

Agenda Book
EPSB Meeting Agenda
EPSB Offices
100 Airport Road, 3rd Floor, Conference Room A, Frankfort, KY 40601
August 6, 2012

Monday, August 6, 2012

9:00 AM EDT Call to Order

Roll Call

Open Speak

Approval of Consent Items

- A. Approval of May 22, 2012 EPSB Minutes (**Pages 1-44**)
- B. 16 KAR 5:040. Request to Waive the Cooperating Teacher Eligibility Requirements, Dr. Bill Phillips on behalf of Ms. Tammy Camel (**Pages 45-48**) (Dr. Kim Walters-Parker)
- C. School Media Librarian, Grades P-12 (Master of Arts in Education, Initial and Advanced Levels), Murray State University (**Pages 49-50**) (Dr. Walters-Parker)
- D. Elementary Math Specialist Endorsement, Grades P-5 (Advanced Level), University Of Louisville (**Pages 51-52**) (Dr. Walters-Parker)
- E. English as a Second Language Endorsement, Grades P-12 (Advanced Level), Morehead State University (**Pages 53-54**) (Dr. Walters-Parker)
- F. Rank I in Educational Policy Studies and Evaluation, University of Kentucky (**Pages 55-56**) (Dr. Walters-Parker)
- G. English as a Second Language Endorsement, Grades P-12 (Bachelor's Level), Brescia University (**Pages 57-58**) (Dr. Walters-Parker)

Report of the Acting Executive Director

- A. Report from the Kentucky Department of Education
- B. Report from the Council on Postsecondary Education
- C. Recognition of the Troops to Teachers Program (Mr. Mike Carr)

Report of the Chair

Appointments to the Literacy Preparation Advisory Committee
Appointment of the Nominating Committee

Committee Reports

Presentation

Writing Study Report (Dr. Walters-Parker; Mr. Terry Hibpshman)

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Information/Discussion Items

- A. Financial Report for Fiscal Year 2012 (**Pages 59-60**)
(Mr. Gary Freeland)
- B. Awarded Contracts (**Pages 61-62**) (Ms. Ashley Abshire)

Action Items

- A. 16 KAR 6:030. Examination Prerequisites for Principal Certification, Final Action (**Pages 63-74**) (Mr. Robert Brown)
- B. 16 KAR 3:010. Certification for School Superintendent, Final Action (**Pages 75-88**) (Mr. Brown)
- C. Kentucky Teacher Internship Program (KTIP) Appeals (**Pages 89-90**) (Mr. Robert Brown)
- D. Mid-Continent University: Accreditation of the Educator Preparation Unit and Approval of Programs (**Pages 91-102**) (Dr. Kim Walters-Parker)
- E. Morehead State University: Accreditation of the Educator Preparation Unit and Approval of Programs (**Pages 103-106**) (Dr. Walters-Parker)
- F. University of Pikeville: Accreditation of the Educator Preparation Unit and Approval of Programs (**Pages 107-110**) (Dr. Walters-Parker)
- G. Spalding University: Accreditation of the Educator Preparation Unit and Approval of Programs (**Pages 111-114**) (Dr. Walters-Parker)
- H. Charter for Literacy Preparation Advisory Committee (**Pages 115-118**) (Dr. Walters-Parker)

Alternative Route to Certification Application

Brian Hinds, Theatre, All Grades (**Page 119**) (Mr. Carr)

Board Comments

Following a motion in open session, it is anticipated that the board will move into closed session as provided by KRS 61.810 (1)(c) and (1)(j).

Certification Review and Revocation: Pending Litigation Review

Following review of pending litigation and personnel issues, the board shall move into open session. All decisions will be made in open session.

Adjournment

Next Regular Meeting:
September 17, 2012
EPSB Offices

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The actions delineated below were taken in open session of the EPSB at the May 22, 2012 meeting. This information is provided in summary form; an official record of the meeting is available in the permanent records of the Education Professional Standards Board (EPSB), 100 Airport Road, 3rd Floor, Frankfort, KY 40601

**Education Professional Standards Board (EPSB)
Summary Minutes of the Regular Business Meeting
EPSB Offices, 100 Airport Road, 3rd Floor
Frankfort, Kentucky
May 22, 2012**

Consent Item A

Call to Order

Chair Cathy Gunn called the meeting to order at approximately 9:00 a.m. EDT.

Swearing- In of New Board Member

Board Secretary Ashley Abshire swore in the EPSB's newest member, Michael Ross, who introduced himself to the Board. A 25-year teaching veteran, Mr. Ross teaches at Mason County High School in Maysville, KY.

Roll Call

The following members were present during the May 22, 2012, EPSB meeting: Brandy Beardsley, Bradley Bielski, Barbara Boyd, Ellen Blevins, Michael Dailey, John DeAtley, Cathy Gunn, Allen Kennedy, Marie McMillen, Michael Ross, Sandy Sinclair-Curry, Tom Stull, Mark Wasicsko, and Cassandra Webb. Zenaida Smith, Anthony Strong, and Lorraine Williams were absent.

Open Speak

There were two requests for Open Speak.

William Lee Ward

Mr. William Lee Ward requested that the Board review its 2004 decision to place past disciplinary actions (suspensions and revocations) on an individual's teaching certificate. He stated that he believes this policy is harmful to those who have learned from their past mistakes and asked that this policy be changed.

Ann Larson and Gary Schroeder with KACTE

Drs. Ann Larson and Gary Schroeder, the President and Secretary of the Kentucky Association of Colleges for Teacher Education (KACTE) respectively, spoke on KACTE's behalf to update the Board on KACTE's recent activities. Dr. Larson stated that KACTE appreciates the continued collaboration and efforts to improve teacher education and educator preparation in Kentucky. She added that KACTE values the partnership with the EPSB, CPE, and KDE as these groups continue to move forward in their respective fields. Dr. Schroeder informed the Board on recently developed research committees including a committee on the reform in teacher education and a committee on information technology and data systems.

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Dr. Rogers stated that the EPSB appreciates KACTE and looks forward to the work that will be made as Kentucky moves to develop measures that will be required as a result of the Reauthorization of the Higher Education Act and the retooling of the National Council for Accreditation on Teacher Education (NCATE), which will become the Council for the Accreditation of Educator Preparation (CAEP).

Approval of Consent Items

Chair Gunn requested that Board members identify any items on the consent agenda which they wished to discuss prior to taking final action. No items were identified.

2012- 016

Motion made by Dr. Bradley Bielski, seconded by Ms. Marie McMillen, to approve the following items on the consent agenda:

Approval of March 5, 2012 EPSB Minutes

Principal Preparation Program, All Grades, University of Kentucky

Master of Science in Education - Teacher Leader: Interdisciplinary Early Childhood Education (IECE), University of Kentucky

Master of Science in Education - Teacher Leader: Special Education (SPED), University of Kentucky

Approval of Contracts

KTIP Appeal

Vote: *Unanimous*

Dr. Kim Walters-Parker recognized representatives from the University of Kentucky whose programs were approved.

Report of the Executive Director

Report from the Kentucky Department of Education

Mr. Michael Dailey reported on recent events at the Kentucky Department of Education (KDE):

* Meetings on the Professional Growth and Effectiveness System continue to be held. Input from experts will continue throughout the development and implementation phases of this project.

* Teachers from across Kentucky will show how their new approaches to teaching math and literacy skills are benefiting students during the Prichard Committee Showcase on June 4. These strategies are backed by the Bill & Melinda Gates Foundation and have been guided by KDE and the Prichard Committee for Academic Excellence.

* The next Teacher Effectiveness Steering Committee will meet June 13 and 14.

Report from the Council on Postsecondary Education

Mr. John DeAtley reported on recent events at the Council on Postsecondary Education (CPE):

* CPE plans to work with KACTE in finding ways CPE can help grow the implementation of the clinical model across the state.

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* The RFP for the Improving Educator Quality Grant is expected to be released mid-June. New science standards will not be ready to be included with this RFP.

Legislative Update

Ms. Alicia Sneed reported that a state budget was approved for FY 2013. She discussed some bills that passed which pertain to education; however, none of the bills directly affected the EPSB.

An Update on Teach For America

Mr. Will Nash, the executive director for Teach For America-Appalachia, gave an update on Teach For America's work in its first year in Kentucky. Dr. Kim Walters-Parker gave a synopsis of her visit with Mr. Nash at Lynn Camp High School where five Teach For America teachers are located. Her observation was that she saw promising young teachers who with the proper guidance can do an excellent job.

Final Words from the Executive Director

Dr. Phillip Rogers said it has been a wonderful experience to be the executive director of the EPSB and work alongside some of the most passionate professionals in education and state government. He extended best wishes to everyone.

Dr. Gunn presented Dr. Rogers with a plaque to thank him for his hard work and wish him the best on his retirement.

Report of the Chair

Appointments

Kentucky Advisory Council on Internships

Chair Gunn appointed Nicholas Barton to KACI. Chair Gunn reappointed the following individuals to KACI: Melanie Robbins, Janet O'Connell, Roger Wagner, and Randy McCallon.

Information/Discussion Items

16 KAR 6:030. Examination Prerequisites for Principal Certification, Notice of Intent

Mr. Robert Brown reported on recent Praxis updates he received from his visit at the Educational Testing Service (ETS) client conference.

* In the fall, ETS will be eliminating the separate \$50 registration fee that test takers have been required to pay in addition to testing fees.

* Beginning in the fall, score reports will be made available for one year after a test is taken. Currently, score reports are available for 45 days after the test is taken.

* Praxis I will roll out in the Fall 2013.

* Four new computer testing centers in Kentucky are located at Madisonville Community College, University of the Cumberlands, Lindsey Wilson College, and Kentucky Wesleyan College. Kentucky now has ten computer based testing sites and is continuing conversations with other locations for the future.

Mr. Brown further reported that in January 2011, the EPSB approved the recommendation that allowed ETS to administer the Kentucky Specialty Test of Instructional and Administrative

Practices (1015). The first administration of the test was held in September 2011 with no required cut score since a Standard Setting Study (SSS) value for the test had not been completed.

In February 2012, EPSB hosted a SSS facilitated by ETS. The study value from the SSS panel currently falls below the 25th percentile cut score framework adopted by the EPSB. Mr. Brown informed the Board that as stated in the approved framework, if a score falls below the 25th percentile, the cut score can be increased up to two standard errors of measure (SEM) of the established score. Staff intends to recommend a minimum competency score of 158, effective January 1, 2013. This cut score will be reflected in 16 KAR 6:030 and placed on the August agenda for possible final action.

16 KAR 3:010. Certification for School Superintendent, Notice of Intent

Mr. Robert Brown reported on proposed regulatory changes that reflect the recommendation of the Committee to Review Superintendent Preparation Programs. This committee was established as a result of House Joint Resolution 14 which called for the executive director of the EPSB, in cooperation with the commissioner of education and president of CPE, to organize an interagency task force to collaborate with private and public postsecondary education institutions for the redesign of programs for school and district leaders. Highlights of the proposed regulatory changes include:

1. A formalized admission procedure attesting to the candidate's leadership abilities
2. A clinical model requiring the candidate to have experiences in diverse settings
3. A program that focuses on curriculum, instruction, assessment, and specific responsibilities of a candidate throughout the school year
4. A mentoring model that supports the candidate through the superintendent program.

This item will be brought back in August for possible final action.

Awarded Contracts

Ms. Ashley Abshire reported on contracts that were recently awarded by the EPSB as approved by the Board at the January EPSB meeting.

Action Items

Future Board Meeting Dates

2012-017

Motion made by Dr. Bielski, seconded by Mr. Tom Stull, to approve the proposed meeting calendar for 2012-13.

Vote: *Unanimous*

16 KAR 2:120. Emergency Certification and Out-of-Field Teaching, Final Action

Ms. Alicia Sneed reported that in addition to the proposed changes outlined in the staff note and discussed at the March EPSB meeting, staff also proposes to remove the following language in the regulation, "Each local school district shall report by June 30 of each year the number of days of substitute teaching performed by each emergency teacher."

2012-018

Motion made by Ms. Sandy Sinclair-Curry, seconded by Ms. Marie McMillen, to approve the amendments to 16 KAR 2:120 with the proposed change.

Vote: *Unanimous*

Funding for KTIP in 2013

2012-019

Motion made by Ms. McMillen, seconded by Ms. Ellen Blevins, to approve the recommended allocations that set the resource teacher stipend to \$1,120 per intern based on \$28 per hour for 40 hours, the rate to be paid for institution services to \$263 per intern, and the maximum number of interns to be funded at 2,363. Allow EPSB staff to make modifications to the maximum number of interns should CTE funds be received.

Vote: *Unanimous*

Board Comments

Ms. Ellen Blevins requested a flow chart outlining the EPSB disciplinary process. This was in reference to a letter that Board members received from Sharron Oxendine, the president of the Kentucky Education Association. She asked that this information be received prior to the August meeting.

**DISCIPLINARY MATTERS:
MINUTES OF CASE REVIEW
May 22, 2012**

Motion made by Mr. Allen Kennedy, seconded by Ms. Barbara Boy ,to go into closed session for the purpose of discussing proposed or pending litigation in accordance with KRS 61.810(1) (c) & (j).

Vote: *Unanimous*

Motion made by Mr. John DeAtley, seconded by Mr. Michael Ross, to return to open session.

Vote: *Unanimous*

The following board members concurred with the actions as listed below with the noted exceptions:

Tom Stull, Bradley Bielski, Cathy Gunn, John DeAtley, Allen Kennedy, Barbara Boyd, Marie McMillen, Brandy Beardsley, Ellen Blevins, Cassandra Webb, Sandra Sinclair-Curry, Michael Ross, and Mark Wasicsko.

Attorneys present were Alicia A. Sneed, Gary A. Stephens, Cynthia Grohmann, Cassie Trueblood, Whitney Crowe, and Angela Evans.

INITIAL CASE REVIEW

<u>Case Number</u>	<u>Decision</u>
1109757	Dismissed
1108617	Defer for training
1112941	Hear
120145	Admonish
120199	Defer for training
1202110	Dismissed
120191	Defer
120181	Admonish (<i>Mr. Ross dissented</i>)
1112978	Dismissed
1202140	Hear
1202119	Hear
120137	Hear
1202145	Hear
1202129	Dismissed
1202147	Dismissed
120123	Defer for training
120115	Defer for training
1203209	Admonish
1201101	Hear
1112968	Hear
1112957	Hear
1202121	Defer for training
120193	Admonish (<i>Ms. Webb dissented</i>)
120185	Hear
120141	Admonish
120178	Hear
1112931	Hear
12017	Hear
120155	Hear
120125	Dismissed
120187	Admonish (<i>Ms. Webb and Mr. Ross dissented</i>)
120121	Defer for training
120143	Hear
1203194	Hear
1112939	Admonish (<i>Ms. Boyd dissented</i>)
1202114	Hear
1202137	Hear
1112951	Hear
1202123	Hear
1202143	Dismissed
1112970	Hear
1202127	Hear
1202108	Hear

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120189	Hear
12013	Hear
120183	Hear
12011	Dismissed
120147	Hear
12019	Admonish
120113	Admonish
120129	Hear
1112972	Defer for training
120127	Defer for training
120135	Hear
120133	Defer
1203156	Admonish
1203190	Defer for training
1110783	Dismissed
1106443	Dismissed
1009503	Dismissed
1111896	Dismissed
1111875	Dismissed
0905237	Dismissed
1112920	Dismissed
1109677	Dismissed
1109669	Dismissed
1109671	Dismissed
1109675	Dismissed
1109673	Dismissed
1012813	Dismissed

Character/Fitness Review

<u>Case Number</u>	<u>Decision</u>
1251	Approve
12151	Approve
1217	Approve
1263	Deny (<i>Ms. Boyd, Ms. Sinclair-Curry, and Ms. Webb dissented</i>)
12164	Approve
12159	Approve
12170	Approve
12168	Defer
12169	Approve
12182	Approve
11287	Approve
12155	Approve
12184	Approve
12185	Approve

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12191	Approve
11988	Approve
12162	Approve
12200	Approve
12197	Approve
12212	Approve
12207	Approve
12208	Approve
12218	Approve
12217	Approve
12216	Approve
12215	Approve
12214	Approve
12213	Approve
12211	Approve
12210	Approve
12209	Approve
12227	Approve
12239	Approve
12243	Approve
12271	Approve
12272	Approve
12273	Approve
12153	Approve
12181	Approve
12192	Approve
12247	Approve
12276	Approve
12313	Approve
12332	Approve
12340	Approve
12341	Approve
12342	Approve
12343	Approve
12344	Approve
12345	Approve
12339	Approve
12322	Approve
12358	Approve
12353	Approve

Agreed Orders

Case Number

1105344 (Charles Cook)

Decision

Accept Agreed Order which states that Respondent shall neither apply for, nor be issued, a teaching and/or

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administrative certificate in the Commonwealth of Kentucky at any time in the future.

