful completion of the ELP, the EPP shall recommend that the EPSB issue the educator a professional certificate, a certificate endorsement, or a certificate extension in the additional area in accordance with 16 KAR 2:010, Section 4.

Section 7. (1) The EPSB shall issue a certificate endorsement or extension in accordance with the process set forth in 16 KAR 2:010, Section 5 upon receipt of the educator’s request for certification; proof that the educator has successfully achieved a passing score any required assessment; and recommendation of the EPP.

(2) The EPSB shall not require an educator to complete Kentucky Teacher Internship Program for the issuance of an endorsement or an extension if the certified educator previously completed KTIP for initial certification.

Section 8. Recency. (1) The teacher candidate or certified educator shall submit a request for certification no later than five (5) years after the teacher candidate or educator successfully completes all requirements set forth in the ELP; or

(2) Within twelve (12) months after the EPP discontinues the educator preparation program identified in the ELP, whichever occurs first.
<table>
<thead>
<tr>
<th>Date</th>
<th>David Whaley, Board Chair</th>
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<td>Education Professional Standards Board</td>
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June 19, 2017
PUBLIC HEARING AND PUBLIC COMMENT PERIOD: A public hearing on this administrative regulation shall be held on August 23, 2017 at 9:00 a.m., at 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Individuals interested in being heard at this hearing shall notify this agency in writing by five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until August 31, 2017. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

Contact person: Lisa K. Lang, General Counsel, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601, LisaK.Lang@ky.gov, telephone number (502) 564-4606, and facsimile (502) 564-7080.
REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Regulation Number: 16 KAR 5:030
Contact Person: Lisa K. Lang, Phone 502-564-4606, Email LisaK.Lang@ky.gov

(1) Provide a brief summary of

(a) What this administrative regulation does: This administrative regulation establishes an alternate process by which an educator preparation provider may recognize the competency and proficiency of a prospective educator or certified educator based on something other than college credit.

(b) The necessity of this administrative regulation: This administrative regulation is necessary to ensure that there is a means by which a certified educator can establish proficiency and competency based on that certified teacher’s teaching experience or past college coursework. This administrative regulation is also necessary to ensure that there is a means by which a prospective educator can establish proficiency and competency based on skills that the prospective educator has previously developed.

(c) How this administrative regulation conforms to the content of the authorizing statutes:

KRS 161.020 and 161.030 require that educators and other professional school personnel hold certificates of legal qualifications for their respective positions.

KRS 161.028 provides the EPSB with the authority and responsibility to establish standards and requirements for obtaining and maintaining a teaching certificate; and

KRS 161.028 also provides the EPSB with the authority and responsibility to set standards for and approve programs for the preparation of teachers.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation sets forth the process by which an educator preparation provider must comply in order to recognize the competency and proficiency of a prospective educator or a current educator through a means other than just completing college courses.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation:

This amendment will update a regulation originally promulgated, in part, based on statutes that have been long since repealed (KRS 156.070 and KRS 161.025).

This amendment will expand the scope of proficiency evaluations to include not only prospective educators, but currently certified educators as well.

This amendment will provide separate processes for currently certified educators as well as prospective educators.
This amendment will provide the means by which the EPSB will exercise oversight authority over the proficiency evaluation process used by educator preparation providers.

This amendment will provide consequences to those educator preparation providers that fail to abide by the requirements of this regulation.

This amendment will provide an expedited process for currently certified educators to obtain certificate endorsements or certificate extensions.

This amendment will allow currently certified educators to teach additional content areas or age ranges while taking the coursework needed for the additional areas.

This amendment will provide school districts with a greater pool of candidates to fill areas of teacher shortages.

(b) The necessity of the amendment to this administrative regulation:
This amendment is necessary because the regulation is based, in part, on statutes that have long since been repealed.

This amendment is necessary to provide prospective and current educators a means by which they can establish proficiency and competence for skills they previously developed outside of college coursework.

This amendment is necessary to help school districts fill local teaching shortages.

This amendment is necessary to set forth the process by which the EPSB will exercise authority over educator preparation providers and their use of this regulation.

This amendment is necessary to set forth the consequences for non-compliance by educator preparation programs.

(c) How the amendment conforms to the content of the authorizing statutes:  KRS 161.020, KRS 161.020 and 161.030 require that educators and other professional school personnel hold certificates of legal qualifications for their respective positions.

KRS 161.028 provides the EPSB with the authority and responsibility to establish standards and requirements for obtaining and maintaining a teaching certificate; and

KRS 161.028 also provides the EPSB with the authority and responsibility to set standards for and approve programs for the preparation of teachers.

(d) How the amendment will assist in the effective administration of the statues:  This administrative regulation sets forth the process by which an educator preparation provider must comply in order to recognize the competency and proficiency of a prospective educator or a current educator through a means other than just completing college courses.
(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: This administrative regulation will impact the Education Professional Standards Board and all educator preparation programs. This administrative regulation will also impact prospective teachers, currently certified teachers that choose to request proficiency evaluations from an accredited educator preparation provider, and all Kentucky public schools.

(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment:

An educator preparation provider that would like to do proficiency evaluations will need to: 1) notify the EPSB of its plans to conduct proficiency evaluations; 2) provide the EPSB with a copy of the procedure it will use to conduct the proficiency evaluations; 3) work with prospective educators or currently certified educators to create a plan for obtaining a certification; and 4) recommend prospective educators or currently certified educators for certification. The EPSB will need to receive and review proficiency evaluation plans.

There are no additional requirements placed on prospective educators, currently certified educators, and school districts by this regulation. This regulation is removing barriers to certification.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): The cost should be minimal to educator preparation providers. Educator preparation providers currently charge prospective educators and currently certified educators to do proficiency evaluations. There will be a cost to prospective educators and currently certified educators, but the EPSB has no control over what an educator preparation provider charges for proficiency evaluations.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (3): Prospective educators will have the ability to reduce the number of college courses they need to take to complete their educator preparation program. Currently certified educators will be able to obtain certificate endorsements and certificate extensions while teaching in the area in which they are seeking the certificate endorsement or the certificate extension.

(5) Provide an estimate of how much it will cost to implement this administrative regulation:

(a) Initially: The EPSB does not anticipate there being any cost associated with the initial implementation of this regulation.

(b) On a continuing basis: The EPSB does not anticipate there being any continuing cost associated with the implementation of this regulation.

(6) What is the source of the funding to be used for the implementation and enforcement
Agenda Book

of this administrative regulation: Funds appropriated by the General Assembly to the Education Professional Standards Board.

(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change, if it is an amendment: The EPSB does not anticipate a need for an additional fee or funding increase.

(8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees: This administrative regulation does not establish any fees directly not does it indirectly increase fees collected by the EPSB.

(9) TIERING: Is tiering applied? (Explain why or why not) No, tiering will not apply because all educator preparation providers are required to meet the same requirements.
FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation Number: 16 KAR 5:030
Contact Person: Lisa K. Lang, Phone: (502) 564-4606, Email: LisaK.Lang@ky.gov

1. What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? This administrative regulation will impact the Education Professional Standards Board, educator preparation providers, prospective educators, currently certified educators, and Kentucky public school districts.

2. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation.

KRS 161.020 and 161.030 require that educators and other professional school personnel hold certificates of legal qualifications for their respective positions.

KRS 161.028 provides the EPSB with the authority and responsibility to establish standards and requirements for obtaining and maintaining a teaching certificate; and

KRS 161.028 also provides the EPSB with the authority and responsibility to set standards for and approve programs for the preparation of teachers.

3. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect.

There should be no effect.

   (a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year?

The EPSB does not anticipate that this regulation will result in revenue for state or local government in the first year.

   (b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years?

The EPSB does not anticipate that this regulation will result in revenue for state or local government in the subsequent years.

   (c) How much will it cost to administer this program for the first year?

The EPSB does not anticipate that there will be any additional cost to administer this program in the first year.
(d) How much will it cost to administer this program for subsequent years?

The EPSB does not anticipate that there will be any additional cost to administer this program in subsequent years.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):
Expenditures (+/-):
Other Explanation:
Information/Discussion Item:

16 KAR 2:010. Kentucky Teaching Certificates, Amendment, Notice of Intent

Applicable Statutes and Regulation:

KRS 161.020, 161.028, and 161.030

Applicable Goal(s):

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Background:

16 KAR 2:010 identifies the process by which the Education Professional Standards Board issues certificates to applicants seeking certification through a traditional route and an alternate route.

The proposed amendments to 16 KAR 2:010 are being brought forward largely because the Board will be considering amendments to 16 KAR 5:030 (Proficiency Evaluation). The Board will need to consider amendments to 16 KAR 2:010 in order to effectuate the intent of 16 KAR 5:030. In addition to those proposed amendments, the Board will also be considering amendments including, but not limited to the following:

- Revisions as a result of recent amendments to KRS 161.010 and KRS 161.048 by the General Assembly in the 2017 Legislative Session.
- Revisions necessary to eliminate the need to incorporate by reference an application form.
- Elimination of the criminal background check requirement.
- Revisions as a result of the changes made to federal law.

The proposed amendment regulation will be included in the addendum.

Contact Person:

Ms. Donna Brockman, Division Director
Division of Certification
502-564-4606
E-mail: Donna.Brockman@ky.gov

Date:

June 19, 2017
Agenda Book

EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Action Item A

Action Item:
Statement of Consideration for 16 KAR 2:020. Occupation-Based Career and Technical Education Certification, Amendment, Final Action

Applicable Statutes and Regulation:
KRS 161.020, 161.028, and 161.030
16 KAR 2:020, and 704 KAR 3:303

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the amendment to 16 KAR 2:020 Occupation-Based Career and Technical Education Certificate?

Background:
16 KAR 2:020 identifies the process by which the Education Professional Standards Board issues certificates to applicants seeking occupation-based teacher certification.

The proposed amendment seeks to accomplish the following objectives:
1. Ensure that all applicants complete an approved program of preparation that prepares the applicants to be successful teachers for any information technology, industrial education, public service, health science, or human services occupation area that may be offered under 704 KAR 3:303.
2. Ensure that all certified occupation-based career and technical education teachers have the credentials necessary for their students to receive both high school credit and college credit for the classes taught by these occupation-based teachers.

The public comment period for this proposed amended regulation began on May 1, 2017. The public comment period for this proposed amended regulation will close on May 31, 2017. Because the EPSB has begun receiving comments on this proposed amended regulation, the Board will be required to file a Statement of Consideration with the Legislative Research Commission (LRC). The Board will also have the option of filing an Amended After Comments Regulation if the Board decides to make additional changes to the proposed amended regulation based on public comment.

Potential Actions:
1. Approve the proposed amendments to 16 KAR 2:020.
2. Do not approve the proposed amendments to 16 KAR 2:020.
3. Modify the proposed amendments to 16 KAR 2:020.
Staff Recommendation:
Potential Action 1

Rationale:
Based on feedback received from LRC staff as well as public comment, EPSB staff will recommend that the Board consider revising the proposed amended regulation previously filed with LRC. The exact nature of those revisions will be included in the Statement of Consideration submitted to the Board under separate cover after the public comment period closes.

Contact Person:
Ms. Lisa Lang, General Counsel
Division of Executive Office
502-564-4606
E-mail: Lisa.Lang@ky.gov

Date:
June 19, 2017
Action Item:
Statement of Consideration for 16 KAR 8:040. Ranking of Occupation-Based Career and Technical Education Teachers, Amendment, Final Action

Applicable Statutes and Regulation:
KRS 156.095, 161.020, 161.028, 161.030, 161.1211, 16 KAR 8:040

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the amendment to 16 KAR 8:040 Ranking of Occupation-Based Career and Technical Education Teachers?

Background:
16 KAR 8:040 identifies the classification requirements for occupation-based career and technical education teachers.

The proposed amendment seeks to eliminate the three year teaching experience requirement for all occupation-based career and technical education teachers seeking classification for Ranks I and II.

This proposed amendment also makes Occupation-Based Career and Technical Education Teachers eligible for a rank change based on college degrees earned.

The public comment period for this proposed amended regulation began on May 1, 2017. The public comment period for this proposed amended regulation will close on May 31, 2017. Because the EPSB has begun receiving comments on this proposed amended regulation, the Board will be required to file a Statement of Consideration with the Legislative Research Commission (LRC). The Board will also have the option of filing an Amended After Comments Regulation if the Board decides to make additional changes to the proposed amended regulation based on public comment.

Potential Actions:
1. Approve the proposed amendment to 16 KAR 8:040.
2. Do not approve the proposed amendment to 16 KAR 8:040.
3. Modify the proposed amendment to 16 KAR 8:040.

Staff Recommendation:
Potential Action 1
Rationale:
Based on public comment, EPSB staff will recommend that the Board consider revising the proposed amended regulation previously filed with LRC. The exact nature of those revisions will be included in the Statement of Consideration submitted to the Board under separate cover after the public comment period closes.

Contact Person:
Ms. Lisa Lang, General Counsel
Division of Executive Office
502-564-4606
E-mail: Lisa.Lang@ky.gov

Date:
June 19, 2017
Action Item:
Statement of Consideration for 16 KAR 5:020. Standards for Admission to Educator Preparation

Applicable Statutes and Regulation: KRS 161.020, 161.028, 161.030, 161.048; 16 KAR 5:020

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board revise the proposed amendments to 16 KAR 5:020, Standards for Admission to Educator Preparation, based on comments received during the public comment period?

Background:
16 KAR 5:020 identifies the minimum standards for admission to approved educator preparation programs.

The proposed amendment seeks to accomplish the following objectives:

1. Adds the minimum standards for admission for occupation-based career and technical education.
2. Adds the minimum standards for admission to advanced programs.
3. Makes clear that all educator preparation program providers must notify the EPSB when a candidate is admitted into an approved program; it provides a timeline for that notification; and it provides a consequence if notification is not made.
4. Adjusts the timeframe in which educator preparation providers have to exit candidates who have not enrolled in at least one course required for program completion.
5. Adds an assessment recency requirement.
6. Removes out dated language that is no longer necessary.

Teacher quality is the most important school-based factor in determining student success. The EPSB has the responsibility to ensure that all educator candidates are of high quality and are equipped to enter their classrooms prepared to excel. This amendment reflects Kentucky’s commitment that only quality educator candidates are admitted into approved educator preparation programs.

The public comment period for this proposed amended regulation began on May 1, 2017. The public comment period for this proposed amended regulation will close on May 31, 2017. Because the EPSB has begun receiving comments on this proposed amended regulation, the Board will be required to file a Statement of Consideration with the Legislative Research Commission (LRC). The Board will also have the option of filing an Amended After Comments
Regulation if the Board decides to make additional changes to the proposed amended regulation based on public comment.