Vote: *Unanimous*

CF11352 (Isaac Moffett)

Accept Agreed Order which states that upon acceptance of this agreement by the Board, Respondent shall be issued a Kentucky teaching certificate upon providing proof that he has met the academic and testing requirements necessary for issuance of a certificate.

The Board strongly recommends that Respondent make every effort to strictly adhere to all state, federal and local laws, and the Professional Code of Ethics for Kentucky School Personnel in order to avoid any possible disciplinary issues in the future.

Vote: *Unanimous*

1103105 (Pamela Nicholas)

Accept Agreed Order suspending Respondent's certificate, including any and all endorsements, for a period of forty five (45) days, with credit for the district suspension of ten days from February 14, 2011 through February 25, 2011. The remaining thirty five (35) day suspension shall be served beginning June 1, 2012. Upon reinstatement after the forty five (45) day suspension period, Respondent's certificate, and any future endorsements or new areas of certification, shall be subject to the following probationary conditions for a period of two (2) years.

1. By June 1, 2012, Respondent shall undergo a comprehensive substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor as approved by the Board and shall present written evidence to the Board that she has complied with the assessment process and has successfully completed any and all treatment recommendations. If Respondent is not able to complete all treatment recommendations by June 1, 2012, she shall submit quarterly written progress reports from her chemical dependency counselor until such time as the counselor releases her from treatments. If Respondent has not successfully completed all treatment recommendations by the end of the two (2) year probationary period, Respondent agrees that the probationary period shall be extended and she shall submit quarterly written progress reports from the chemical dependency counselor to the Board until such time as the counselor releases her from treatment. Any expense for the assessment, treatment and/or reports shall be paid by Respondent.

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2. By September 1, 2012, Respondent shall provide written proof to the Board that she has received twelve (12) hours of professional development/training in ethics as approved by the Board. Any expense for this professional development/training in ethics shall be paid by Respondent.

3. Respondent shall be subject to random drug testing and shall have no positive drug tests during the two (2) year probationary period.

By entering into this Agreed Order, Respondent agrees that should she fail to satisfy any of these conditions, her certificate shall be automatically suspended for a period of six (6) months. If applicable, at the conclusion of the six (6) month suspension, Respondent's certificate shall remain suspended until such time as all of the above conditions are met.

Respondent is aware that should she violate KRS 161.120 either during or following this two year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

Vote: *Unanimous*

090287 (Steven McCuiston) Accept Agreed Order in which Respondent agrees to voluntarily surrender his certificate. Respondent shall neither apply for, nor be issued, a teaching and/or administrative certificate in the Commonwealth of Kentucky at any time in the future. Respondent shall surrender the original certificate and all copies of his certificate to the EPSB, by delivering or mailing them to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

Vote: *Unanimous*

110125 (Heather Wilson) Accept Agreed Order suspending Respondent's certificate, including any and all endorsements, for a period of forty five (45) days, with credit for the district suspension of four days from January 18, 2011 through January 21, 2011. The remaining forty one (41) day suspension shall be served beginning June 1, 2012.

Upon reinstatement after the forty five (45) day suspension period, Respondent's certificate, and any future endorsements or new areas of certification, shall be subject to the following probationary conditions for a period of two (2) years.

1. By June 1, 2012, Respondent shall undergo a comprehensive substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor as approved by the Board and shall present written evidence to the Board that she has complied with the assessment

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process and has successfully completed any and all treatment recommendations. If Respondent is not able to complete all treatment recommendations by June 1, 2012, she shall submit quarterly written progress reports from her chemical dependency counselor until such time as the counselor releases her from treatments. If Respondent has not successfully completed all treatment recommendations by the end of the two (2) year probationary period, Respondent agrees that the probationary period shall be extended and she shall submit quarterly written progress reports from the chemical dependency counselor to the Board until such time as the counselor releases her from treatment. Any expense for the assessment, treatment and/or reports shall be paid by Respondent.

2. By September 1, 2012, Respondent shall provide written proof to the Board that she has received twelve (12) hours of professional development/training in ethics as approved by the Board. Any expense for this professional development/training in ethics shall be paid by Respondent.

3. Respondent shall be subject to random drug testing and shall have no positive drug tests during the two (2) year probationary period.

By entering into this Agreed Order, Respondent agrees that should she fail to satisfy any of these conditions, her certificate shall be automatically suspended for a period of six (6) months. If applicable, at the conclusion of the six (6) month suspension, Respondent's certificate shall remain suspended until such time as all of the above conditions are met.

Respondent is aware that should she violate KRS 161.120 either during or following this two year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

Vote: *Unanimous*

1106407 (Frederick Cox)

Accept Agreed Order admonishing Respondent for failing to uphold the dignity and integrity of the teaching profession. An educator in the Commonwealth of Kentucky has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students. Although Respondent's behavior was without malice or intent to injure, striking a student is inappropriate behavior for a teacher. The Board will not tolerate any further incidents of misconduct from Respondent.

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Respondent has provided proof that he completed training sessions in appropriate boundaries between teachers and students and the professional code of ethics.

Vote: *Unanimous*

1108615 (Amanda Hagerman) Accept Agreed Order which states that during the time of the incidents in question, Respondent was employed as a substitute teacher. Respondent has no plans to return to the classroom and agrees that she shall not seek teacher certification, including emergency substitute teaching, at any point in the future.

Vote: *Unanimous*

1111830 (Christopher Deming) Accept Agreed Order admonishing Respondent for using inappropriate physical force and verbal statements to control a student's behavior. The Board reminds Respondent that he has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students. In the future, Respondent should be more cognizant of appropriate physical boundaries when disciplining a student. The Board will not tolerate any further incidents of misconduct. Upon acceptance of this agreement by the Board, Respondent's certificate shall be on probation for a period of five (5) years and subject to the following probationary conditions:

1. By September 1, 2012, Respondent shall undergo an anger management assessment by a licensed clinical provider, as approved by the Board, and shall present written evidence to the Board that he has complied with the assessment process and has successfully completed all treatment recommendations. Any expense for the assessment, treatment, and/or written reports shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required assessment and/or follow up treatment, and provides the appropriate written proof to the Board.
2. By January 1, 2013, Respondent shall submit written proof to the Board that he has successfully completed twelve (12) hours of professional ethics training, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.
3. During the probationary period, Respondent shall not receive any disciplinary action for maltreatment of students from any school district in which he is employed.

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“Disciplinary action” is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended for a period of one (1) year and subject to additional sanctions by the Board pursuant to KRS 161.120.

Vote: *Unanimous*

- 1112966 (Douglas Groneck) Accept Agreed Order Upon placing Respondent’s certificate on a permanent probation and subject to the following probationary conditions:
1. Prior to accepting certified employment, Respondent shall provide written proof to the Board that he has completed a sexual offender risk assessment by a provider approved by the Sexual Offender Risk Assessment Advisory Board as defined in KRS 17.550(3), and that he does not pose a risk to students. Respondent shall provide proof that he has complied with any treatment recommendations proposed by the provider and shall continue to provide treatment records to the Board until he has been released from treatment by the provider. Any expense for the assessment, treatment and written reports shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent submits the required written documentation to the Board.
 2. Prior to accepting certified employment, Respondent shall provide written proof to the Board that he has been assessed by a state certified mental health counselor, as approved by the Board, and is competent to fulfill his duties as an educator. Respondent shall provide proof that he has complied with any treatment recommendations proposed by the mental health counselor and shall continue to provide treatment records to the Board until he has been released from treatment by the counselor. Respondent shall continue to submit semiannual written progress reports, by January 1st and July 1st of each year, from his treatment provider stating that he is maintaining the counseling relationship and following all treatment recommendations until such time as the counselor releases him from treatment. Any expense for the treatment and written reports shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent submits the required written

documentation to the Board.

3. By January 1, 2013, Respondent shall submit written proof to the Board that he has successfully completed twelve (12) hours of professional ethics training, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

4. During the probationary period, Respondent shall not receive any disciplinary action for inappropriate relationship with students from any school district in which he is employed. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition, his certificate shall be automatically permanently revoked.

Vote: *Unanimous*

110290 (Amanda Johnson)

Accept Agreed Order admonishing Respondent for violating the Administration Code for Kentucky's Educational Assessment Program. The Board reminds Respondent that she has a duty to strictly comply with all administrative procedures related to student testing for the well-being of the students and for the integrity of the testing process. The Board will not tolerate any further incidents of misconduct by Respondent.

Upon acceptance of this agreement by the Board, Respondent's certificate shall be on probation for a period of two (2) years and subject to the following probationary conditions:

1. Respondent has submitted written proof to the Board that she has completed a course on professional ethics.

2. By January 1, 2013, Respondent shall submit written proof to the Board that she has completed six (6) hours of Administration Code training, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

3. During the probationary period, Respondent shall not receive any disciplinary action for violation of the *Administration Code for Kentucky's Educational Assessment Program* from any school district in which she is employed. "Disciplinary action" is defined as any

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suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended for one (1) year and subject to additional sanctions by the Board pursuant to KRS 161.120.

Vote: *Unanimous*

1110766 (Sarah Vencill)

Accept Agreed Order suspending Respondent's certificate for a period of one (1) year beginning October 18, 2011. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Respondent's certificate is currently expired. Upon reissuance, Respondent's certificate shall be on probation for a period of four (4) years and subject to the following probationary conditions:

1. Prior to or within six (6) months of reissuance, Respondent shall submit written proof to the Board that she has completed twelve (12) hours of professional ethics training, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.
2. During the probationary period, Respondent shall not accept a certified position in the Commonwealth of Kentucky unless she holds the required certificate. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended for a period of two (2) years and subject to additional sanctions by the Board pursuant to KRS 161.120.

Vote: *Unanimous (Dr. Gunn recused)*

100257 (Jason Chapman)

Accept Agreed Order permanently revoking Respondent's certificate. Respondent shall neither apply for, nor be issued, a teaching and/or administrative certificate in the Commonwealth of Kentucky at any time in the future. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate, by personal delivery or first class

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mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

Vote: *Unanimous*

- 1106373 (Nancy Mitchem) Accept Agreed Order admonishing Respondent for exercising poor professional judgment in the statements that she made to students. The Board reminds Respondent that she has a duty to take reasonable measures to protect the health, safety, and emotional well-being of her students. Further, as an educator, Respondent must refrain from subjecting students to embarrassment or disparagement. The Board will not tolerate any further incidents of misconduct from Respondent.
- Respondent shall submit written proof to the Board that she has completed twelve (12) hours of cultural competency training, as approved by the Board, by January 1, 2013. Any expense required for said training shall be paid by Respondent. Should Respondent fail to satisfy this condition, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.
- Respondent shall submit written proof to the Board that she has completed twelve (12) hours of professional ethics training, as approved by the Board, by January 1, 2013. Any expense required for said training shall be paid by Respondent. Should Respondent fail to satisfy this condition, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

Vote: *Unanimous*

- 1103239 (Benjamin Cochran) Accept Agreed Order retroactively revoking Respondent's certificate for a period of three (3) years beginning March 3, 2011. Respondent shall neither apply for, nor be issued, a teaching certificate in the Commonwealth of Kentucky during the revocation period. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate to the EPSB, by delivering or mailing to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.
- In addition to any educational requirements, reissuance of Respondent's certificate at the conclusion of the revocation period is expressly conditioned upon Respondent providing written evidence that he has complied with the following:
1. Respondent shall provide written proof to the Board that he has been assessed by a state certified mental health counselor, as approved by the Board, and is competent to

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fulfill his duties as an educator. Respondent shall provide proof that he has complied with any treatment recommendations proposed by the mental health counselor and shall continue to provide treatment records to the Board until he has been released from treatment by the counselor. Any expense incurred for the assessment or follow-up treatment shall be paid by Respondent.

2. Respondent shall provide written proof to the Board that he has successfully completed six (6) hours of professional development or training, as approved by the Board, on sexual harassment awareness in the workplace. Any expense incurred for said training shall be paid by Respondent.

Failure to comply with either of these conditions will result in the denial of all future applications for certification submitted by Respondent or on his behalf.

Vote: *Unanimous*

- 1106387 (Amy Mattingly) Accept Agreed Order retroactively suspending Respondent's certificate for a period of one (1) year beginning May 26, 2011. Respondent shall neither apply for, nor be issued, a teaching certificate in the Commonwealth of Kentucky during the suspension period. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate to the EPSB, by delivering or mailing to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.
- Respondent is admonished for fiscal mismanagement, specifically for failing to properly collect, spend, and/or account for school funds. The Board reminds Respondent that she has a statutory duty to competently perform her duties and to follow all state statutes and regulations relating to schools. The Board will not tolerate incompetence or negligence on the part of certified teachers in the Commonwealth of Kentucky.
- Prior to reinstatement of Respondent's certificate at the conclusion of the one (1) year suspension period, Respondent must comply with the following conditions:
1. Respondent shall submit written proof to the Board that she has completed a course on the Professional Code of Ethics for Kentucky Certified School Personnel as approved by the Board. Any expense required for said training shall be paid by Respondent.
 2. Respondent has submitted written proof to the Board that she has made full restitution in the amount of \$695.00 to

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Fayette County Public Schools.

Should Respondent fail to satisfy either of these conditions, her certificate shall not be reinstated.

Upon reinstatement, Respondent's certificate, and any future endorsements or new areas of certification, shall be subject to the following permanent probationary conditions:

1. For the entirety of the probationary period, Respondent shall not hold a certified position in any school district in the Commonwealth of Kentucky that allows her access to money or financial accounts. Respondent shall file documentation substantiating that she does not hold such position by July 1st of each year. If Respondent is required to collect money from students in a classroom setting, Respondent shall establish safety protocols with the school principal to ensure that any funds collected are properly accounted and shall file these safety protocols with the Board prior to the collection of any money.

2. For the entirety of the probationary period, Respondent shall receive no disciplinary action involving fiscal mismanagement. "Disciplinary action" is defined as any public reprimand, suspension, or termination issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process.