Potential Actions:
1. Approve the recommended amendment to 16 KAR 5:020 as presented.
2. Do not approve the recommended amendment to 16 KAR 5:020 as presented.
3. Modify the recommended amendment to 16 KAR 5:020.

Staff Recommendation:
Potential Action 1

Rationale:
Based on feedback received from LRC staff as well as public comment, EPSB staff will recommend that the Board consider revising the proposed amended regulation previously filed with LRC. The exact nature of those revisions will be included in the Statement of Consideration submitted to the Board under separate cover after the public comment period closes.

Contact Person:
Ms. Lisa Lang, General Counsel
Division of Executive Office
502-564-4606
E-mail: LisaK.Lang@ky.gov

Date:
June 19, 2017
Agenda Book

EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Action Item D

**Action Item:**
Intent to Establish 16 KAR 1:015 Standards for Certified Teacher Leader

**Applicable Statutes and Regulation:**
KRS 161.020, 161.028, 161.030

**Applicable Goal(s):**
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**
Should the Education Professional Standards Board approve the establishment of 16 KAR 1:015 Standards for Certified Teacher Leader?

**Background:**
KRS 161.020(1) states, “No person shall be eligible to hold the position of superintendent, principal, teacher, supervisor, director of pupil personnel, or other public school position for which certificates may be issued, or receive salary for services rendered in the position, unless he or she holds a certificate of legal qualifications for the position, issued by the Education Professional Standards Board.” Furthermore, KRS 161.028(1)(a) states that EPSB is to “Establish standards and requirements for obtaining and maintaining a teaching certificate;”.

During the October 2016 meeting, the Board accepted the recommendations from the Teacher Leader Master’s Review Committee. The committee recommended that the Teacher Leader programs reflect the Teacher Leader Model Standards, which align with InTASC Standards. These standards identify the knowledge, skills, and competencies that teachers need in order to assume leadership roles in their schools, districts, and the profession.

During the April 2017 meeting the Board received information as to the establishment of 16 KAR 1:015 to reflect the recommendations accepted at the October 2016 meeting.

**Potential Actions:**
1. Approve the recommended regulation as presented.
2. Do not approve the recommended regulation as presented.
3. Modify the recommended regulation.

**Staff Recommendation:**
Potential Action 1
Rationale:
16 KAR 1:015 establishes the standards required for certified teachers to obtain or maintain certification as a teacher leader. The proposed standards are aligned with the Council for the Accreditation of Education Preparation (CAEP) Standards for Advanced Programs. This administrative regulation will assist educator preparation programs when creating advanced programs for teacher leaders. It will also guide the EPSB when reviewing and approving advanced programs for teacher leaders proposed by education preparation providers.

Contact Person:
Dr. Ben Boggs, Division Director
Division of Educator Preparation
502-564-4606
E-mail: Ben.Boggs@ky.gov

Date:
June 19, 2017
EDUCATION AND WORKFORCE DEVELOPMENT CABINET

Education Professional Standards Board

(New Administrative Regulation)

16 KAR 1:015. Standards for Certified Teacher Leader.

RELATES TO: KRS 161.020, 161.028, 161.030

STATUTORY AUTHORITY: KRS 161.020, 161.028, 161.030

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028 requires the Education Professional Standards Board to establish standards for obtaining and maintaining educator certification. This administrative regulation establishes the standards required for certified teachers to obtain or maintain certification as a teacher leader.

Section 1. Teacher Leader Standards for Educator Preparation and Certification. Effective August 2019, the Education Professional Standard Board shall use the standards established in this section in the evaluation and assessment of a teacher leader for advanced certification and for the approval of teacher leader master preparation programs.

(1) Standard 1. Foster a Collaborative Culture to Support Educator Development and Student Learning.

(a) The teacher leader shall be well versed in adult learning theory and shall use that knowledge to create a community of collective responsibility within his or her school; and

(b) In promoting this collaborative culture among fellow teachers, administrators, and other school leaders, the teacher leader shall ensure improvement in educator instruction and, consequently, student learning.
   (a) The teacher leader shall keep abreast of the latest research about teaching effectiveness and student learning, and shall implement best practices where appropriate; and
   (b) He or she shall model the use of systematic inquiry as a critical component of teachers’ ongoing learning and development.

   (a) The teacher leader shall understand that the processes of teaching and learning are constantly evolving; and
   (b) The teacher leader shall design and facilitate job-embedded professional development opportunities aligned with school improvement goals.

(4) Standard 4. Facilitate Improvements in Instruction and Student Learning.
   (a) The teacher leader shall possess a deep understanding of teaching and learning, and model an attitude of continuous learning and reflective practice for colleagues; and
   (b) The teacher leader shall work collaboratively with other teachers to improve instructional practices constantly.

(5) Standard 5: Promote the Use of Assessments and Data for School and District Improvement.
   (a) The teacher leader shall be knowledgeable about the design of assessments, both formative and summative; and
   (b) He or she works with colleagues to analyze data and interpret results to inform goals and to improve student learning.

(6) Standard 6: Improving Outreach and Collaboration with Families and Community
(a) The teacher leader shall understand the impact that families, cultures, and communities have on student learning; and

(b) As a result, the teacher leader shall seek to promote a sense of partnership among these different groups toward the common goal of excellent education.

(7) Standard 7: Advocate for Student Learning and the Profession.

(a) The teacher leader shall understand the landscape of education policy and shall identify key players at the local, state, and national levels; and

(b) The teacher leader shall advocate for the teaching profession and for policies that benefit student learning.
PUBLIC HEARING AND PUBLIC COMMENT PERIOD: A public hearing on this administrative regulation shall be held on August 23, 2017 at 9:00 a.m., at 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Individuals interested in being heard at this hearing shall notify this agency in writing by five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until August 31, 2017. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

Contact person: Lisa K. Lang, General Counsel, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601, LisaK.Lang@ky.gov, telephone number (502) 564-4606, and facsimile (502) 564-7080.
REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Regulation Number: 16 KAR 1:015
Contact Person: Lisa K. Lang, Phone 502-564-4606, Email LisaK.Lang@ky.gov

(1) Provide a brief summary of

(a) What this administrative regulation does: This administrative regulation establishes teacher leader standards.

(b) The necessity of this administrative regulation: Through this regulation, the EPSB is establishing the standards educator preparation providers will need to use when creating advanced programs for teacher leaders.

(c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 161.028(1)(b) states that the Education Professional Standards Board has the authority and responsibility to “[s]et standards for programs of preparation of teachers and other school personnel.”

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation will assist educator preparation programs when they are creating advance programs for teacher leaders. It will also guide the EPSB when reviewing and approving advanced programs for teacher leaders proposed by education preparation providers.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) This is a new administrative regulation.

(b) The necessity of the amendment to this administrative regulation: This is a new administrative regulation.

(c) How the amendment conforms to the content of the authorizing statutes: This is a new administrative regulation.

(d) How the amendment will assist in the effective administration of the statutes: This is a new administrative regulation.

(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: This administrative regulation will affect those education preparation providers interested in offering advanced programs for teacher leaders.

(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: Educator preparation providers will need to use these standards when creating advanced programs for teacher leaders. Educator preparation providers will need to be able to demonstrate to the EPSB during program reviews that the educator preparation provider is teaching the knowledge, skills, and competencies necessary for successful teacher leaders.

(b) In complying with this administrative regulation or amendment, how much will it cost
each of the entities identified in question (3): This new administrative regulation should not result in any additional cost to the educator preparation providers.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (3): Teaching quality is the most important school-based factor in determining student success and we must ensure that all Kentucky teachers enter their classroom prepared to excel. This new administrative regulation will help ensure the educator preparation providers are developing advanced programs that will prepare experienced teachers to become leaders in their schools and districts.

(5) Provide an estimate of how much it will cost to implement this administrative regulation:
   (a) Initially: The EPSB does not anticipate any cost will be associated with this new administrative regulation.

   (b) On a continuing basis: The EPSB does not anticipate any cost will be associated with this new administrative regulation.

(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: Funds appropriated by the General Assembly to the Education Professional Standards Board.

(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change, if it is an amendment: The EPSB does not anticipate an additional fee or funding increase.

(8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees: This administrative regulation does not establish any fees directly nor does it indirectly increase fees.

(9) TIERING: Is tiering applied? (Explain why or why not) Tiering has not been applied to this regulation. This new administrative regulation applies to all educator preparation providers.
FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation Number: 16 KAR 1:015:
Contact Person: Lisa K. Lang, Phone: (502) 564-4606, Email: LisaK.Lang@ky.gov

1. What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? This administrative regulation will impact those educator preparation providers that currently offer advanced programs for teacher leaders as well as those educator preparation providers that plan on developing an advanced program for teacher leaders.

2. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 161.028(1)(b) provides that the Education Professional Standards Board shall set standards for programs for the preparation of teachers.

3. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect.
   (a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? No revenue will be generated.
   (b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? No revenue will be generated.
   (c) How much will it cost to administer this program for the first year? There is no additional cost for the EPSB to administer this program.
   (d) How much will it cost to administer this program for subsequent years? There is no additional cost for the EPSB to administer this program.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):
Expenditures (+/-):
Other Explanation:
Action Item:
Intent to issue an Occupation-based and Career Technical Educator Certification Assessment Order specifying the specialty assessments and passing scores for new applicants seeking Occupation-based Career and Technical Education certification and educators seeking an additional Occupation-based Career and Technical Educator certification.

Applicable Statutes and Regulation:
KRS 161.010, 161.028(1), 161.030(3), (4)
16 KAR 6:020

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the proposed order identifying specialty assessments and passing scores for new applicants and educators as proposed by the Kentucky Department of Education?

Background:
At the February 2017 Board meeting, the Education Professional Standards Board approved a motion to file proposed amendments to 16 KAR 6:020 (Assessment requirements for occupation-based career and technical education teachers). In the proposed regulation amendment filed, the regulation provided as follows:

1. "Specialty assessment scores for new applicants and educators seeking an additional certificate under 16 KAR 2:020 shall be determined by the EPSB in consultation with the Kentucky Department of Education"; and that

2. "EPSB shall specify the specialty assessments and passing scores for new applicants and educators seeking additional certification via order of the EPSB" and that the "order shall be posted on the website for the EPSB."

Pursuant to the proposed amended regulation, the EPSB determined in consultation with the Kentucky Department of Education the proposed specialty assessment scores for OCTE certification. Because it is anticipated that the proposed amended regulation will go into effect in

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1 This action item was presented at the April 2017 Board meeting as an information item: "Intent to Establish Writing Examination Prerequisites for Occupation-Based Career and Technical Education Teachers".
July 2017, the EPSB staff is bringing this proposed order forward for final action by the Board so that it can be posted to the EPSB's website as soon as 16 KAR 6:020 becomes effective.

**Potential Actions:**
1. Approve the proposed order as presented.
2. Do not approve the proposed order as presented.
3. Modify the proposed order.

**Staff Recommendation:**
Potential Action 1

**Rationale:**
Teacher quality is the most important school-based factor in determining student success and EPSB’s purpose is to ensure that all Kentucky teachers enter their classrooms prepared to excel. All teacher candidates must successfully demonstrate essential knowledge via comprehensive assessments, which help measure skills and content knowledge.

This action item incorporates the OCTE assessment requirements determined by EPSB staff in consultation with KDE into a proposed order that will be placed on the EPSB's website.

**Contact Person:**
Dr. Ben Boggs, Director
Division of Educator Preparation
502-564-4606
E-mail: Ben.Boggs@ky.gov

**Date:**
June 19, 2017
Agenda Book

EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Waiver A

Action Item:
16 KAR 2:020. Request to Waive Renewal Requirements, Mr. Ron Herp, Jr.

Applicable Statutes and Regulation:
KRS 161.010, KRS 161.028, KRS 161.030, 16 KAR 2:020

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board grant a waiver for the six semester hours of college credit for a one-year renewal?

Background:
16 KAR 2:020(3)(a) provides that an OCTE teacher must complete a minimum of six (6) hours of college credit in order to renew the OCTE teacher's provisional certificate:

(3) Subsequent renewal of one (1) year provisional certificate. Any subsequent renewal of the one (1) year provisional certificate to an applicant who has submitted a completed CA-3 after the successful completion of the internship shall require:
   (a) The completion of a minimum of six (6) semester hours of college credit for each renewal selected from the sixty-four (64) semester hour planned program for the preparation of teachers in information technology, industrial education, public service, health science, or human services occupations established in Section 4 of this administrative regulation; …

Ronald Herp, Jr. holds a Certificate For Career/Technical Education – Occupation Based, One-Year Certificate For Teaching (carpentry). He is requesting that the Board waive the six semester hours of college credit required to renew his certificate for one year.

In support of this request, Mr. Herp states that he has been receiving treatments for cancer since August 2016. He further states these treatments have caused side effects that have made it extraordinarily difficult for him to complete the required schoolwork. Mr. Herp has submitted a letter from his doctor.
Groups/Persons Consulted:
Certification staff

Potential Actions:
1. Approve request to grant a waiver for the six semester hours of college credit for a one-year renewal.
2. Do not approve request to grant a waiver for the six semester hours of college credit for a one-year renewal.

Contact Person:
Ms. Donna Brockman, Division Director
Division of Certification
502-564-4606
E-mail: Donna.Brockman@ky.gov

Date:
June 19, 2017
Action Item: 
16 KAR 8:010. Request to Waive Rank I Classification Requirements, Mr. Aaron Sams

Applicable Statutes and Regulation:
KRS 161.010, KRS 161.028, KRS 161.030
16 KAR 8:010, 16 KAR 8:020

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the request to waive classification requirements for Rank I?

Background:
16 KAR 8:010 and 16 KAR 8:020 provide that rank change shall require the completion of a master's degree program from a college or university that meets the standards established by the Education Professional Standards Board in KAR Title 16. Specifically, rank change must be in keeping with one or more of the following purposes:

(a) In a professional education specialty for which certification is issued;  
(b) In an academic subject for which teacher certification is issued; or  
(c) In professional education with emphasis in an academic subject for which certification is issued.

Mr. Sams has a Professional Certificate For Teaching Social Studies, Grades 8 Through 12. Mr. Sams would like to apply for a Rank I based on the completion of a Masters of Divinity degree. Because biblical literacy has been added as a content area for social studies, Mr. Sams states that he believes that his Masters of Divinity degree satisfies one or more of the purposes set forth in 16 KAR 8:020.

Groups/Persons Consulted:
Certification staff

Potential Actions:
1. Approve request to waive Rank I classification requirements.  
2. Do not approve request to waive Rank I classification requirements.
Contact Person:
Ms. Donna Brockman, Division Director
Division of Certification
502-564-4606
E-mail: Donna.Brockman@ky.gov

Date:
June 19, 2017
EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE
Waiver C

Action Item:
16 KAR 4:030. Request to Waive Out-of-State Educator Preparation Requirements, Ms. Megan Poage

Applicable Statutes and Regulation:
KRS 161.010, KRS 161.028, KRS 161.030
16 KAR 4:030, 16 KAR 2:010

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the applicant’s request to waive out-of-state educator preparation requirements?