By entering into this Agreed Order, Respondent agrees that should she fail to satisfy any of these conditions during the probationary period, her certificate shall be automatically suspended for a period of six (6) months. If applicable, at the conclusion of the six (6) month suspension, Respondent's certificate shall remain suspended until such time as the probationary conditions are met.

Respondent is aware that should she violate KRS 161.120, during the probationary period, the Board shall initiate new disciplinary action and seek additional sanctions.

Vote: *Unanimous*

1009511 (Brittany Williams) Accept Agreed Order revoking Respondent's certificate for a period of ten (10) years from the date upon which the Board approves this agreement. During the ten (10) year revocation period, Respondent shall neither apply for nor be issued a teaching certificate in the Commonwealth of Kentucky. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original Certificate and all copies to the EPSB, by hand delivering or mailing to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

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Prior to reissuance of Respondent's certificate at the conclusion of the ten (10) year revocation, must comply with the following conditions:

1. Respondent shall undergo a comprehensive alcohol/substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor as approved by the Board and shall present written evidence to the Board that she has complied with the assessment process and has successfully completed any and all treatment recommendations. Any expense for the assessment, treatment and/or written reports shall be paid by Respondent.
2. Respondent shall submit written proof to the Board that she has completed a course, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel. Any expense required for said training shall be paid by Respondent.
3. Respondent shall provide written proof to the Board that she has completed all court orders related to Morgan District Court Case No. 10-CR-39. Any expense for the written documentation shall be paid by Respondent.
4. Respondent shall supply the Board with a current national and state criminal background check. Any expense for the criminal background checks shall be paid by the Respondent.

Should Respondent fail to satisfy these conditions, the Board shall not reissue her certificate.

Upon reissuance of Respondent's certificate, Respondent shall be subject to a permanent probation. For the entirety of the permanent probationary period, Respondent shall comply with the following:

1. Respondent shall immediately submit to any random drug testing that is requested by the Board and shall have no positive drug tests. Any expense for said testing shall be paid by the Respondent.
2. Respondent shall neither be convicted of nor enter a guilty or no contest plea to any criminal charge(s) other than minor traffic violations.
3. In addition to the standard requirements of the application process, Respondent shall supply the Board with a current national and state criminal background check with all applications for teaching and/or administrative certificates in the Commonwealth of Kentucky submitted at any time in the future. Any expense for the criminal background checks shall be paid by the Respondent.

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By entering into this Agreed Order, Respondent agrees that should she fail to satisfy either of these probationary conditions, her certificate shall be automatically suspended for further action by the Board.

Vote: *Unanimous (Ms. McMillen recused)*

1107540 (Julia Thompson) Accept Agreed Order admonishing Respondent for engaging in inappropriate physical interactions with a student. An educator has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students and has a responsibility to stay current on proper disciplinary methods. In the future, Respondent must remain aware of the line between appropriate and inappropriate physical interactions with students. Respondent is currently retired. Prior to accepting certified employment in any school district in the Commonwealth of Kentucky, Respondent shall provide written proof to the Board that she has successfully completed twelve (12) hours of professional development or training, as approved by the Board, in classroom management with an emphasis in appropriate disciplinary techniques. Any expense for this training shall be paid by Respondent. Should Respondent fail to comply with this provision, her certificate shall be automatically suspended for a period of one (1) year. At the conclusion of the one (1) year suspension, Respondent's certificate shall not be reinstated until Respondent is in compliance.

Vote: *Unanimous*

1112937 (Miya McKenney) Accept Agreed Order suspending Respondent's certificate for a period of forty-five (45) days beginning June 1, 2012 and running through July 15, 2012. During the forty-five (45) day suspension period, Respondent shall neither apply for nor be issued a teaching certificate in the Commonwealth of Kentucky. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate to the EPSB, by hand delivering or mailing to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601. Upon reinstatement, Respondent's certificate shall be subject to the following probationary conditions for a period of seven (7) years:

1. On or before August 1, 2012, Respondent shall undergo a comprehensive alcohol/substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor, as approved by the Board. Respondent shall submit written proof to the Board that she has successfully

completed the assessment and all treatment recommendations proposed by the chemical dependency counselor. If Respondent is unable to complete all treatment recommendations prior to August 1, 2012, she shall submit quarterly written progress reports from the chemical dependency counselor until such time as the counselor releases her from treatment. Any expense required for the assessment, treatment, and/or written report(s) shall be paid by Respondent. If Respondent fails to comply with the requirements of this paragraph, her certificate shall be automatically suspended until Respondent submits the required written proof to the Board.

2. On or before August 1, 2012, Respondent shall undergo an anger management assessment by a licensed clinical provider, as approved by the Board, and shall present written evidence to the Board that she has complied with the assessment process and has successfully completed all treatment recommendations. If Respondent is unable to complete all treatment recommendations prior to August 1, 2012, she shall submit quarterly written progress reports from the licensed clinical provider until such time as the counselor releases her from treatment. Any expense for the assessment, treatment, and/or written reports shall be paid by Respondent. If Respondent fails to comply with the requirements of this paragraph, her certificate, and any future endorsements or new areas of certification, shall be automatically suspended until Respondent submits the required written proof to the Board.

3. On or before July 1, 2013, Respondent shall provide written proof to the Board that she has successfully completed twelve (12) hours of training or professional development, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

4. For the entirety of the probationary period, Respondent shall comply with all court orders related to Union District Court Case No. 11-M-341. Failure to comply with this condition will result in an automatic thirty (30) day suspension of Respondent's certificate.

5. For the entirety of the probationary period, Respondent shall not be convicted of nor enter a guilty or no contest

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plea to any additional criminal charge(s) other than minor traffic violations. Failure to comply with this condition will result in an automatic thirty (30) day suspension of Respondent's certificate.

6. For the entirety of the probationary period, Respondent shall submit current national and state criminal background reports to the Board with any application for renewal of her certification(s) and/or for additional certification(s). Any expense for the national and state criminal background reports shall be paid by Respondent. Failure to comply with this condition will result in the denial of all applications for renewal and/or additional certification(s) submitted by Respondent or on her behalf.

Respondent is aware that should she violate KRS 161.120, either during or following this seven (7) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

Vote: *Unanimous*

1110791 (Richard Higdon) Accept Agreed Order admonishing Respondent for failing to appropriately interact with students and manage student behavior in his classroom. As an educator, Respondent must maintain a positive learning environment at all times. He must strive to deescalate problem situations and treat each student with respect regardless of the circumstance. On or before August 1, 2012, Respondent shall present written evidence to the Board that he has successfully completed twelve (12) hours of professional development/training in effective classroom management techniques, approved by the Board and at his own expense. If Respondent fails to satisfy this condition, his certificate shall be suspended until this condition is met. From the date of acceptance of this Order by the Board, Respondent's certificate shall be subject to the following probationary condition. Respondent shall not be reprimanded, suspended, and/or terminated by any school district for conduct that would be deemed a violation of KRS 161.120. If Respondent violates this condition, the Board shall automatically suspend his certificate for a period of two years and may seek additional sanction pursuant to KRS 161.120.

Vote: *Unanimous*

1106397 (Lori Ballou) Accept Agreed Order admonishing Respondent for being under the influence of alcohol at school. She has a duty to maintain the dignity and integrity of the professional and be a role model to her

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students. Respondent failed in that duty by coming to school under the influence. This is especially troubling due to the problem with drug and alcohol abuse by minors and such a lapse in judgment sends the wrong message to her students. Respondent is cautioned that any further misconduct of this nature will not be tolerated by the board.

Respondent will further be assessed by Westcare for drug and alcohol treatment and will follow all treatment recommendations. Respondent must provide the Board with the assessment and documentation that she complied with all treatment recommendations to the Board within three (3) months of the Board's entry of the Agreed Order. Failure to comply with this requirement will result in further action by the Board.

Vote: *Unanimous*

- 1107556 (Kerry Moeykens) Accept Agreed Order suspending Respondent's certificate for six (6) months beginning August 1, 2011. Respondent shall immediately surrender the original and all copies of this certificate by first class mail or personal delivery, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Prior to returning to a position of employment that requires teaching certification, Respondent shall provide written evidence to the Board that she has successfully completed twelve (12) hours of professional development/training, approved by the Board and at her own expense, in professional ethics. Should Respondent fail to satisfy this condition, her certificate shall be automatically suspended and remain so until this condition is met and potentially face additional sanctions for failure to comply with this Order.

Vote: *Unanimous*

- 1109711 (Mary Oberhausen) Accept Agreed Order admonishing Respondent for failing to write and implement IEP's in accordance with ARC agreements. As an educator, it is your duty to provide students with education services in consonance with best professional practices. Failing to provide for your student's educational needs due to a lack of organization and inability to follow through is

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an inexcusable neglect of duty.

On or before February 1, 2013, Respondent shall submit written proof to the Board that she has successfully completed the following.

1. Respondent shall complete six (6) hours of professional development/training, approved by the Board and at her own expense, in properly maintaining and implementing Individual Education Plans. This must include training in Community Based Instruction procedures.
2. Respondent shall complete two (2) hours of professional development/training, approved by the Board and at her own expense, in organization and time management. If Respondent fails to satisfy either of these conditions, her certificate shall be automatically suspended and remain so until met.

Vote: *Unanimous*

1108573 (Willie Cole) Accept Agreed Order dismissing Agency Case Number 1108573.

Vote: *Unanimous*

1108656 (David Sandlin) Accept Agreed Order in which Respondent voluntarily surrenders his Professional Certificates for Instructional Leadership-Principal, All Grades, Level 2, and School Superintendent. Respondent shall neither reapply for nor renew these certificates. The remainder of his certificates, specializations and endorsements shall remain intact. Respondent shall surrender the original and all copies of the certificate immediately, by first class mail or personal delivery to the EPSB, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. The Office of Certification will then release the certificate with the certificates, specializations and endorsements that remain.

Vote: *Unanimous (Dr. Wasicsko recused)*

1108571 (Janice Helton) Accept Agreed Order suspending Respondent's certificate for a period of thirty (30) days beginning August 4, 2011. Respondent shall surrender the original and all copies of this certificate immediately, by first class mail or personal delivery to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. On or before September 1, 2012, Respondent shall present written evidence to the Board that she has satisfied the following conditions.

1. Respondent shall complete a total of eighteen (18) hours of professional development/training, approved by the Board and at her own expense, in classroom and behavior

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management. Respondent has submitted proof of eighteen (18) hours of training in Safe Crisis Management for Educators and one (1) hour of Managing Disruptive Behaviors in the Classroom thus satisfying this requirement.

2. Respondent shall complete three (3) hours of professional development/training, approved by the Board and at her own expense, in anti-bullying strategies and maintaining a positive school climate.

If Respondent fails to satisfy either of these conditions, his certificate shall be automatically suspended and remain so until all conditions are met.

Vote: *Unanimous*

1105320 (Allison Berkley)

Accept Agreed Order suspending Respondent's certificate for a period of two (2) years beginning July 1, 2011. Respondent shall surrender the original and all copies of his certificate immediately, by first class mail or personal delivery to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Reinstatement of Respondent's certificate is conditioned on the following. Respondent shall provide written evidence to the Board that she has successfully completed twelve (12) hours of professional development/training, approved by the Board and at her own expense, in appropriate teacher/student relationships, boundary issues and ethics. Should Respondent fail to satisfy this condition, her certificate shall remain suspended until this condition is met.

Upon reinstatement, Respondent's certificate shall be subject to the following probationary condition for the life of the certificate. Respondent shall not be disciplined by any school district for conduct that would be deemed a violation of KRS 161.120. Discipline shall be defined for the purposes of this agreement as any district sanction appealable pursuant to KRS 161.790, that if appealed, results in a finding by a tribunal that imposes a public reprimand, suspension or termination. If Respondent violates this condition, the Board shall automatically suspend her certificate for a period of two years and may seek additional sanctions pursuant to KRS 161.120.

Vote: *Unanimous*

0803654 (Dianna Porter)

Accept Agreed Order suspending Respondent's certificate for a period of thirty (30) days from the date upon which the Board approves this agreement. During the thirty (30)

day suspension period, Respondent shall neither apply for nor be issued a teaching certificate in the Commonwealth of Kentucky. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original Certificate and all copies to the EPSB, by hand delivering or mailing to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

Respondent is admonished for conduct unbecoming a teacher. The Board reminds Respondent that, as a teacher, she has a duty to uphold the dignity and integrity of the teaching profession. Driving under the influence of alcohol is dangerous and sets a horrible example for students. The Board will not tolerate any further incidents of misconduct by Respondent.

Upon reinstatement, Respondent's certificate, and any future endorsements or new areas of certification, shall be subject to the following probationary conditions for a period of two (2) years:

1. Respondent shall immediately submit to any random drug testing that is requested by the Board and shall have no positive drug tests. Any expense for said testing shall be paid by the Respondent. Failure to comply with this condition will result in an automatic one (1) year suspension of Respondent's certificate. If applicable, at the conclusion of the one (1) year suspension, Respondent's certificate shall remain suspended until such time as this probationary condition is met.
2. Respondent shall not be convicted of nor enter a guilty or no contest plea to any criminal charge(s) other than minor traffic violations. Failure to comply with this condition will result in an automatic one (1) year suspension of Respondent's certificate.
3. Respondent shall submit a current criminal background report, as prepared by the Administrative Office of the Courts, to the Board with any application for renewal of her certification(s) and/or for issuance of additional certification(s). Any expense for the criminal background report shall be paid by Respondent. Failure to comply with this condition will result in the denial of all applications for renewal and/or additional certification(s) submitted by Respondent or on her behalf.

Respondent is aware that should she violate KRS 161.120, either during or following this two (2) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

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Respondent is currently on KTRS Disability Retirement. Prior to returning to any teaching and/or administrative position in the Commonwealth of Kentucky, Respondent shall comply with the following:

1. Respondent shall undergo a comprehensive substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor, as approved by the Board, and shall present written evidence to the Board that she has complied with the assessment process and has successfully completed any and all treatment recommendations. Any expense incurred for the assessment shall be paid by Respondent.

2. Respondent shall provide written proof to the Board that she has successfully completed twelve (12) hours of training or professional development, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel. Any expense incurred for said training shall be paid by Respondent.

Should Respondent fail to satisfy the above conditions prior to returning to any teaching and/or administrative position in the Commonwealth of Kentucky, her certificate shall be automatically suspended for a period of one (1) year. If applicable, at the conclusion of the one (1) year suspension, Respondent's certificate shall remain suspended until such time as these conditions are met.

Vote: *Unanimous*

1002143 (Dale Beasley)

Accept Agreed Order retroactively suspending Respondent's certificate from October 18, 2010 through December 6, 2010. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate to the EPSB, by delivering or mailing to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

The Board admonishes Respondent for using inappropriate language in the classroom and for engaging in inappropriate physical interactions with students. As an educator, Respondent has a duty to maintain the dignity and integrity of the profession and to refrain from subjecting students to embarrassment or disparagement. Further, a teacher in the Commonwealth of Kentucky has a duty to protect the health, safety, and emotional well-being of students and must remain aware of the line between appropriate and inappropriate physical interactions with students. In the future, the Board expects Respondent to

uphold the Professional Code of Ethics for Kentucky Certified School Personnel.