Background:
16 KAR 4:030 provides that an applicant for certification that has completed an out-of-state educator preparation program may be eligible for a Kentucky teaching certificate if that applicant possesses an out-of-state license or certificate:

Section 3. Teacher Certification. (1) An applicant for Kentucky teacher certification whose professional preparation was completed at an out-of-state educator preparation provider shall:
   (a) Possess a teacher license or certificate equivalent to the Kentucky statement of eligibility from the state, territory, or province where the applicant completed his or her preparation program;
   (b) Satisfy the degree, academic preparation, and grade point requirements established in 16 KAR 2:010;
   (c) Provide evidence that the out-of-state license or certificate was obtained by completion of an approved educator preparation program and not based on the completion of a written or verbal assessment; and
   (d) Follow the procedures for certificate application established in 16 KAR 2:010.

(2) An applicant for Kentucky teacher certification whose professional preparation was completed at an out-of-state educator preparation provider and who meets the requirements of Section 2 of this administrative regulation and subsection (1) of this section shall be issued a Kentucky teaching certificate or statement of eligibility established in 16 KAR 2:010 at the grade range and content area corresponding to the out-of-state preparation.

Megan Poage completed an educator preparation program in Indiana. Ms. Poage applied for an Indiana teaching certificate, but was denied that certificate because Ms. Poage failed to meet
Indiana's assessment requirements. Ms. Poage is requesting that the Board waive the out-of-state license requirement set forth in 16 KAR 4:030.

As grounds for this request, Ms. Poage states that the state of Indiana changed its assessment provider for teacher certification after Ms. Poage had already been in the educational degree program for two years. Her Indiana education preparation provider could not change and/or develop new curriculum to address the new assessment provider requirements for those teacher candidates in a current educator preparation program. Ms. Poage attempted and failed the new Indiana assessment six times.

Ms. Poage further states that she is not the only teacher candidate that has struggled to pass Indiana's new assessment. She states that 1 of 8 University of Southern Indiana teacher candidates passed the assessment.

Ms. Poage served as an emergency substitute at Tates Creek Middle School in the spring semester of the 2016-2017 for a teacher that decided to retire in January 2017.

Ms. Poage has provided a spiral bound packet in support of her waiver request that contained seven letters of recommendation including:

- Dr. Byron Ernest, Member, Indiana State Board of Education
- Dr. Jason Hardgrave, Chair, Department of History, University of Southern Indiana
- Lucy Steinhart, Social Studies Department Chair, Key Club Faculty Advisor
- Gregory E. Quenon, Academic Dean, Tates Creek Middle School
- Kendra Schadler, 7th Grade Spartan Team Leader, Tates Creek Middle School
- Brad Turpin, Jr., Woodford County High School Head Coach, Women's Soccer Coach
- Christine Flynt, Vice President of Select for Georgetown FC (soccer)

Groups/Persons Consulted:
Certification staff

Potential Actions:
1. Approve request to waive assessment prerequisites for teacher certification.
2. Do not approve request to waive assessment prerequisites for teacher certification.

Contact Person:
Ms. Donna Brockman, Division Director
Division of Certification
502-564-4606
E-mail: Donna.Brockman@ky.gov

Date:
June 19, 2017
EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Waiver D

**Action Item:**
16 KAR 2:120. Request to Waive Emergency Certificate Requirements, Mr. Alex Ryan Tungate

**Applicable Statutes and Regulation:**
KRS 161.010, KRS 161.028, KRS 161.030
16 KAR 2:120

**Applicable Goal(s):**
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**
Should the Education Professional Standards Board approve applicant’s request that the requirements of 16 KAR 2:120 be waived for ESL emergency certification?

**Background:**
16 KAR 2:120 provides that the EPSB cannot issue an emergency certificate to the same person in any subsequent year unless certain conditions are met:

(b) An emergency certificate shall not be issued to the same person in any subsequent year unless the original emergency certificate was issued under the following conditions:
   1. The emergency certificate was issued after February 15 of a school year; or
   2. The emergency certificate was issued for less than fifty (50) percent of the person’s class schedule.
(c) If an emergency certificate is issued to a person pursuant to paragraph (b) of this subsection, there shall be no more than one (1) subsequent issuance of an emergency certificate to the same person.

Alex Tungate is requesting that the requirements of 16 KAR 2:120 be waived. Mr. Tungate currently has a Temporary Provisional Certificate For Biology, Grades 8-12, Limited To Employment In the Following School District: Warren County. Mr. Tungate has an Emergency Certificate For Teaching English As A Second Language. His emergency certificate expires at the conclusion of the 2016-2017 school year. GEO International High School in Warren County has been unable to fill the position for which Mr. Tungate was issued his emergency certificate. Mr. Tungate requests that the EPSB issue him a second emergency certificate so that he may continue to fill this position.

In support of this request, Mr. Tungate states that he is willing to obtain an ESL endorsement, but is unable to do so until he has his professional teaching certificate.
Mr. Tungate has also submitted a letter in support of this waiver request by Adam Hatch, Associate Principal, GEO International High School, Warren Central High School. Mr. Hatch confirms that the GEO International High School has been unable to fill this position. Mr. Hatch further states that Mr. Tungate has undergone intensive training during his tenure with GIHS in language proficiency and project based learning through the International's Network in New York City. He also states that Mr. Tungate has been instrumental in making the ESL program at GIHS a success.

**Groups/Persons Consulted:**
Certification staff

**Potential Actions:**
1. Approve request for second ESL emergency certificate.
2. Do not approve request for second ESL emergency certificate.

**Contact Person:**
Ms. Donna Brockman, Division Director
Division of Certification
502-564-4606
E-mail: Donna.Brockman@ky.gov

**Date:**
June 19, 2017
Agenda Book

EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Waiver E

Action Item:
16 KAR 4:080. Request to Waive Out-of-State Recency Requirements, Superintendent Collins on behalf of Ms. Chanelle Collins

Applicable Statutes and Regulation:
KRS 161.010, KRS 161.028, KRS 161.030
16 KAR 4:080, 16 KAR 2:010, 16 KAR 2:020

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the request that EPSB waive the requirements of 16 KAR 4:080 which requires that the out-of-state applicant complete six semester hours of graduate credit to renew the applicant's certificate?

Background:
16 KAR 4:080 provides that an out-of-state educator who applied for and received a Kentucky teaching certificate must complete six hours of graduate credit in order to renew the applicant's Kentucky certificate:

Section 1. Teacher certification issued initially under the provisions of 16 KAR 2:010 or 16 KAR 2:020 shall comply with the provisions of KRS 161.030 and the requirements and procedures established in this section.

(1) There shall be a recency of preparation prerequisite for the issuance of a certificate.

(a) Except as provided in paragraphs (b) and (c) of this subsection, an out-of-state applicant for initial Kentucky certification shall have prepared as a teacher or completed six (6) semester hours of graduate credit within the five (5) years preceding application.

(b) An out-of-state applicant for initial Kentucky certification who has completed a planned fifth-year program shall be exempt from taking the six (6) additional hours, if the applicant has completed two (2) years of successful teaching experience within the last ten (10) years.