From the date upon which the Board approves this Agreed Order, Respondent's certificate, and any future endorsements or new areas of certification, shall be subject to the following probationary conditions for a period of two (2) years:

1. On or before September 1, 2012, Respondent shall undergo an anger management assessment by a licensed clinical provider, as approved by the Board, and shall present written evidence to the Board that he has complied with the assessment process and has successfully completed all treatment recommendations. If Respondent is unable to complete all treatment recommendations on or before September 1, 2012, he shall submit quarterly written progress reports from his licensed clinical provider until such time as the provider releases him from treatment. Any expense for the assessment, treatment, and/or written reports shall be paid by Respondent. If Respondent fails to comply with the requirements of this paragraph, his certificate, and any future endorsements or new areas of certification, shall be automatically suspended until Respondent submits the required written proof to the Board.

2. On or before July 1, 2013, Respondent shall provide written proof to the Board that he has completed twelve (12) hours of professional development or training, as approved by the Board, in the areas of ethics and classroom management. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

3. For the duration of the probationary period, Respondent shall receive no disciplinary action involving inappropriate verbal and/or physical interactions with students.

"Disciplinary action" is defined as any public reprimand, suspension, or termination issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process. Failure to comply with this condition will result in an automatic thirty (30) day suspension of Respondent's certificate.

Respondent is aware that should he violate KRS 161.120, either during or following this two (2) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

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Vote: *Unanimous*

1111877 (Pamela Jarrett)

Certificate Number 199603693 is hereby suspended for a period of forty-five (45) days from the date upon which the Board approves this agreement. Respondent shall neither apply for, nor be issued, a teaching certificate in the Commonwealth of Kentucky during the suspension period. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate to the EPSB, by delivering or mailing to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

Respondent is admonished for conduct unbecoming a teacher. The Board reminds Respondent that, as a teacher, she has a duty to uphold the dignity and integrity of the teaching profession. Driving under the influence of alcohol is dangerous, and this type of behavior sets a horrible example for students. The Board will not tolerate any further incidents of misconduct by Respondent.

Respondent has provided written proof to the Board that she has been assessed by a Kentucky licensed and/or certified chemical dependency counselor, as approved by the Board, and is competent to fulfill her duties as an educator.

Upon reinstatement, Respondent's certificate, and any future endorsements or new areas of certification, shall be subject to a permanent probation.

During the first five (5) years of the permanent probationary period, Respondent shall comply with the following:

1. Respondent shall remain under the care of a Kentucky licensed and/or certified chemical dependency counselor, as approved by the Board, and shall provide the Board with annual written reports from such counselor certifying that she is maintaining the counseling relationship and is following all recommended treatment. The annual written reports shall be due by January 1st of each year of the initial five (5) year probationary term. Any expense incurred for the assessment, treatment and/or written reports shall be paid by Respondent. If Respondent fails to comply with the requirements of this paragraph on or before January 1st of each year of the initial five (5) year probationary term, her certificate, and any future endorsements or new areas of certification, shall be automatically suspended until Respondent submits the required written report to the Board.

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2. On or before January 1, 2013, Respondent shall provide written proof to the Board that she has successfully completed twelve (12) hours of professional development or training, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to comply with the requirements of this paragraph on or before January 1, 2013, her certificate, and any future endorsements or new areas of certification, shall be automatically suspended until Respondent submits the required written proof to the Board.

For the entirety of the permanent probationary period, Respondent shall comply with the following:

1. Respondent shall not be convicted of nor enter a guilty or no contest plea to any alcohol-related criminal charge(s). Failure to comply with this condition will result in Respondent's certificate being automatically suspended for further action by the Board.

2. Respondent shall submit a current criminal background report, as prepared by the Administrative Office of the Courts, to the Board with any application for renewal of her certification(s) and/or for issuance of additional certification(s). Any expense for the criminal background report shall be paid by Respondent. Failure to comply with this condition will result in the denial of all applications for renewal and/or additional certification(s) submitted by Respondent or on her behalf.

Respondent is aware that should she violate any provision of KRS 161.120, at any time in the future, the Board shall initiate disciplinary action and seek additional sanctions.

Vote: *Unanimous (Ms. McMillen recused)*

1103123 (Samuel Gbson)

Accept Agreed Order admonishing Respondent for a lack of professional judgment in his interactions with students. As a certified educator, Respondent must strive to uphold the responsibilities of his profession by maintaining a positive learning environment for all. He must treat each student with dignity and respect.

On or before January 1, 2013, Respondent shall submit written proof to the Board that he has successfully completed twelve (12) hours of professional development or training, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel, which shall include instruction on appropriate teacher/student boundaries. Any expense incurred for said training shall be paid by Respondent. Should Respondent

fail to comply with this provision, his certificate shall be automatically suspended until Respondent is in compliance. On or before January 1, 2013, Respondent shall submit written proof to the Board that he has successfully completed a course, as approved by the Board, on sexual harassment awareness. Any expense incurred for said training shall be paid by Respondent. Should Respondent fail to comply with this provision, his certificate shall be automatically suspended until Respondent is in compliance.

Vote: *Unanimous*

1109749 (Brett Day)

Accept Agreed Order suspending Respondent's certificate for ninety (90) days beginning September 30, 2011 and running through December 28, 2011. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate to the EPSB, by delivering or mailing to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

Respondent is hereby admonished for conduct unbecoming a teacher. The Professional Code of Ethics for Kentucky Certified School Personnel requires Respondent to exemplify behaviors which maintain the dignity and integrity of the profession. The Board reminds Respondent that he has an ethical duty to treat colleagues with respect and to maintain a high level of professionalism at all times. The Board will not tolerate any further incidents of misconduct from Respondent.

Respondent's certificate, including any and all endorsements, is hereby subject to the following probationary conditions for a period of five (5) years from the date the Board accepts this Agreed Order:

1. On or before September 1, 2012, Respondent shall provide written proof to the Board that he has been assessed by a state certified mental health counselor, as approved by the Board, and is competent to fulfill his duties as an educator. Respondent shall provide proof that he has complied with any treatment recommendations proposed by the mental health counselor and shall continue to provide treatment records to the Board until he has been released from treatment by the counselor. Any expense incurred for the assessment or follow-up treatment shall be paid by Respondent.

2. On or before January 1, 2013, Respondent shall submit written proof to the Board that he has successfully completed a course, as approved by the Board, on sexual harassment awareness. Any expense required for said

training shall be paid by the Respondent.

3. On or before July 1, 2013, Respondent shall submit written proof to the Board that he has successfully completed twelve (12) hours of professional development or training, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel. Any expense required for said training shall be paid by the Respondent.

4. For the entirety of the probationary period, Respondent shall receive no disciplinary action involving conduct unbecoming and/or sexual harassment. "Disciplinary action" is defined as any public reprimand, suspension, or termination issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process.

By entering into this Agreed Order, Respondent agrees that should he fail to satisfy any of these conditions during the probationary period, his certificate shall be automatically suspended for a period of thirty (30) days. If applicable, at the conclusion of the thirty (30) day suspension, Respondent's certificate shall remain suspended until such time as the probationary conditions are met.

Respondent is aware that should he violate KRS 161.120, either during or following this five (5) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

Vote: *Unanimous*

- 1111856 (Gerald Fulkerson) Accept Agreed Order admonishing Respondent for using inappropriate physical force to control a student's behavior. The Board reminds Respondent that he has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students. In the future, Respondent should be more cognizant of appropriate physical boundaries when disciplining a student. The Board will not tolerate any further incidents of misconduct. Upon acceptance of this agreement by the Board, Respondent's certificate shall be on probation for a period of five (5) years and subject to the following probationary conditions:
1. By September 1, 2012, Respondent shall undergo an anger management assessment by a licensed clinical provider, as approved by the Board, and shall present written evidence to the Board that he has complied with the assessment process and has successfully completed all treatment recommendations. Any expense for the assessment, treatment, and/or written reports shall be paid

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by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required assessment and/or follow up treatment, and provides the appropriate written proof to the Board.

2. By January 1, 2013, Respondent shall submit written proof to the Board that he has successfully completed twelve (12) hours of professional ethics training, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

3. During the probationary period, Respondent shall not receive any disciplinary action for physical contact with students from any school district in which he is employed. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended for a period of one (1) year and subject to additional sanctions by the Board pursuant to KRS 161.120.

Vote: *Unanimous*

1108660 (Robert Phelps)

Accept Agreed Order admonishing Respondent for conduct unbecoming a teacher. The Board reminds Respondent that, as a teacher, he has a duty to uphold the dignity and integrity of the teaching profession. Driving under the influence of alcohol is not only dangerous; it is also a horrible example to set for students. The Board will tolerate no further incidents of misconduct by Respondent. On or before August 1, 2012, Respondent shall undergo a comprehensive alcohol/substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor, as approved by the Board, and shall present written evidence to the Board that he has complied with the assessment process and successfully completed any and all treatment recommendations proposed by the chemical dependency counselor. Respondent shall also submit quarterly written progress reports from his chemical dependency counselor until such time as the counselor releases him from treatment. Any expense for the assessment, treatment and/or written reports shall be paid by Respondent.

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On or Before August 1, 2012, Respondent shall provide written proof to the Board that he has been assessed by a state certified mental health counselor, as approved by the Board, and is competent to fulfill his duties as an educator. Respondent shall provide proof that he has complied with any treatment recommendations proposed by the mental health counselor and shall continue to provide treatment records to the Board until he has been released from treatment by the counselor. Any expense incurred for the assessment or follow-up treatment shall be paid by Respondent.

If Respondent fails to satisfy either requirement, his certificate shall be automatically suspended until the Board receives written evidence that the requirement has been satisfied.

Vote: *Unanimous*

- 1109739 (Jennifer Dressler) Accept Agreed Order suspending Respondent's certificate for a period of thirty (30) days from June 4, 2012 until July 4, 2012. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Upon reinstatement, certificate number 200147960 shall be on probation for a period of two (2) years and subject to the following probationary conditions:
1. Respondent has submitted written proof to the Board that she has completed training in the areas of FERPA and appropriate use of social media.
 2. By October 1, 2012, Respondent shall submit written proof to the Board that she has completed twelve (12) hours of professional ethics training, with an emphasis on professionalism, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.
 3. During the probationary period, Respondent shall not receive any disciplinary action involving conduct unbecoming a teacher from any school district in which she is employed. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition,

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her certificate shall be automatically suspended for a period of one (1) year and subject to additional sanctions by the Board pursuant to KRS 161.120.

Vote: *Unanimous*

110129 (Vicki Tidwell)

Accept Agreed Order suspending Respondent's certificate for a period of five (5) days from January 7, 2011 through January 11, 2011. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601.

Upon acceptance of this agreement by the Board, Respondent's certificate shall be on probation for a period of three (3) years and subject to the following probationary conditions:

1. By May 1, 2012, Respondent shall provide written proof to the Board that she has been assessed by a state certified mental health counselor, as approved by the Board, and is competent to fulfill her duties as an educator. Respondent shall provide proof that she has complied with any treatment recommendations proposed by the mental health counselor and shall continue to provide treatment records to the Board until she has been released from treatment by the counselor. Any expense incurred for the assessment or follow-up treatment shall be paid by Respondent. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended until Respondent provides the appropriate written proof to the Board.

2. Respondent shall provide written proof to the Board that she has completed a course on appropriate assessment techniques, as approved by the Board, by July 1, 2012. Any expenses required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

3. Respondent shall submit written proof to the Board that she has completed twelve (12) hours of professional ethics training, as approved by the Board, by July 1, 2012. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

4. During the probationary period, Respondent shall not receive any disciplinary action involving neglect of duty from any school district in which she is employed. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended for a period of thirty (30) days and subject to additional sanctions by the Board pursuant to KRS 161.120.

Vote: *Unanimous*

1111893 (Jill Humphrey)

Accept Agreed Order suspending Respondent's certificate for a period of ninety (90) days, with credit for sixteen (16) days served on November 12, 2011 through November 22, 2011, November 24, 2011, and November 26, 2011 through November 29, 2011. The remainder of the suspension shall be served from May 21, 2012 through August 2, 2012.

Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601. Upon reinstatement, Respondent's teaching certificate shall be on probation for a period of five (5) years and subject to the following probationary conditions:

1. By August 1, 2012, Respondent shall undergo a comprehensive alcohol/substance abuse assessment by a Kentucky licensed or certified chemical dependency counselor, as approved by the Board. Respondent shall provide proof that she has complied with any treatment recommendations proposed by the chemical dependency counselor and shall continue to provide treatment records to the Board until she has been released from treatment by the counselor. Each report shall certify that Respondent is continuing to comply with any and all treatment recommendations, and that she remains fit and competent to fulfill her duties as an educator. Any expense for the assessment, treatment, and/or written reports shall be paid by Respondent. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended until Respondent provides the appropriate written proof to the Board.

2. By January 1, 2013, Respondent shall submit written proof to the Board that she has completed twelve (12) hours of professional ethics training, as approved by the Board.

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Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, her certificate shall be automatically suspended until Respondent provides the appropriate written proof to the Board.

3. In accordance with KRS 161.175, Respondent shall submit to random drug testing during the probationary period, to be administered by a provider approved by the Board, and shall receive no drug test positive for illegal substances or in excess of therapeutic levels generally acceptable in the medical community. Any expense required for the drug testing shall be paid by Respondent. Should Respondent fail to satisfy this condition, her certificate shall be automatically suspended for a period of one (1) year and subject to additional sanctions by the Board pursuant to KRS 161.120.

Vote: *Unanimous*

1108596 (Willie Stepp)

Accept Agreed Order admonishing Respondent for conduct unbecoming a teacher. The Board reminds Respondent that, as a teacher, he has a duty to uphold the dignity and integrity of the teaching profession. Driving under the influence of alcohol is not only dangerous; it is also a horrible example to set for students. The Board will tolerate no further incidents of misconduct by Respondent.

Respondent has presented written evidence to the Board that he has undergone a comprehensive alcohol/substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor, approved by the Board. Respondent shall successfully complete any and all treatment recommendations proposed by the chemical dependency counselor, and shall submit quarterly written progress reports from his chemical dependency counselor until such time as the counselor releases him from treatment. Any expense for the assessment, treatment and/or written reports shall be paid by Respondent. If Respondent fails to satisfy this requirement, his certificate shall be automatically suspended until the Board receives written evidence that the requirement has been satisfied.

Vote: *Unanimous*

1109689 (Shannon Hirchert)

Accept Agreed Order in which Respondent voluntarily, knowingly, and intelligently surrenders her teaching certificate and agrees to not apply for, nor be issued, a teaching or administrative certificate in the Commonwealth

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of Kentucky at any time in the future. Upon the acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601.

Vote: *Unanimous*

1106469 (Joseph Chappell) Accept Agreed Order admonishing Respondent for failing to de-escalate a student conflict. A teacher in the Commonwealth of Kentucky has a duty to protect the health, welfare, and safety of students. The Board reminds Respondent that, as an educator, he must maintain the dignity and integrity of the teaching profession, and set a positive example for students. The Board recognizes that students will misbehave and disrupt the classroom; however, certified teachers are expected to handle these situations rationally and judiciously. The Board will not tolerate any further incidents of misconduct from Respondent.