(c)1. A certificate shall be issued for a one (1) year period ending June 30 of the next calendar year if the applicant:
   a. Does not meet the recency of preparation requisite;
   b. Has not previously held a Kentucky teaching certificate;
   c. Otherwise qualifies for certification; and
d. Agrees that six (6) semester hours of credit applicable toward the usual renewal requirement shall be completed by September 1 of the year of expiration.

Aaron Collins, Fulton County Superintendent, submitted this request on behalf of three teachers in his district who obtained Kentucky certificates based on their Tennessee certificates.

Chanelle Collins holds a Professional Certificate For Teaching Music, All Grades. She also holds a Tennessee teaching certificate. Ms. Collins recently renewed her Tennessee certificate through professional development. She, however, has not completed six semester hours of graduate coursework necessary to renew her Kentucky certificate. Ms. Collins seeks a waiver that would allow her to renew her certificate without completing six semester hours of graduate coursework.

**Groups/Persons Consulted:**

Certification staff

**Potential Actions:**

1. Approve request to waive six semester hours required to renew certificate pursuant to 16 KAR 4:080.

2. Do not approve request to waive six semester hours required to renew certificate pursuant to 16 KAR 4:080.

**Contact Person:**

Ms. Donna Brockman, Division Director
Division of Certification
502-564-4606
E-mail: Donna.Brockman@ky.gov

**Date:**

June 19, 2017
Waiver F

Action Item:
16 KAR 4:080. Request to Waive Out-of-State Recency Requirements, Superintendent Collins on behalf of Ms. Bethany Goodman

Applicable Statutes and Regulation:
KRS 161.010, KRS 161.028, KRS 161.030
16 KAR 4:080, 16 KAR 2:010, 16 KAR 2:020

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the request that EPSB waive the requirements of 16 KAR 4:080 which requires that the out-of-state applicant complete six semester hours of graduate credit to renew the applicant's certificate?

Background:
16 KAR 4:080 provides that an out-of-state educator who applied for and received a Kentucky teaching certificate must complete six hours of graduate credit in order to renew the applicant's Kentucky certificate:

Section 1. Teacher certification issued initially under the provisions of 16 KAR 2:010 or 16 KAR 2:020 shall comply with the provisions of KRS 161.030 and the requirements and procedures established in this section.

(1) There shall be a recency of preparation requisite for the issuance of a certificate.

(a) Except as provided in paragraphs (b) and (c) of this subsection, an out-of-state applicant for initial Kentucky certification shall have prepared as a teacher or completed six (6) semester hours of graduate credit within the five (5) years preceding application.

(b) An out-of-state applicant for initial Kentucky certification who has completed a planned fifth-year program shall be exempt from taking the six (6) additional hours, if the applicant has completed two (2) years of successful teaching experience within the last ten (10) years.

(c) A certificate shall be issued for a one (1) year period ending June 30 of the next calendar year if the applicant:

a. Does not meet the recency of preparation requisite;

b. Has not previously held a Kentucky teaching certificate;

c. Otherwise qualifies for certification; and
d. Agrees that six (6) semester hours of credit applicable toward the usual renewal requirement shall be completed by September 1 of the year of expiration.

Aaron Collins, Fulton County Superintendent, submitted this request on behalf of three teachers in his district who obtained Kentucky certificates based on their Tennessee certificates.

Bethany Goodman holds a Professional Certificate For Teaching Family And Consumer Science, Grades 5 Through 12; a Professional Certificate For Teaching Health, All Grades; and a Professional Certificate For Teaching Physical Education, All Grades. She currently has a young child at home and is pregnant, making her unavailable to work towards obtaining the six hours of graduate credit. She is seeking to waive the six graduate hours required for renewal.

**Groups/Persons Consulted:**
Certification staff

**Potential Actions:**
1. Approve request to waive six semester hours required to renew certificate pursuant to 16 KAR 4:080.
2. Do not approve request to waive six semester hours required to renew certificate pursuant to 16 KAR 4:080.

**Contact Person:**
Ms. Donna Brockman, Division Director
Division of Certification
502-564-4606
E-mail: Donna.Brockman@ky.gov

**Date:**
June 19, 2017
EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Waiver G

Action Item:
16 KAR 2:140.Request to waive restriction of the total validity period of the probationary certificate for interdisciplinary early education

Applicable Statutes and Regulation:
KRS 161.010, KRS 161.028, KRS 161.030
16 KAR 2:140, Section 3 (2a)

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the request for a fourth probationary certificate for IECE?

Background:
16 KAR 2:140, Section 3 (2a) states the total validity period of the probationary certificate for interdisciplinary early education shall not exceed three (3) years.

Beverly Yancey currently holds a professional certificate for teaching in elementary school, primary through grade 5, and a probationary certificate for early interdisciplinary childhood education, birth to primary. Mrs. Yancey seeks a waiver to allow her additional time to complete the required six semester hours for an early interdisciplinary childhood certificate. Mrs. Yancey states the extraordinary circumstance causing this request is due to being the caretaker of her husband during his prolonged illness and subsequent death.

Groups/Persons Consulted:
Certification staff

Potential Actions:
1. Approve request to waive the total validity period of the probationary certificate for early interdisciplinary childhood stating it shall not exceed three (3) years, in order to allow a fourth year.

2. Do not approve request to waive the total validity period of the probationary certificate for interdisciplinary early education stating it shall not exceed three (3) years, in order to allow a fourth year.
Contact Person:
Ms. Donna Brockman, Division Director
Division of Certification
502-564-4606
E-mail: Donna.Brockman@ky.gov

Date:
June 19, 2017
EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Waiver H

Action Item:
16 KAR 8:010. Request to Waive Rank I Classification Requirements, Ms. Kirsten Webb

Applicable Statutes and Regulation:
KRS 161.010, KRS 161.028, KRS 161.030
16 KAR 8:010, 16 KAR 8:020

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the request to waive classification requirements for Rank I?

Background:
16 KAR 8:010 and 16 KAR 8:020 provide that rank change shall require the completion of a master's degree program from a college or university that meets the standards established by the Education Professional Standards Board in KAR Title 16. Specifically, rank change must be in keeping with one or more of the following purposes:

(a) In a professional education specialty for which certification is issued;
(b) In an academic subject for which teacher certification is issued; or
(c) In professional education with emphasis in an academic subject for which certification is issued.

Ms. Webb will be receiving a professional certificate for Teaching Earth Science, Grades 8-12 as well as her Rank II with an effective date of July 1, 2017. Ms. Webb would also like to apply for a Rank I. For her Rank I, Ms. Webb would like for the Board to consider her Master's Degree in Anthropology. Generally speaking, Kentucky does not recognize "anthropology" as a sub-discipline of "earth science". Ms. Webb requests that the EPSB recognize anthropology (biological anthropology) as a sub-discipline of earth science for the purpose of approving a Rank I application.

Ms. Webb has taught Earth and Space Science for three years while working on her Master’s degree. She provided her thesis, which was presented to the University of Florida, and a report written while as a professional archaeologist to support her claim that her Master's Degree is related to the content of the classes she is currently teaching.
Groups/Persons Consulted:
Certification staff

Potential Actions:
1. Approve request to waive Rank I classification requirements.
2. Do not approve request to waive Rank I classification requirements.

Contact Person:
Ms. Donna Brockman, Division Director
Division of Certification
(502) 564-4606
E-mail: Donna.Brockman@ky.gov

Date:
June 19, 2017
Agenda Book

EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE

Waiver I

Action Item:
Applicant requesting waiver of three year teaching experience and teacher’s certificate criteria to obtain a Director of Pupil Personnel certificate

Applicable Statutes and Regulation:
KRS 161.010, KRS 161.028, KRS 161.030
16 KAR 3:030, 16 KAR 5:010

Applicable Goal(s):
Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:
Should the Education Professional Standards Board approve the request to waive the three-year teaching experience and teacher’s certificate criteria to obtain a Director of Pupil Personnel (DPP) certificate?