Upon acceptance of this agreement by the Board, Respondent's certificate shall be on probation for a period of eighteen (18) months and subject to the following probationary conditions:

1. By August 1, 2012, Respondent shall undergo an anger management assessment by a licensed clinical provider, as approved by the Board, and shall present written evidence to the Board that he has complied with the assessment process and has successfully completed all treatment recommendations. Any expense for the assessment, treatment, and/or written reports shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required assessment and/or follow up treatment, and provides the appropriate written proof to the Board.
2. By January 1, 2013, Respondent shall provide written proof to the Board that he has completed twenty-four (24) hours of classroom management training with an emphasis on de-escalation techniques, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.
3. By January 1, 2013, Respondent shall submit written proof to the Board that he has completed twelve (12) hours

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of professional ethics training, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

During the probationary period, Respondent shall not receive any disciplinary action from any school district in which he is employed. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition, his certificate shall be automatically suspended for a period of fifteen (15) days and subject to additional sanctions by the Board pursuant to KRS 161.120.

Vote: *Unanimous*

1112916 (Ashley Buser)

Accept Agreed Order which states that Respondent shall neither apply for nor be issued any teaching, administrative, or emergency certificate in the Commonwealth of Kentucky at any time in the future. Any future application submitted by Respondent, or on her behalf, shall be denied.

Vote: *Unanimous*

1103184 (Stephen Corwin)

Accept Agreed Order admonishing Respondent for failing to uphold the dignity and integrity of the teaching profession. An educator in the Commonwealth of Kentucky has a duty to take reasonable measures to protect the health, safety, and emotional well-being of students. When an educator fails to maintain order in his classroom, students are at risk for both emotional and physical harm. The Board will not tolerate any further incidents of misconduct from Respondent.

Respondent is retired. Should he decide to return to teaching, he shall submit written proof to the Board that he has completed twelve (12) hours of professional development/training in classroom management, as approved by the Board, prior to accepting a certified position. Any expense required for said training shall be paid by Respondent.

Respondent agrees that, should he fail to satisfy this condition, his certificate shall be automatically suspended and will remain suspended until the condition is met.

Vote: *Unanimous*

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1112904 (Kristine Molony) Accept Agreed Order admonishing Respondent for subjecting a student to embarrassment or disparagement and for failing to maintain the dignity and integrity of the education profession. An educator must treat all students with dignity and respect. Respondent failed in this duty when she engaged in name calling and vulgar speech towards a student. The Board will tolerate no further incidents of misconduct by Respondent. Respondent shall provide to the Board, on or before November 1, 2012, written proof that she has completed twelve (12) hours of Board-approved training in ethics. Any expense for this training shall be paid by Respondent. Respondent has provided proof of this training. Should Respondent fail to provide proof of these training requirements, her certificate shall be suspended and will remain so until she has provided proof of the training.

Vote: *Unanimous (Mr. Stull recused)*

11015 (Denisa Combs) Accept Agreed Order suspending Respondent's Provisional Certificate For Teaching In The Early Elementary Grades K-4 (And Self- Contained Grades 5-6) and Provisional Certificate For Teachers Of Exceptional Children-- Learning And Behavior Disorders, Grades K-12 for a period of twenty (20) days from the date of acceptance of this Agreed Order by the Board. Respondent shall surrender the original certificate and all copies of her certificate to the EPSB, by delivering or mailing them to 100 Airport Road, 3rd Floor, Frankfort, Kentucky 40601. Any certificate the Board issues to or on behalf of Respondent, shall be subject to the following probationary conditions for a period of five (5) years from the date of acceptance of this Agreed Order by the Board:

1. Respondent shall supply proof that she has completed twelve (12) hours of professional development or training on the Professional Code of Ethics for Kentucky Certified School Personnel by September 1, 2012. If proof of this condition is not received by September 1, 2012, Respondent's certificate number shall be automatically suspended until this condition has been met.
2. Respondent shall not be allowed to participate in any testing mandated either by Kentucky statute or by any regulation promulgated by the KDE;
3. Upon employment as an educator in a Kentucky public school other than a Perry County Public School, Respondent shall provide written notification to her principal that she is unable to participate in state mandated

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testing and shall file a copy of the written notice with the Board's Division of Legal Services; and

4. By May 31 of each year of her employment in a Kentucky public school, Respondent shall submit a letter from her school principal to the Board confirming that she did not participate in any state mandated testing during the school year.

Should Respondent violate any of these conditions, her certificate and any and all endorsements shall be automatically revoked and subject to additional disciplinary sanctions pursuant to KRS 161.120.

Vote: *Unanimous*

1109687 (Steve Martin)

Accept Agreed Order which states that prior to administration of any assessment required by the Kentucky Department of Education in school year 2012-2013, Respondent shall ensure that any and all staff responsible for administering required assessments to students who require testing accommodations are re-trained by representatives of the Kentucky Department of Education's Office of Assessment and Accountability in the appropriate methods for providing accommodations.

Respondent shall provide proof that he has met this condition by May 15, 2013.

Vote: *Unanimous*

11013 (Kimberly Dixon)

Accept Agreed Order subjecting any certificate the Board issued to or on behalf of Respondent to the following probationary conditions for a period of five (5) years:

1. Respondent shall not be allowed to participate in any testing mandated either by Kentucky statute or by any regulation promulgated by the KDE. Should Respondent violate any of this condition, her certificate shall be automatically revoked and subject to additional disciplinary sanctions pursuant to KRS 161.120;

2. Upon employment as an educator in any Kentucky public school other than a Perry County Public School, Respondent shall provide written notification to her principal that she is unable to participate in state mandated testing and shall file a copy of the written notice with the Board's Division of Legal Services. If Respondent fails to comply with this condition, her certificate shall be automatically suspended until this condition has been met; and

3. By May 31 of each year of her employment in a Kentucky public school, Respondent shall submit a letter

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from her school principal to the Board confirming that she did not participate in any state mandated testing during the school year. If Respondent fails to comply with this condition, her certificate shall be automatically suspended until this condition has been met.

Respondent is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions against her certificate.

Vote: *Unanimous*

1111832 (Violet Hamm)

Accept Agreed Order suspending Respondent's certificate for a period of 180 days. Respondent shall be granted credit for 115 days served towards her 180 day suspension for the time she was suspended without pay by the Russell County School District beginning November 10, 2011 through March 5, 2012. The balance of Respondent's 180 day suspension shall be served beginning on the date this order is accepted by the Board.

Respondent shall surrender the original and all copies of her certificate, by first class mail or personal delivery to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601.

Respondent's certificate is subject to the following probationary conditions:

1. Respondent shall comply with any orders issued by Russell District Court in Case Number 11-M-602. If Case Number 11-M-602 is dismissed, Respondent shall supply proof of the dismissal to the Board immediately. If Respondent pleads guilty or enters into any diversion program in Case Number 11-M-602, Respondent shall supply quarterly reports to the EPSB showing that she is in compliance with the court's orders until released from supervision or review.
2. Respondent shall provide written proof to the Board that she has received twelve (12) hours of professional development/training in the area of ethics, as approved by the Board, no later than January 1, 2013. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to satisfy this condition by January 1, 2013, any and all certificates issued to Respondent will automatically be suspended until such training is completed and the appropriate written proof is provided to the Board.
3. Respondent shall provide written proof that she has received twelve (12) hours of professional development/training in the areas of alcohol and substance abuse awareness training, as approved by the Board, no later than July 1, 2013. Any expense incurred for said

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training shall be paid by Respondent. If Respondent fails to satisfy this condition by July 1, 2013, any and all certificates issued to Respondent will automatically be suspended until such training is completed and the appropriate written proof is provided to the Board.

4. Respondent shall provide proof that she has completed thirty (30) hours of community service for a charitable organization that strives to prevent underage drinking by July 1, 2014.

Should Respondent violate any of these conditions, her certificate and any and all endorsements shall be automatically suspended until she is in compliance with the condition.

Respondent is aware that should he violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

Vote: *Unanimous*

Litigation

Case Number

04-0216 (Wade McNabb)

Decision

Motion made by Ms. Sinclair-Curry, seconded by Ms. Boyd, to issue findings of fact to uphold the denial of McNabb's Motion to Rescind Prior Final Order and order legal staff to draft a Final Order.

Vote: *Unanimous*

Committee Report

Executive Director Search Committee

2012-020

Motion made by Mr. Allen Kennedy, seconded by Ms. Barbara Boyd, to accept the process as outlined in the Executive Director Search Committee's report.

Vote: *Unanimous*

2012-021

Motion made by Mr. John DeAtley, seconded by Ms. Blevins, to appoint Alicia Sneed as the Acting Executive Director beginning July 1st until the Executive Director position is filled with a salary of \$20,000 over her current salary.

Vote: *Unanimous*

Motion made by Mr. DeAtley, seconded by Ms. McMillen, to adjourn the meeting.

Vote: *Unanimous*

Meeting adjourned at 3:30 p.m.

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Next Meeting: August 6, 2012
9:00 AM
EPSB Board Room
Frankfort, Kentucky

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Consent Item B

Action Item:

Waiver of the Cooperating Teacher Eligibility Requirements

Applicable Statute or Regulation:

16 KAR 5:040, Section 2 (c)

Applicable Goal:

Goal I: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the Education Professional Standards Board (EPSB) grant a waiver of the cooperating teacher eligibility requirements?

Background:

Dr. Bill Phillips, Dean, Eastern Kentucky University College of Education, is requesting a waiver of Regulation 16 KAR 5:040, Section 2 (c), which requires a cooperating teacher to have “at least three (3) years of teaching experience as a certified educator.” The request is for placement to begin January 2013.

An Eastern Kentucky University (EKU) student teacher seeking certification in Family and Consumer Sciences (FCS) needs to be placed with a certified secondary FCS teacher. There are very few high schools in the EKU service area offering Family and Consumer Sciences.

This request is for a student teacher to be assigned to Tammy Camel, FCS teacher at Rockcastle County High School. Ms. Camel has earned her Rank II and holds a Family and Consumer Science Professional Certificate; she will have two years of teaching experience by December 2012. Dr. Jacquelyn Jensen, EKU Family and Consumer Sciences Education Professor, has written a letter requesting Ms. Camel as a cooperating teacher. In addition, Jennifer Mattingly, principal at Rockcastle County High School, has written a letter in support of the waiver request, providing positive evidence of Ms. Camel’s success in the classroom. Copies of the letters from Dr. Phillips, Dr. Jensen, and Ms. Mattingly were sent under separate cover. A copy of the regulation is attached to this request.

Alternative Actions:

1. Approve the waiver request of 16 KAR 5:040, Section 2 (c)
2. Deny the waiver request of 16 KAR 5:040, Section 2 (c)

Contact Person:

Dr. Kim Walters-Parker, Director
Division of Educator Preparation
(502) 564-4606
E-mail: kim.walters-parker@ky.gov

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Date:

August 6, 2012

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16 KAR 5:040. Admission, placement, and supervision in student teaching.

RELATES TO: KRS 161.020, 161.028, 161.030, 161.042

STATUTORY AUTHORITY: KRS 161.028, 161.030, 161.042

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028 requires that an educator preparation institution be approved for offering the preparation program corresponding to a particular certificate on the basis of standards and procedures established by the Education Professional Standards Board. KRS 161.030 requires that a certificate be issued to a person who has completed a program approved by the Education Professional Standards Board. KRS 161.042 requires the Education Professional Standards Board to promulgate an administrative regulation relating to student teachers, including the qualifications for cooperating teachers. This administrative regulation establishes the standards for admission, placement, and supervision in student teaching.

Section 1. Definition. "Cooperating teacher" means a teacher employed in a public school or a nonpublic school which meets the state performance standards as established in KRS 156.160 or which has been accredited by a regional or national accrediting association who is contracting with an educator preparation institution to supervise a student teacher for the purpose of fulfilling the student teaching requirement of the approved educator preparation program.

Section 2. Cooperating Teacher Eligibility Requirements. (1) The cooperating teacher, whether serving in a public or nonpublic school, shall have:

- (a) A valid teaching certificate or license for each grade and subject taught; and
- (b) At least three (3) years of teaching experience as a certified educator.

(2) A teacher assigned to a teaching position on the basis of a provisional, probationary, or emergency certificate issued by the Education Professional Standards Board shall not be eligible for serving as a cooperating teacher.

(3) The district and educator preparation program shall select teachers to be cooperating teachers who demonstrate the following:

- (a) Effective classroom management techniques that promote an environment conducive to learning;
- (b) Best practices for the delivery of instruction;
- (c) Mastery of the content knowledge or subject matter being taught;
- (d) Aptitude and ability to contribute to the mentoring and development of a preservice educator;
- (e) Usage of multiple forms of assessment to inform instruction; and
- (f) Creation of learning communities that value and build upon students' diverse backgrounds.

(4) An educator preparation program shall give a teacher who holds a teacher leader endorsement pursuant to 16 KAR 5:010, Section 12(3), priority consideration when selecting a cooperating teacher.

(5) Beginning September 1, 2013, prior to student teacher placement, a cooperating teacher shall receive training approved by the Education Professional Standards Board and provided at no cost to the cooperating teacher by the educator preparation institution which shall include the following components:

- (a) Basic responsibilities of a cooperating teacher;
- (b) Best practice in supporting the student teacher; and
- (c) Effective assessment of the student teacher.

(6) Beginning September 1, 2013, educator preparation programs shall maintain a pool of cooperating teachers who have met the requirements of this section.

(7) Beginning September 1, 2013, each educator preparation institution shall file an electronic report with the Education Professional Standards Board every semester which identifies the following:

- (a) Each candidate at the educator preparation institution enrolled in student teaching;
- (b) The candidate's assigned school;
- (c) The cooperating teacher assigned to each candidate;
- (d) The cooperating teacher's area of certification;
- (e) The cooperating teacher's years of experience as a certified or licensed educator; and
- (f) The date the cooperating teacher completed the training required in subsection (5) of this section.

Section 3. Admission to Student Teaching. In addition to the appropriate sections of the National Council for Accreditation of Teacher Education (NCATE) standards which are incorporated by reference in 16 KAR 5:010, each educator preparation institution shall determine minimum standards for admission to student teaching which shall include the procedures established in this section. Admission to student teaching shall include a formal application procedure for each teacher candidate.

(1) A record or report from a valid and current medical examination, which shall include a tuberculosis (TB) risk assessment, shall be placed on file with the admissions committee.

(2) Prior to and during the student teaching experience, the teacher candidate shall adhere to the Professional Code of Ethics for Kentucky School Personnel established in 16 KAR 1:020.

(3) Beginning September 1, 2013, prior to admission to student teaching, each teacher candidate shall complete a minimum of 200 clock hours of field experiences in a variety of primary through grade 12 (P-12) school settings which allow the candidate to participate in the following:

- (a) Engagement with diverse populations of students which include:
 1. Students from a minimum of two (2) different ethnic or cultural groups of which the candidate would not be considered a member;
 2. Students from different socioeconomic groups;
 3. English language learners;
 4. Students with disabilities; and
 5. Students from across elementary, middle school, and secondary grade levels;
- (b) Observation in schools and related agencies, including:
 1. Family Resource Centers; or...

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Consent Item C

Action Item:

Murray State University: School Media Librarian, Grades P-12 (Master of Arts in Education, Initial and Advanced Levels)

Applicable Statutes and Regulation:

KRS 161.028; KRS 161.030
16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB approve the following educator preparation program addition?

MURRAY STATE UNIVERSITY

6.0 CERTIFICATES FOR ALL GRADE LEVELS

School Media Librarian P-12 (MAEd.)

9.0 CONTINUING EDUCATION

School Media Librarian P-12 (MAEd.)

Background:

KRS 161.028 and KRS 161.030 provide for the EPSB to establish curricula for educator preparation programs in Kentucky and approve such programs at institutions of higher education. The Division of Educator Preparation, Content Area Program Review Committees, and the Reading Committee evaluated the program review document submitted for approval against performance-based program certification guidelines established by the EPSB. This program proposal meets all the requirements set by the EPSB. The supporting documents for this proposal (program review document which includes the executive summary and letter of support) are available on the secured website.

Groups/Persons Consulted:

Content Area Review Committee
Reading Committee

Alternative Actions:

1. Approve the proposed School Media Librarian, Grades P-12 preparation program addition.
2. Do not approve the proposed School Media Librarian, Grades P-12 preparation program addition.

Recommendation:

Alternative 1

Rationale:

The proposed educator preparation program follows the appropriate regulation (16 KAR 5:010) outlining requirements for program approval as established by the EPSB.

Contact Person:

Dr. Kim Walters-Parker, Director
Division of Educator Preparation
(502) 564-4606
E-mail: kim.walters-parker@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Consent Item D

Action Item:

University of Louisville: Elementary Math Specialist Endorsement, Grades P-5 (Advanced Level)

Applicable Statutes and Regulation:

KRS 161.028; KRS 161.030
16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB approve the following educator preparation program addition?

UNIVERSITY OF LOUISVILLE

8.0 ENDORSEMENTS

Elementary Math Specialist P-5 (Advanced Level)

Background:

KRS 161.028 and KRS 161.030 provide for the EPSB to establish curricula for educator preparation programs in Kentucky and approve such programs at institutions of higher education. The Division of Educator Preparation, Content Area Program Review Committees, and the Reading Committee evaluated the program review document submitted for approval against performance-based program certification guidelines established by the EPSB. This program proposal meets all the requirements set by the EPSB. The supporting documents for this proposal (program review document which includes the executive summary and letter of support) are available on the secured website.

Groups/Persons Consulted:

Content Area Review Committee
Reading Committee

Alternative Actions:

1. Approve the proposed Elementary Math Specialist Endorsement, Grades P-5 preparation program addition.
2. Do not approve the proposed Elementary Math Specialist Endorsement, Grades P-5 preparation program addition.

Recommendation:

Alternative 1

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Rationale:

The proposed educator preparation program follows the appropriate regulation (16 KAR 5:010) outlining requirements for program approval as established by the EPSB.

Contact Person:

Dr. Kim Walters-Parker, Director
Division of Educator Preparation
(502) 564-4606
E-mail: kim.walters-parker@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Consent Item E

Action Item:

Morehead State University: English as a Second Language Endorsement, Grades P-12 (Advanced Level)

Applicable Statutes and Regulation:

KRS 161.028; KRS 161.030

16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB approve the following educator preparation program addition?

MOREHEAD STATE UNIVERSITY

8.0 ENDORSEMENTS

English as a Second Language P-12 (Advanced Level)

Background:

KRS 161.028 and KRS 161.030 provide for the EPSB to establish curricula for educator preparation programs in Kentucky and approve such programs at institutions of higher education. The Division of Educator Preparation, Content Area Program Review Committees, and the Reading Committee evaluated the program review document submitted for approval against performance-based program certification guidelines established by the EPSB. This program proposal meets all the requirements set by the EPSB. The supporting documents for this proposal (program review document which includes the executive summary and letter of support) are available on the secured website.

Groups/Persons Consulted:

Content Area Review Committee
Reading Committee

Alternative Actions:

1. Approve the proposed ESL Endorsement, Grades P-12 preparation program addition.
2. Do not approve the proposed ESL Endorsement, Grades P-12 preparation program addition.

Recommendation:

Alternative 1

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Rationale:

The proposed educator preparation program follows the appropriate regulation (16 KAR 5:010) outlining requirements for program approval as established by the EPSB.

Contact Person:

Dr. Kim Walters-Parker, Director
Division of Educator Preparation
(502) 564-4606
E-mail: kim.walters-parker@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Consent Item F

Action Item:

University of Kentucky: Rank I in Educational Policy Studies and Evaluation

Applicable Statutes and Regulation:

KRS 161.028; KRS 161.030

16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB approve the following educator preparation program addition?

UNIVERSITY OF KENTUCKY

9.0 CONTINUING EDUCATION

Planned Program for Rank I: Educational Policy Studies and Evaluation

Background:

KRS 161.028 and KRS 161.030 provide for the EPSB to establish curricula for educator preparation programs in Kentucky and approve such programs at institutions of higher education. The Division of Educator Preparation and the Reading Committee evaluated the program review document submitted for approval against performance-based program certification guidelines established by the EPSB. This program proposal meets all the requirements set by the EPSB. The supporting documents for this proposal (program review document which includes the executive summary and letter of support) are available on the secured website.

Groups/Persons Consulted:

Reading Committee

Alternative Actions:

1. Approve the proposed Rank I in Educational Policy Studies and Evaluation preparation program addition.
2. Do not approve the proposed Rank I in Educational Policy Studies and Evaluation preparation program addition.

Recommendation:

Alternative 1

Agenda Book

Rationale:

The proposed educator preparation program follows the appropriate regulation (16 KAR 5:010) outlining requirements for program approval as established by the EPSB.

Contact Person:

Dr. Kim Walters-Parker, Director
Division of Educator Preparation
(502) 564-4606
E-mail: kim.walters-parker@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Consent Item G

Action Item:

Brescia University: English as a Second Language Endorsement, Grades P-12 (Bachelor's Level)

Applicable Statutes and Regulation:

KRS 161.028; KRS 161.030

16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB approve the following educator preparation program addition?

BRESCIA UNIVERSITY

8.0 ENDORSEMENTS

English as a Second Language P-12 (Bachelor's Level)

Background:

KRS 161.028 and KRS 161.030 provide for the EPSB to establish curricula for educator preparation programs in Kentucky and approve such programs at institutions of higher education. The Division of Educator Preparation, Content Area Program Review Committees, and the Reading Committee evaluated the program review document submitted for approval against performance-based program certification guidelines established by the EPSB. This program proposal meets all the requirements set by the EPSB. The supporting documents for this proposal (program review document which includes the executive summary and letter of support) are available on the secured website.

Groups/Persons Consulted:

Content Area Review Committee
Reading Committee

Alternative Actions:

3. Approve the proposed ESL Endorsement, Grades P-12 preparation program addition.
4. Do not approve the proposed ESL Endorsement, Grades P-12 preparation program addition.

Recommendation:

Alternative 1

Agenda Book

Rationale:

The proposed educator preparation program follows the appropriate regulation (16 KAR 5:010) outlining requirements for program approval as established by the EPSB.

Contact Person:

Dr. Kim Walters-Parker, Director
Division of Educator Preparation
(502) 564-4606
E-mail: kim.walters-parker@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Information/Discussion Item A

Information Item:

A report on the fiscal year 2012 financial performance of the agency's programs and operations will be presented by the Deputy Executive Director.

Applicable Statutes and Regulation:

KRS 161.017 (1) (c)

Applicable Goal:

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations, and established federal, state, and agency policies.

Background:

To fulfill the EPSB Goal #5.4, requiring a semiannual budget report, EPSB staff will provide a budget report to the Board on the financial records for fiscal year 2012, period ending June 30, 2012. This report will be made available to the board on the secure website.

Groups/Persons Consulted:

None – All information was produced from information maintained in the eMARS financial system.

Contact Person:

Mr. Gary W. Freeland
Analyst Consultant
(502) 564-4606
E-mail: garyw.freeland@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Information/Discussion Item B

Information Item:

To inform the EPSB about contracts and amendments which were signed by the executive director since the prior EPSB board meeting

Applicable Statutes and Regulation:

KRS 161.028 (1) (v) (d)
KRS 161.017 (3)

Applicable Goal:

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations, and established federal, state, and agency policies.

Background:

KRS 161.028 (1) (v) authorizes the EPSB to enter into contracts and KRS 161.017 (3) stipulates that with board approval the executive director may enter into agreements "...to enlist assistance to implement the duties and responsibilities of the board."

The following contracts were renewed, in accordance with the terms of the original contract, and signed by the Executive Director.

Vendor Name	Services	Service Period	Contract Amt.
Glasscock, Laura	CEO Scoring	July 1, 2012 – June 30, 2014	\$17,605.50

The following new contract was completed and signed by the Executive Director after approval was given by the Board to issue an RFP.

Vendor Name	Services	Service Period	Contract Amt.
Carr, Dianna	Educator Preparation Program Assistant	July 1, 2012 – June 30, 2014	\$71,633.00
Freeland, Gary	Analyst Consultant	July 1, 2012 – June 30, 2014	\$41,779.80
Thompson, Robert	Investigator	July 1, 2012 – June 30, 2014	\$47,560.00

The following new contracts were completed and signed by the Executive Director after approval was given by the Board at the March 5, 2012 board meeting. Since these are university agreements, no RFP was required.

Vendor Name	Services	Service Period	Contract Amt.
Eastern Kentucky University	KTIP	July 1, 2012 – June 30, 2013	\$73,640.00

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Kentucky State University	KTIP	July 1, 2012 – June 30, 2013	\$24,985.00
Morehead State University	KTIP	July 1, 2012 – June 30, 2013	\$70,747.00
Murray State University	KTIP	July 1, 2012 – June 30, 2013	\$68,380.00
Northern Kentucky University	KTIP	July 1 July 1, 2012 – June 30, 2013	\$65,750.00
University of Kentucky	KTIP	July 1, 2012 – June 30, 2013	\$88,894.00
University of Louisville	KTIP	July 1, 2012 – June 30, 2013	\$120,454.00
Western Kentucky University	KTIP	July 1, 2012 – June 30, 2013	\$108,882.00

Groups/Persons Consulted:

N/A

Contact Person:

Ms. Ashley Abshire
Executive Assistant
(502) 564-4606
E-mail: ashley.abshire@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Action Item A

Action Item:

16 KAR 6:030. Examination Prerequisites for Principal Certification, Final Action

Applicable Statutes and Regulation:

KRS 161.027

16 KAR 6:030

Applicable Goal:

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:

Should the Education Professional Standards Board approve amendments to 16 KAR 6:030, Examination Prerequisites for Principal Certification?

Background:

In January 2011, the EPSB approved the recommendation that allowed the Educational Testing Service (ETS) to administer the *Kentucky Specialty Test of Instructional and Administrative Practices (1015)*. The first administration of the test was held in September 2011 with no required cut score since a Standard Setting Study (SSS) value for the test had not been completed.

In February 2012, EPSB hosted a SSS facilitated by ETS that consisted of representation from institutions of higher education and current administrators. The study value from the SSS panel is 147, which currently falls below the 25th percentile cut score framework adopted by the EPSB.

As stated in the approved framework, if a score falls below the 25th percentile, the cut score can be increased up to two standard errors of measure (SEM) of the established score. The score at two SEMs is 158, which places the score at the 25th percentile, thereby maintaining legal defensibility. Staff intends to recommend a minimum competency score of 158 reflected in 16 KAR 6:030, effective January 1, 2013.

Alternative Actions:

1. Approve the amendment to 16 KAR 6:030 to establish a cut score of 158 for the Kentucky Specialty Test of Instructional and Administrative Practices (1015).
2. Do not approve the amendment.

Staff Recommendation:

Alternative Action 1

Rationale:

Implementing the cut score permits EPSB staff to ensure candidates complete all principal licensure procedures established by the EPSB.

Agenda Book

Contact Person:

Mr. Robert Brown
Division of Professional Learning and Assessment
(502) 782-2154
E-mail: robertl.brown@ky.gov

Date:

August 6, 2012

1 **EDUCATION PROFESSIONAL STANDARDS BOARD**

2 **(Amendment)**

3 **16 KAR 6:030. Examination prerequisites for principal certification.**

4 RELATES TO: KRS 161.020, 161.027, 161.030

5 STATUTORY AUTHORITY: KRS 161.027

6 NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.020 requires a certificate of
7 legal credentials for any public school position for which a certificate is issued. KRS 161.027
8 requires the Education Professional Standards Board to develop or select appropriate tests,
9 establish minimum scores for successful completion, and establish a reasonable fee to be charged
10 for actual cost of administration of the tests, for an applicant seeking certification as principal,
11 and further requires that each applicant for certification as school principal with less than two (2)
12 years of appropriate experience complete a one (1) year internship program developed by the
13 Education Professional Standards Board. This administrative regulation establishes the
14 examination requirements for certification as principal required under KRS 161.027.

15 Section 1. (1)(a) The certificate for school principal shall be valid for serving in the
16 position of principal or assistant principal.

17 (b) A new applicant for certification as a school principal, including vocational school
18 principal, shall successfully complete the prerequisite tests specified in Section 2 of this
19 administrative regulation prior to certification as a school principal.

20 (c) A score on a test completed more than five (5) years prior to application for
21 certification shall not be acceptable.

22 (2) In addition to the examination requirement specified in Section 2 of this
23 administrative regulation, an applicant for certification shall successfully complete a one (1) year

Agenda Book

1 internship program as required by 16 KAR 7:020 if the applicant has had less than two (2) years
2 of successful experience as a principal in another state.

3 Section 2. An applicant for certification as principal shall complete the following tests
4 and attain the minimum score specified for each test:

5 (1) School Leaders Licensure Assessment (1011) - 160; and

6 (2)(a) Until December 31, 2012, [~~August 31, 2011, the Kentucky Specialty Test of~~
7 ~~Instructional and Administrative Practices—eighty five (85) percent correct responses; or~~

8 ~~—(b) Beginning September 1, 2011,] the Kentucky Specialty Test of Instructional and~~
9 ~~Administrative Practices (1015) [administered by the Educational Testing Service] with no~~
10 ~~passing score; or~~

11 (b) Beginning January 1, 2013, the Kentucky Specialty Test of Instructional Practices
12 (1015) - 158.

13 Section 3. The successful completion of the School Leaders Licensure Assessment shall
14 not be required for an applicant who has:

15 (1) Two (2) years of experience as a certified principal in another state; and

16 (2) Successfully completed a nationally administered test in the area of educational
17 leadership and administration.

18 Section 4. (1) An applicant for certification as principal shall take the required
19 assessments [~~School Leaders Licensure Assessment~~] on a date established by the Educational
20 Testing Service. An applicant shall authorize that test results be forwarded to the Education
21 Professional Standards Board by the Educational Testing Service.

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1 (2) Public announcement of a testing date and location shall be issued sufficiently in
2 advance to permit registration as required by the Educational Testing Service and the Education
3 Professional Standards Board.

4 (3) An applicant shall seek information regarding the dates and location of the test and
5 make application for the appropriate examination prior to the deadline established and
6 sufficiently in advance of anticipated employment to permit test results to be received by the
7 Education Professional Standards Board and processed in the normal certification cycle.

8 Section 5. (1) For the required School Leaders Licensure Assessment, the applicant shall
9 pay all fees assessed by the Educational Testing Service.

10 ~~(2)(a) Until August 31, 2011, an applicant for the Kentucky Specialty Test of~~
11 ~~Instructional and Administrative Practices shall pay a fee of eighty (80) dollars.~~

12 ~~— (b) Beginning September 1, 2011,]~~ An applicant for the Kentucky Specialty Test of
13 Instructional and Administrative Practices shall pay all fees assessed by the Educational Testing
14 Service.

15 Section 6. An applicant who fails to achieve a minimum score on a required test as
16 specified in Section 2 of this administrative regulation shall be permitted to retake the test or
17 tests during a regularly-scheduled test administration.