Background:
16 KAR 3:030 requires that applicants for certification 1) complete a preparation program approved by the Education Professional Standards Board pursuant to 16 KAR 5:010; 2) complete three (3) years of full-time teaching experience; 3) complete the master's degree; and 4) qualify for a Kentucky teaching certificate.

Alvin Elsbernd currently holds a professional certificate for school social worker, and a professional certificate for instructional leadership – principal, all grades, level 2. Mr. Elsbernd has served as school counselor for eleven (11) years and Principal of Alexandria Educational Center for five (5) years. This individual is interested in obtaining a Director of Pupil Personnel certificate. In order to obtain this certificate, Mr. Elsbernd will need to complete an approved DPP program. Before an Educator Preparation Provider (EPP) will admit him to a DPP program, the EPP requests confirmation from this Board that the three-year teaching experience requirement will be waived.

In support of his request, Mr. Elsbernd states, in part, as follows:

I believe that any deficit I may have for not having a teacher's certificate or classroom experience I have more than made up for with my years as a Principal and Counselor.

NOTE: In order to obtain a professional certificate for instructional leadership - principal, all grades, level 2, an applicant must have three years teaching experience. It appears that the EPSB waived this requirement in the past for Mr. Elsbernd. Mr. Elsbernd also provides three letters of recommendation to support his waiver request.
Agenda Book

- Marlene Jones, Director of Special Education, Southgate Independent Schools
- Marinell Kephart, Director of Special Education, Campbell County Schools
- Kerry Hill, Director of Transportation, Campbell County Schools

Groups/Persons Consulted:
Certification staff

Potential Actions:
1. Approve request to waive the three-year teaching experience and teacher’s certificate criteria required to obtain a Director of Pupil Personnel certificate.
2. Do not approve request to waive the three-year teaching experience and teacher’s certificate criteria required to obtain a Director of Pupil Personnel certificate.

Contact Person:
Ms. Donna Brockman, Division Director
Division of Certification
(502) 564-4606
E-mail: Donna.Brockman@ky.gov

Date:
June 19, 2017
Action Item:
Waiver of the Student Teacher Placement

Applicable Statutes and Regulation:
KRS 161.028; KRS 161.030
16 KAR 5:040, Section 6(4)(a)

Application Goal:
Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issues:
Should the EPSB grant a waiver of the student teacher placement requirement that candidates pursuing a primary through grade 12 certificate must have placements balanced between an elementary and middle/high school placement?

Background:
Dr. Sam Evans, Dean, Western Kentucky University College of Education & Behavioral Sciences, is requesting a waiver of Regulation 16 KAR 5:040, Section 6 (4)(a) which requires “candidates pursuing a primary through grade 12 certificate to have their student teaching balanced between an elementary school placement and middle school or high school placement.” This request is for a placement to take place during the Fall 2017 semester. Tanya Escobar, a Western Kentucky University (WKU) student teacher seeking certification in Foreign Language (Spanish) P-12, needs to have her entire placement with a secondary teacher, Mrs. Emili Terry, at Greenwood High School. There are no foreign language programs for elementary schools in the Warren County/Bowling Green school area.

Ms. Escobar will be expected to gain multi-grade level experiences through ESL experiences. The principal, Greg Dunn, has written a letter in support of the waiver request, providing positive evidence of the prospective cooperating teacher’s success in the classroom. A copy of that letter and a letter from WKU were sent under separate cover. A copy of the regulation is attached to this request.

Potential Actions:
1. Approve the waiver request of 16 KAR 5:040, Section 6 (4)(a).
2. Deny the waiver request of 16 KAR 5:040, Section 6 (4)(a).

Staff Recommendation
Potential Action 1
Contact Person:
Dr. Bennett G. Boggs, Director
Division of Educator Preparation
Education Professional Standards Board
(502) 564-4606
E-mail: Ben.Boggs@ky.gov

Date:
June 19, 2017
16 KAR 5:040. Admission, placement, and supervision in student teaching.

RELATES TO: KRS 161.020, 161.028, 161.030, 161.042
STATUTORY AUTHORITY: KRS 161.028, 161.030, 161.042
NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028 requires that an educator preparation institution be approved for offering the preparation program corresponding to a particular certificate on the basis of standards and procedures established by the Education Professional Standards Board. KRS 161.030 requires that a certificate be issued to a person who has completed a program approved by the Education Professional Standards Board. KRS 161.042 requires the Education Professional Standards Board to promulgate an administrative regulation relating to student teachers, including the qualifications for cooperating teachers. This administrative regulation establishes the standards for admission, placement, and supervision in student teaching.

Section 6. Professional Experience. (1) In addition to the appropriate NCATE standards incorporated by reference in 16 KAR 5:010, the educator preparation institution shall provide opportunities for the student teacher to assume major responsibility for the full range of teaching duties, including extended co-teaching experiences, in a real school situation under the guidance of qualified personnel from the educator preparation institution and the cooperating elementary, middle, or high school. The educator preparation program and the school district shall make reasonable efforts to place student teachers in settings that provide opportunities for the student teacher to develop and demonstrate the practical skills, knowledge, and professional dispositions essential to help all P-12 students learn and develop.

(2) A student teacher shall not be placed in a setting that is not consistent with his or her planned certification content and grade range.

(3) Beginning September 1, 2013, the student teacher placement shall provide the student teacher with the opportunity to engage with diverse populations of students.

(4) Beginning September 1, 2013, each educator preparation institution shall provide a full professional semester to include a period of student teaching for a minimum of seventy (70) full days, or its equivalent, in instructional settings that correspond to the grade levels and content areas of the student teacher’s certification program.
   (a) Candidates pursuing a primary through grade 12 certificate shall have their student teaching balanced between an elementary school placement and middle school or high school placement.
   (b) Candidates pursuing an elementary certificate shall have their student teaching balanced between a placement in primary through grade 3 and a placement in grade 4 or grade 5.
   (c) Candidates seeking dual certification in either middle school or secondary content areas shall have equal placements in both content areas.

(5) Beginning September 1, 2013, the educator preparation program shall support the student teacher’s placement and classroom experiences by:
   (a) Cooperating with the district in determining the specific placement of the student teacher;
   (b) Collaborating with the district to provide necessary program resources and expertise;
   (c) Using multiple performance assessments to document the student teacher’s ability to support learning for all P-12 students;
   (d) Requiring the use of technology by the student teacher to:
      1. Enrich the learning of P-12 students; and
      2. Support the student teacher’s professional growth and communication; and
   (e) Providing opportunities for the student teacher to:
      1. Engage in extended co-teaching experiences with an experienced teacher;
      2. Engage in reflective self-assessment that informs practice;
      3. Maintain regular professional conversations with experienced teachers other than the cooperating teacher;
      4. Participate in regular and extracurricular school activities;
      5. Participate in professional decision making; and
      6. Engage in collegial interaction and peer review with other student teachers.
   (6) The educator preparation program shall use the Kentucky Teacher Internship Program Teacher Performance Assessment tasks established in 16 KAR 7:010, Section 2, or a variation of these tasks to meet the requirement specified in subsection (5) of this section....
Agenda Book

Education Professional Standards Board
Staff Note

Waiver K

**Action Item:**
Waiver of the Student Teacher Placement

**Applicable Statues and Regulation:**
KRS 161.028; KRS 161.030
16 KAR 5:040, Section 6 (4)(a)

**Application Goal:**
Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

**Issues:**
Should the EPSB grant a waiver of the student teacher placement requirement that candidates pursuing a primary through grade 12 certificate must have placements balanced between an elementary and middle/high school placement?