18 Section 7. A temporary certificate issued in accordance with KRS 161.027(6)(a) shall not
19 be extended for an applicant who does not successfully complete the assessments within the
20 year.

21 Section 8. (1) For an applicant applying for a certificate under KRS 161.027(6)(b), the
22 school superintendent of the employing district shall submit a request that shall include an

Agenda Book

1 affirmation that the applicant pool consisted of three (3) or less applicants who met the
2 requirements for selecting a principal.

3 (2) The temporary certificate issued in accordance with KRS 161.027(6)(b) shall not be
4 extended beyond the one (1) year period.

5 Section 9. On an annual or biennial basis, the Education Professional Standards Board
6 shall collect and analyze data provided by the Educational Testing Service through score and
7 institution reports which permit evaluation of the examination prerequisites covered by this
8 administrative regulation.

9

DRAFT

Date

Cathy Gunn, Chairperson
Education Professional Standards Board

DRAFT

Agenda Book

PUBLIC HEARING AND PUBLIC COMMENT PERIOD: A public hearing on this administrative regulation shall be held on September 28, 2012 at 9:00 a.m. at the offices of the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Conference Room A, Frankfort, Kentucky 40601. Individuals interested in being heard at this hearing shall notify this agency in writing five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until October 1, 2012. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

Contact person: Alicia A. Sneed, Director of Legal Services
Education Professional Standards Board
100 Airport Road, Third Floor
Frankfort, KY 40601
(502) 564-4606
FAX: (502) 564-7080

REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Regulation #: 16 KAR 6:030

Contact Person: Alicia A. Sneed, Director of Legal Services

(1) Provide a brief summary of:

(a) What this administrative regulation does: This administrative regulation establishes the written examination prerequisites and the corresponding passing scores for principal certification.

(b) The necessity of this administrative regulation: This administrative regulation is necessary to provide notice to principal preparation candidates of the assessment requirements for obtaining and maintaining a principal certificate.

(c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 161.020 requires a certificate of legal qualifications for any public school position for which a certificate is issued. KRS 161.028 requires the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate. KRS 161.030 places the responsibility of selecting the assessments and determining the minimum acceptable level of achievement on each assessment on the Education Professional Standards Board.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation lists the required principal certification assessments and their corresponding minimum acceptable scores.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation: This amendment establishes the minimum test score for the Kentucky Specialty Test of Instructional Practices (1015) to be 158. Other amendments are to remove superfluous language to improve the clarity of the regulation.

(b) The necessity of the amendment to this administrative regulation: This amendment is necessary to ensure that the required assessments and corresponding scores are adequately set to produce the most competent educators.

Agenda Book

(c) How the amendment conforms to the content of the authorizing statutes: The authorizing statutes, KRS 161.020, 161.028, and 161.030, govern the certification of professional school personnel and grant the Education Professional Standards Board certification authority and the responsibility for establishing the requirements for obtaining and maintaining a certificate. This amendment establishes the required assessments and corresponding passing scores for Kentucky principal certification.

(d) How the amendment will assist in the effective administration of the statutes: This amendment establishes a minimum score for the Kentucky Specialty Test of Instructional Practices to ensure that all Kentucky principals are competent.

(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: 174 Kentucky school districts, 30 educator preparation programs, and educators seeking new and additional principal certification.

(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: The school districts will not be required to take any additional action. The educator preparation programs will need to continue to direct students to this regulation or the Education Professional Standards Board website for current assessment requirements. Applicants will need to continue to refer to this regulation or the Education Professional Standards board website for current assessment requirements.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): There should not be any additional cost to the entities impacted by the regulation.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (3): The educator preparation programs and applicants will be positively affected by the clarifications to the regulation. The districts will be positively affected by a supply of competent principals.

(5) Provide an estimate of how much it will cost the administrative body to implement

this administrative regulation:

(a) Initially: None

(b) On a continuing basis: None

(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: State General Fund

(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No increase in fees or funding will be necessary to implement this administrative regulation.

(8) State whether or not this administrative regulation established any fees or directly or indirectly increased any fees: This administrative regulation does not establish any fees, or directly or indirectly increase fees.

(9) TIERING: Is tiering applied? (Explain why or why not) NO, tiering does not apply since all candidates for a principal certificate will be held to the same standard.

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation Number: 16 KAR 6:030
Contact Person: Alicia A. Sneed
Phone number: 502-564-4606

(1) What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? The Education Professional Standards Board, public colleges and universities, and the 174 public school districts.

(2) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 161.027, KRS 161.028, KRS 161.030

(3) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. There should be no effect on expenditures or revenues.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? There should be no additional revenues created by this amendment.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? There should be no additional revenues created by this amendment.

(c) How much will it cost to administer this program for the first year? There are no costs associated with the administration of this program.

(d) How much will it cost to administer this program for subsequent years? There are no costs associated with the administration of this program.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Other Explanation: This is not a fee generating or a cost incurring program, but requires applicants for principal certification to take and pass certain assessments to ensure the principal force meets the minimum standards of competency established by the Education Professional Standards Board.

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Action Item B

Action Item:

16 KAR 3:010. Certification for School Superintendent, Final Action

Applicable Statutes and Regulation:

16 KAR 3:010

KRS 161.020

KRS 161.028

KRS 161.030

Applicable Goals:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:

Should the Education Professional Standards Board approve amendments to 16 KAR 3:010, Certification for School Superintendent?

Background:

House Joint Resolution (HJR) 14 enacted by the General Assembly called for the executive director of the Education Professional Standards Board, in cooperation with the commissioner of education and the president of the Council on Postsecondary Education, to organize an interagency task force to collaborate with private and public postsecondary education institutions for the redesign of programs for school and district leaders. The following is a timeline of events regarding the redesign initiative for the superintendent programs:

- January 2010 the EPSB approved the charter and appointments of the Committee to Review Superintendent Preparation Programs.
- January 2011 superintendent review group completed a review of the work.
- March 2011 the EPSB approved the report of recommendations.
- Summer of 2011 design team developed course content descriptors aligned to the recommendations to be utilized in the new superintendent programs.

Attached are regulatory changes that reflect the recommendations of the committee. Highlights from the regulation include:

1. A formalized admissions procedure attesting to the candidate's leadership abilities
2. A clinical model requiring the candidates to have experiences in diverse settings
3. A program that focuses on curriculum, instruction, assessment, and specific responsibilities of a candidate throughout the school year.

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4. A mentoring model that supports the candidate through the superintendent program.

The superintendent design team and the Commonwealth Collaborative of School Leadership Programs (CCSLP) will meet to develop a document that includes model syllabi and resources that may be used to guide institution staff in the development of superintendent programs.

Alternative Actions:

1. Approve amendments to 16 KAR 3:010, Certification for School Superintendent
2. Do not approve amendments to 16 KAR 3:010.

Staff Recommendation:

Alternative Action 1

Rationale:

Approval of the amendments will allow EPSB staff members to work collaboratively with the Commonwealth Collaborative of School Leadership Programs (CCSLP) and other higher education institution and state agency representatives to develop programs that align to the new regulation.

Contact Person:

Mr. Robert Brown, Director
Division of Professional Learning and Assessment
(502) 564-4606
E-mail: robertl.brown@ky.gov

Date:

August 6, 2012

1 **EDUCATION PROFESSIONAL STANDARDS BOARD**

2 **(Amendment)**

3 **16 KAR 3:010. Certification for school superintendent.**

4 RELATES TO: KRS 161.020, 161.028, 161.030

5 STATUTORY AUTHORITY: KRS 161.028, 161.030

6 NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.020 requires that a teacher
7 and other professional school personnel hold a certificate of legal qualification for the respective
8 position to be issued upon completion of a program of preparation prescribed by the Education
9 Professional Standards Board. KRS 161.028(1)(b) requires that a teacher education institution be
10 approved for offering the preparation program corresponding to a particular certificate on the
11 basis of standards and procedures established by the Education Professional Standards Board.
12 This administrative regulation establishes the preparation and certification program for school
13 superintendent.

14 Section 1. Conditions and Prerequisites. (1) The professional certificate for instructional
15 leadership - school superintendent shall be issued to an applicant who has completed:

16 (a) An approved program of preparation, as required by this administrative regulation and
17 pursuant to 16 KAR 5:010;

18 (b) The appropriate requirements for certification, as established in KAR Title 16; and

19 (c) At least two (2) years of experience in a position of school principal, supervisor of
20 instruction, guidance counselor, director of pupil personnel, director of special education, school
21 business administrator, local district coordinator of vocational education, or a coordinator,
22 administrator, or supervisor of district-wide services. Other administrative experience may be
23 substituted for this requirement with the approval of the Education Professional Standards Board.

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1 (2) The professional certificate for instructional leadership - school superintendent shall
2 be valid for the position of school superintendent and assistant superintendent.

3 (3) Prerequisites for the program of preparation for the professional certificate for
4 instructional leadership - school superintendent, shall include:

5 (a) Qualifications for a Kentucky teaching certificate;

6 (b) Admission to the preparation program on the basis of criteria developed by the
7 teacher education institution pursuant to 16 KAR 5:010;

8 (c) Completion of a master's degree;

9 (d)1. Except as provided in subparagraph 2 of this paragraph, completion of the Levels I
10 and II preparation and certification for the position of school principal, or supervisor of
11 instruction; or

12 2. For a candidate who completed preparation for principal prior to 1988, completion of
13 the assessments for administration; and

14 (e) Completion of at least three (3) years of full-time teaching experience, including at
15 least 140 days per year.

16 Section 2. A preparation program for the professional certificate for instructional
17 leadership - school superintendent shall be consistent with the six (6) standards included in
18 "Interstate School Leaders Licensure Consortium Standards for School Leaders" and the six (6)
19 standards included in "Technology Standards for School Administrators" incorporated by
20 reference in [found in] 16 KAR 3:050, [Section 3, and incorporated by reference].

21 (1) Beginning August 1, 2014, each accredited educator preparation institution shall have
22 a formal application procedure for admission to a superintendent preparation program, which
23 shall include the following:

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1 (a) A written letter of recommendation from a supervisor or an education agency
2 representative attesting to the applicant's suitability for school leader.

3 (b) An admissions portfolio which documents that the applicant demonstrates the
4 following:

5 1. The ability to improve student achievement;

6 2. Knowledge of school laws related to school finance, school operations, and personnel
7 matters;

8 3. The ability to implement curriculum, instruction, and assessment,

9 4. A commitment to ongoing professional growth;

10 5. Effective communication skills; and

11 6. The ability to build relationships, foster teamwork, and develop networks; and

12 (c) Proof the applicant has completed a master's degree program.

13 (2) (a) Beginning August 1, 2014, each superintendent preparation program shall utilize a
14 clinical model which requires candidates to:

15 (1) Work in diverse school and district central office settings; and

16 (2) Solve problems based on the school district's needs.

17 (b) A superintendent preparation program shall develop a mentoring plan for each
18 candidate.

19 (c) A superintendent preparation program shall design a method to assess the
20 effectiveness of a candidate's field experience.

Agenda Book

1 (3) Beginning August 1, 2014, a superintendent preparation program's course work shall
2 include a minimum of twelve (12) credit hours.

3 (4) Beginning August 1, 2014, a superintendent preparation program's curriculum of
4 study shall include the following:

5 (a) The study of the roles and responsibilities of a superintendent which includes:

6 1. Leadership theory and development;

7 2. The impact of board leadership on student learning;

8 3. Community engagement focused on student learning;

9 4. Capacity Building;

10 5. District Management;

11 6. Culturally responsive leadership;

12 7. Ethics;

13 8. Time management; and

14 9. Professional development; and

15 (b) The federal, state, and local laws governing school system administration with an
16 emphasis on the following school functions:

17 1. Finance;

18 2. Personnel;

19 3. Food Service;

20 4. Facilities;

21 5. Transportation;

22 6. School safety; and

23 7. School-Based Councils; and

Agenda Book

1 (c) Coursework which will assist the candidate to

2 1. Develop skills that facilitate rigorous curriculum, engaging instruction, professional
3 development, and a comprehensive assessment system:

4 2. Implement an aligned, rigorous standards-based curriculum in every school which
5 prepares all students to be globally competitive for postsecondary education and work;

6 3. Collaborate with district staff and school leaders to coordinate a system of support that
7 ensures engaging and relevant instruction in every classroom;

8 4. Ensure that a comprehensive assessment system is appropriately used at the district,
9 school, and classroom level for informed decision making that improves learning;

10 5. Work with district and school staff to develop and implement a coordinated system of
11 student academic support for students whose achievement does not meet established
12 benchmarks; and

13 6. Ensure that the school system has an articulated design for pre-school, early childhood,
14 middle childhood, adolescent, and adult education that represents research and best practice.

15 (5) Beginning August 1, 2014, a superintendent preparation program shall require all
16 candidates to complete a capstone project to be presented to a panel of program faculty and
17 practicing school administrators prior to completion of the program.

18 Section 3. Issuance and Renewal. (1) The initial professional certificate for instructional
19 leadership - school superintendent shall be issued for five (5) years to a candidate who has
20 completed an approved program of preparation for superintendent at the postmaster's level.
21 Application shall be made on Form TC-1 incorporated by reference in 16 KAR 2:010.

22 (2) Each five (5) year renewal shall require:

Agenda Book

1 (a) The completion of two (2) years of experience as a school superintendent or assistant
2 superintendent;

3 (b) Three (3) semester hours of additional graduate credit or the equivalent related to the
4 position of school superintendent; or

5 (c) Forty-two (42) hours of approved training selected from programs approved for the
6 Kentucky Effective Instructional Leadership Training Program.

7 (3) If a lapse in certification occurs for lack of the renewal requirements, the certificate
8 shall be reissued for a five (5) year period after the completion of an additional six (6) semester
9 hours of graduate study or the equivalent appropriate to the program.

10 ~~[Section 4. Incorporation by Reference. (1) The following material is incorporated by~~
11 ~~reference:~~

12 ~~—— (a) "Interstate School Leaders Licensure Consortium Standards for School Leaders",~~
13 ~~November 2, 1996;~~

14 ~~—— (b) "Form TC 1, rev. 10/02; and~~

15 ~~—— (c) "Technology Standards for School Administrators", 2001, Collaborative for~~
16 ~~Technology Standards for School Administrators.~~

17 ~~—— (2) This material may be inspected, copied, or obtained, subject to applicable copyright~~
18 ~~law, at the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort,~~
19 ~~Kentucky 40601, Monday through Friday, 8 a.m. to 4:30 p.m.]~~

Date

Cathy Gunn, Chairperson
Education Professional Standards Board

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Agenda Book

PUBLIC HEARING AND PUBLIC COMMENT PERIOD: A public hearing on this administrative regulation shall be held on September 28, 2012 at 9:00 a.m. at the offices of the Education Professional Standards Board, 100 Airport Road, 3rd Floor, Conference Room A, Frankfort, Kentucky 40601. Individuals interested in being heard at this hearing shall notify this agency in writing five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until October 1, 2012. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

Contact person: Alicia A. Sneed, Director of Legal Services
Education Professional Standards Board
100 Airport Road, Third Floor
Frankfort, KY 40601
(502) 564-4606
FAX: (502) 564-7080

REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Regulation #: 16 KAR 3:010

Contact Person: Alicia A. Sneed, Director of Legal Services

(1) Provide a brief summary of:

(a) What this administrative regulation does: This administrative regulation establishes the preparation program and certification requirements for school district superintendents.