**Background:**
Dr. Amy Lingo, Associate Dean, University of Louisville College of Education & Behavioral Sciences, is requesting a waiver of Regulation 16 KAR 5:040, Section 6 (4)(a) which requires “candidates pursuing a primary through grade 12 certificate to have their student teaching balanced between an elementary school placement and middle school or high school placement.” This request is for a placement to take place during the Fall 2017 semester. Jared Ramirez, a University of Louisville (UL) student teacher seeking certification in Foreign Language (Spanish) P-12 needs to have his entire placement with secondary teachers. His first placement will be with Jenn O’Daniel at Meyzeek Middle School and his second placement will be with Rebecca Payton at Eastern High School. There are no foreign language programs staffed with certified teachers for elementary schools in the Jefferson County/Louisville area.

Mr. Ramirez will be expected to gain multi-grade level experiences through ESL experiences. A copy of the letter from Dr. Amy Lingo of UL was sent under separate cover. A copy of the regulation is attached to this request.

**Potential Actions:**
1. Approve the waiver request of 16 KAR 5:040, Section 6 (4)(a).
2. Deny the waiver request of 16 KAR 5:040, Section 6 (4)(a).

**Staff Recommendation**
Potential Action 1
Contact Person:
Dr. Bennett G. Boggs, Director
Division of Educator Preparation
Education Professional Standards Board
(502) 564-4606
E-mail: Ben.Boggs@ky.gov

Date:
June 19, 2017
**Action Item:**
Waiver of the Student Teacher Placement

**Applicable Statutes and Regulation:**
KRS 161.028; KRS 161.030
16 KAR 5:040, Section 6 (4)(a)

**Application Goal:**
Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

**Issues:**
Should the EPSB grant a waiver of the student teacher placement requirement that candidates pursuing a primary through grade 12 certificate must have placements balanced between an elementary and middle/high school placement?

**Background:**
Dr. Amy Lingo, Associate Dean, University of Louisville College of Education & Behavioral Sciences, is requesting a waiver of Regulation 16 KAR 5:040, Section 6 (4)(a) which requires “candidates pursuing a primary through grade 12 certificate to have their student teaching balanced between an elementary school placement and middle school or high school placement.” This request is for a placement to take place during the Fall 2017 semester. Katie Mauer, a University of Louisville (UL) student teacher seeking certification in Foreign Language (Spanish) P-12 needs to have her entire placement with secondary teachers. Her first placement will be with Nikki Marzian at Atherton High School and her second placement will be with Jenn O’Daniel at Meyzeek Middle School. There are no foreign language programs staffed with certified teachers for elementary schools in the Jefferson County/Louisville area.

Ms. Maurer will be expected to gain multi-grade level experiences through ESL experiences. A copy of the letter from Dr. Amy Lingo of UL was sent under separate cover. A copy of the regulation is attached to this request.

**Potential Actions:**
1. Approve the waiver request of 16 KAR 5:040, Section 6 (4)(a).
2. Deny the waiver request of 16 KAR 5:040, Section 6 (4)(a).
Staff Recommendation
Potential Action 1

Contact Person:
Dr. Bennett G. Boggs, Director
Division of Educator Preparation
Education Professional Standards Board
(502) 564-4606
E-mail: Ben.boggs@ky.gov

Date:
June 19, 2017
Action Item:
Waiver of 16 KAR 1:010 Section 1: Standards for certified teachers

Applicable Statutes and Regulations:
KRS 161.028
16 KAR 5:010; 16 KAR 1:010

Applicable Goal:
Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:
Should the Education Professional Standards Board waive Section 1 of 16 KAR 1:010: Standards for certified teachers, for Campbellsville University to use the new Kentucky Teacher Performance Standards which do not go into effect until July 1, 2018?

Background:
In December 2016 the Education Professional Standards Board revised 16 KAR 1:010 which established the standards required for certified teachers to obtain or maintain certification. The regulation was amended in order to align Kentucky’s Teacher Standards with the Interstate Teacher Assessment and Support Consortium (InTASC) standards. The regulation, which became effective on March 31, 2017, states that the Kentucky Teacher Standards that were enacted prior to July 1, 2018, will remain in effect through June 30, 2018.

The School of Education at Campbellsville University (CU) is in the process of preparing for its first accreditation visit by the Council for the Accreditation of Educator Preparation (CAEP) in Fall 2019. CU is requesting a waiver of the current Kentucky Teacher Standards in order to use the new standards that align with the InTASC standards which are required by CAEP. Approval to move forward with the new standards would strengthen CU’s ability to prepare the evidence necessary to demonstrate meeting the standards required for accreditation.

Potential Actions:
1. Approve the proposed waiver of 16 KAR 5:010 Section 1.
2. Modify the proposed waiver of 16 KAR 5:010 Section 1.
3. Do not approve the proposed waiver of 16 KAR 5:010 Section 1.
Rationale:
A waiver of Section 1 of 16 KAR 1:010 is necessary for Campbellsville University to proceed with its efforts to collect and produce data evidence needed for its Fall 2019 accreditation visit by CAEP.

Contact Person:
Dr. Ben Boggs, Director
Division of Educator Preparation
(502) 782-2145
E-mail: Ben.Boggs@ky.gov

Date:
June 19, 2017
Action Item:
Intent to Waive 16 KAR 7:010 Kentucky Teacher Internship Program for New Teacher Institute participants for the 2017-2018 academic year

Applicable Statues and Regulation:
KRS 161.020; KRS 161.028; KRS 161.030
16 KAR 2:020; 16 KAR 7:010

Application Goal:
Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issues:
Should the EPSB grant a waiver for the 2017-2018 academic year from the requirements of 16 KAR 7:010 regarding the Kentucky Teacher Internship Program to the Office of Career and Technical Education of the Kentucky Department of Education?

Background:
At its April 2017 meeting, the Education Professional Standards Board approved amendments to 16 KAR 2:020 regarding occupation-based career and technical education certification. The amendments removed the requirement that the Kentucky Teacher Internship Program (KTIP) be completed prior to the renewal of the initial professional certificate. Under the amended regulation, enrollment in KTIP will be based upon the recommendation of the Kentucky Department of Education (KDE) or an EPSB approved occupation-based educator preparation program. Effectively, these provisions postpone KTIP from the first year to the second year for a new teacher.

KDE is currently working to create an expanded New Teacher Institute (NTI) program as reflected in the Board approved amendments to 16 KAR 2:020. Approving the waiver of the requirements of 16 KAR 7:010 for the 2017-2018 academic year will allow KDE to move KTIP from the first year to the second year of the NTI and will reduce the administrative burden on teachers pursuing occupation-based certification and increase teacher retention.

Potential Actions:
1. Approve the waiver request pertaining to 16 KAR 7:010 for new teachers participating in NTI and pursuing occupation-based certification.
2. Deny the waiver request pertaining to 16 KAR 7:010 for new teachers participating in NTI and pursuing occupation-based certification.
Staff Recommendation
Potential Action 1

Contact Person:
Dr. Bennett Boggs, Director
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Education Professional Standards Board
(502) 564-4606
E-mail: Ben.Boggs@ky.gov

Date:
June 19, 2017