(b) The necessity of this administrative regulation: This administrative regulation is necessary to provide notice to superintendent preparation programs and candidates of the requirements for obtaining and maintaining a superintendent certificate.

(c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 161.020 requires a certificate of legal qualifications for any public school position for which a certificate is issued. KRS 161.028 requires the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate. KRS 161.030 places the responsibility of selecting the assessments and determining the minimum acceptable level of achievement on each assessment on the Education Professional Standards Board.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation establishes the requirements for admission to a superintendent preparation program and the program requirements for superintendent preparation. This administrative regulation also establishes the requirements for superintendent certification.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation: This amendment establishes new admission processes for superintendent preparation programs and course work for superintendent preparation programs.

(b) The necessity of the amendment to this administrative regulation: This amendment is necessary to ensure that public school superintendents are properly prepared for their positions.

(c) How the amendment conforms to the content of the authorizing statutes: The authorizing statutes, KRS 161.020, 161.028, and 161.030, govern the certification of professional

Agenda Book

school personnel and grant the Education Professional Standards Board certification authority and the responsibility for establishing the requirements for obtaining and maintaining a certificate. This amendment establishes the required assessments for Kentucky superintendent certification.

(d) How the amendment will assist in the effective administration of the statutes: This administrative regulation establishes the new requirements for admission to a superintendent preparation program and the program requirements for superintendent preparation.

(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: 174 Kentucky school districts, 30 educator preparation programs, and educators seeking superintendent certification.

(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: The school districts will not be required to take any additional action. The educator preparation programs will need to modify their superintendent preparation programs to comply with the regulation. Applicants will need to continue to refer to this regulation for the requirements for certification.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): There may be an initial cost to educator preparation programs when they modify their programs to meet the standards established in this amendment.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (3): The educator preparation programs and applicants will be positively affected by the clarifications to the regulation. The districts will be positively affected by a supply of competent superintendents.

(5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially: None

(b) On a continuing basis: None

(6) What is the source of the funding to be used for the implementation and enforcement

Agenda Book

of this administrative regulation: State General Fund

(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No increase in fees or funding will be necessary to implement this administrative regulation.

(8) State whether or not this administrative regulation established any fees or directly or indirectly increased any fees: This administrative regulation does not establish any fees, or directly or indirectly increase fees.

(9) TIERING: Is tiering applied? (Explain why or why not) NO, tiering does not apply since all candidates for a principal certificate will be held to the same standard.

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FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation Number: 16 KAR 3:010

Contact Person: Alicia A. Sneed

Phone number: 502-564-4606

(1) What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? The Education Professional Standards Board, public colleges and universities, and the 174 public school districts.

(2) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 161.020, KRS 161.028, KRS 161.030

(3) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. There should be no effect on expenditures or revenues.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? There should be no additional revenues created by this amendment.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? There should be no additional revenues created by this amendment.

(c) How much will it cost to administer this program for the first year? There are no costs associated with the administration of this program.

(d) How much will it cost to administer this program for subsequent years? There are no costs associated with the administration of this program.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Other Explanation: This is not a fee generating or a cost incurring program, but establishes the minimum standards for superintendent preparation and certification.

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Action Item C

Action Item:

Kentucky Teacher Internship Program (KTIP) Appeals

Applicable Statutes and Regulation:

KRS 161.030

16 KAR 7:010, Section 9

Applicable Goal:

Goal 4: Every credentialed educator participates in a high quality induction into the profession and approved educational advancement programs that support effectiveness in helping all students achieve.

Issue:

Should the Education Professional Standards Board approve the recommendations of the Kentucky Teacher Internship Program (KTIP) Appeals Committee?

Background:

Pursuant to Section 9 of 16 KAR 7:010, a teacher intern may appeal the decision of the beginning teacher committee. Appeals by teacher interns must be reviewed by a committee of four persons—one teacher, one principal, one teacher educator, and the Executive Director of the EPSB or his/her designee. The Appeals Committee reviews the written appeal by the teacher intern, all beginning teacher committee reports, any additional documentation that accompanied the final report, any written responses from the members of the beginning teacher committee, and all other relevant information requested by the Appeals Committee.

The Appeals Committee may recommend that the EPSB *uphold* the final decision of the internship as unsuccessful, *not uphold* the final decision of the internship as unsuccessful, or *nullify* the final decision because of procedural errors, allowing the intern two additional opportunities to successfully complete the internship.

The recommendations made by the Appeals Committee will be sent under separate cover. A complete file for each intern will be made available for review at the August Board meeting.

Alternative Actions:

1. Approve the Appeals Committee recommendations.
2. Do not approve the Appeals Committee recommendations.

Staff Recommendation:

Alternative Action 1

Rationale:

The EPSB considers the Appeals Committee's recommendations, reviews the records, and issues a final decision on each case. The Appeals Committee followed proper review procedures under the guidance of an EPSB attorney, and the staff believes the recommendations are sound.

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Contact Person:

Ms. Alicia Sneed, Director
Division of Legal Services
(502) 564-4606
E-mail: alicia.sneed@ky.gov

Mr. Robert Brown, Director
Division of Professional Learning and Assessment
(502) 564-4606
E-mail: robertl.brown@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Action Item D

Action Item:

Mid-Continent University: Accreditation of the Educator Preparation Unit and Approval of Programs

Applicable Statute or Regulation:

KRS 161.028
16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB grant continuing accreditation to the Educator Preparation Unit and approve the initial level preparation program at Mid-Continent University?

Background:

A state Board of Examiners (BOE) team conducted the on-site evaluation of the Educator Preparation Unit at Mid-Continent University on October 20 – November 2, 2011. The BOE team found all standards were met with one corrected area for improvement, one continued area for improvement, and three new areas for improvement. The BOE also reviewed the program review documents as part of the on-site visit and found them to be in compliance with guidelines established and approved by the EPSB. At its June 18, 2012 meeting, the Accreditation Audit Committee (AAC) reviewed the accreditation materials including but not limited to the Institutional Report, the BOE Report, and the unit's rejoinder. (See attached minutes.)

The AAC reviewed the following areas for improvement:

Corrected

Standard 2: Assessment System and Unit Evaluation

- 1) Cooperating teachers are peripherally involved in monitoring candidate performance and managing and improving the program in order to impact P-5 student achievement. (Initial)

Continued

Standard 5: Faculty Qualifications, Performance, and Development

- 1) The faculty's involvement in scholarly activities is limited. (Initial)

New

Standard 2: Assessment System and Unit Evaluation

- 1) The unit has not implemented procedures to ensure accuracy in the assessment of candidate performance. (Initial)
- 2) The unit's gathering of data from graduates and employers of graduates is incomplete. (Initial)

Standard 5: Faculty Qualifications, Performance, and Development

- 1) Faculty demonstrate limited engagement in professional development activities.

The AAC voted to agree with the findings of the BOE team. Pursuant to 16 KAR 5:010, Section 19, the AAC accepts all the areas for improvement listed above and recommends: (1) ACCREDITATION and (2) APPROVAL OF THE INITIAL LEVEL EDUCATOR PREPARATION PROGRAM at Mid-Continent University.

Groups/Persons Consulted

Content Area Program Reviewers
Continuous Assessment Review Committee
Reading Committee
State Board of Examiners Team
Accreditation Audit Committee

Alternative Actions:

Issue One: Unit Accreditation

1. Accept the recommendation of the AAC and grant ACCREDITATION for Mid-Continent University.
2. Modify the AAC recommendation and grant ACCREDITATION WITH CONDITIONS for Mid-Continent University.
3. Do not accept the AAC recommendation and grant ACCREDITATION WITH PROBATION for Mid-Continent University.

Issue Two: Program Approval

1. Accept the recommendation of the AAC and grant APPROVAL for the initial level educator preparation program at Mid-Continent University.
2. Modify the AAC recommendation and grant APPROVAL WITH CONDITIONS for the initial level educator preparation program at Mid-Continent University.
3. Do not accept the AAC recommendation and stipulate DENIAL OF APPROVAL for the initial level educator preparation program at Mid-Continent University.

AAC Recommendation:

Issue One: Alternative 1

Issue Two: Alternative 1

Rationale:

The State BOE team and AAC followed national and state guidelines for accreditation of educator preparation programs.

Contact Person:

Dr. Kim Walters-Parker, Director
Division of Educator Preparation
(502) 564-4606
E-mail: kim.walters-parker@ky.gov

Date:

August 6, 2012

Accreditation Audit Committee (AAC)

**Education Professional Standards Board
Conference Room A
June 18, 2012**

MEETING MINUTES

Members Present:

Judi Conrad, Chair
Susan Compton
Joy Gray (by phone)
Shirley Nelson
Tim Watkins
Zella Wells

EPSB Staff Present:

Kim Walters-Parker
Allison Bell

Members Absent:

Jack Rose

Following some general housekeeping news, the meeting was officially called to order at 9:05. The next meeting date was tentatively set for Thursday, December 6.

APPROVAL OF MINUTES:

Motion made by Judi Conrad, seconded by Susan Compton, to approve the minutes from the previous AAC meeting.

Vote: Approve the motion (Yes: 5-0)

The AAC reviewed the documentation including, but not limited to, the Institutional Report (IR), Board of Examiners (BOE) team report, and the institutional rejoinder, and made recommendations for accreditation and program approval for the following institutions:

MID-CONTINENT UNIVERSITY

Martha O'Bryan, Chair of the EPSB Board of Examiners (BOE) team, presented the report on behalf of the team. She provided an overview of the areas for improvement and attested to the thoroughness of the visit. She was complimentary of the level of preparedness and hospitality shown by Mid-Continent University (MCU). She identified specific errors that had been made in the BOE Report. First, in Standard 2 on page 15 the rationale for the corrected area for improvement (AFI) does not support the reason for the correction. She provided the following revised rationale for the corrected AFI: *Documentation from interviews and evidence in the exhibit room verified that P-5 practitioners are involved in the unit's continuous assessment plan.* Second, the second new AFI in Standard 2 on page 15 includes redundant statements. She clarified the AFI by indicating that the statement should read *"The unit's gathering of data from graduates and employers of graduates is incomplete."* Finally, in Standard 5 on page 27 the continued AFI does not include a rationale for the team's recommendation. Dr.

O'Bryan added the following rationale: *Although there is evidence of scholarly activity from the unit head, there is insufficient evidence that the majority of faculty are engaged in scholarly activities.* She attested that the BOE team found all standards had been met with one corrected area for improvement in Standard 2; one continued area for improvement in Standard 5; two new areas for improvement in Standard 2 and one new area for improvement in Standard 5.

Dr. Paul Thompson commented on behalf of the institution. He acknowledged a thorough review by the team and was complimentary of the professionalism of the team members.

The AAC reviewed the findings of the BOE team and agreed with its findings that all standards were met, with following areas for improvement:

Corrected Areas for Improvement:

Standard 2: Assessment System and Unit Evaluation

- 1) Cooperating teachers are peripherally involved in monitoring candidate performance and managing and improving the program in order to impact P-5 student achievement.

Continued Areas for Improvement:

Standard 5: Faculty Qualifications, Performance, and Development

- 1) The faculty's involvement in scholarly activities is limited.

New Areas for Improvement:

Standard 2: Assessment System and Unit Evaluation

- 1) The unit has not implemented procedures to ensure accuracy in the assessment of candidate performance.
- 2) The unit's gathering of data from graduates and employers of graduates is incomplete.

Standard 5: Faculty Qualifications, Performance, and Development

- 1) Faculty demonstrate limited engagement in professional development activities.

Following appropriate meeting protocol, the AAC made the following decisions:

- 1) Voted (5-0) that the BOE team followed approved accreditation guidelines when conducting the visit.

- 2) Voted (5-0) to agree with the corrected areas for improvement cited in the BOE Report and as revised by the team chair.
- 3) Voted (5-0) to agree with the continued areas for improvement cited in the BOE Report.
- 4) Voted (5-0) to agree with the new areas for improvement cited in the BOE Report and as revised by the team chair.
- 5) Voted (5-0) to agree with the findings of the BOE team that all standards are met.

In addition to decisions identified above, the AAC recommends **PROGRAM APPROVAL** (Vote: 5-0) and **ACCREDITATION** for **Mid-Continent University** (Vote: 5-0).

At this point in the meeting Joy Gray began to participate via conference call.

UNIVERSITY OF PIKEVILLE

AAC members Zella Wells and Shirley Nelson recused.

Verna Lowe, Chair of the EPSB Board of Examiners (BOE) team, presented the report on behalf of the team. She provided an overview of the team findings and affirmed the thoroughness of the visit. She was complimentary of the unit's preparedness and their responsiveness to meet the team's needs. She also complimented the unit on its hospitality and its dedication to its mission. She reported the BOE team found all standards had been met with two corrected areas for improvement in Standard 4, one continued area for improvement in Standard 5, and no new areas for improvement.

Dr. Shirley Nelson, Chair of the Education Division, and Dr. Tom Hess, Vice President for Academic Affairs and Academic Dean, spoke on behalf of the institution. Dr. Nelson was complimentary of the BOE team's professionalism and thoroughness. She acknowledged the importance of the accreditation process and said the unit was strengthened by the self evaluation. She indicated the unit had worked hard to address the AFIs from the previous visit. Dr. Hess confirmed the institution's commitment to diversity and identified some specific endeavors undertaken by the university to address diversity across all programs, not just in education. Dr. Nelson discussed the team's findings in Standard 5 and requested the AAC remove the area for improvement. She clarified information that she indicated was confusing to the team. Dr. Lowe confirmed the team's lack of being able to triangulate the information via documentation and interviews so the team decided to cite the AFI.

Corrected Areas for Improvement:

Standard 4: Diversity

- 1) There are no minority faculty in the unit.

- 2) There are no minority candidates in the unit.

Continued Areas for Improvement:

Standard 5: Faculty Qualifications, Performance, and Development

- 1) Unit faculty demonstrate limited scholarly work.

New Areas for Improvement:

None

The AAC reviewed the findings of the BOE team and agreed with the BOE team findings that all standards were met. The committee agreed with the BOE team findings on the corrected areas for improvement, but they disagreed with the continued area for improvement as cited in the BOE Report. The Accreditation Audit Committee voted to remove the area for improvement in Standard 5 based on the evidence provided by the unit. The unit's rejoinder and presentation information indicated that a majority of the faculty have demonstrated scholarly work.

Following appropriate meeting protocol, the AAC made the following decisions:

- 1) Voted (4-0, Shirley Nelson and Zella Wells recused) that the BOE team followed approved accreditation guidelines when conducting the visit.
- 2) Voted (3-1, Shirley Nelson and Zella Wells recused and Joy Gray dissented) to disagree with the continued area for improvement cited in the BOE Report. The committee recommends removing the area for improvement.
- 3) Voted (4-0, Shirley Nelson and Zella Wells recused) that all standards are met.

In addition to decisions identified above, the AAC recommends **PROGRAM APPROVAL** (Vote: 4-0, Shirley Nelson and Zella Wells recused) and **ACCREDITATION** for **University of Pikeville** (Vote: 4-0, Shirley Nelson and Zella Wells recused).

MOREHEAD STATE UNIVERSITY

Bonnie Marshall, Co-chair of the joint NCATE/EPBSB Board of Examiners (BOE) team, presented the report on behalf of the team. She provided an overview of the team members and the team's findings. She attested to the thoroughness of the visit and the preparedness by the institution. She indicated that the BOE team found all standards had been met with one corrected area for improvement in Standard 2 and one in Standard 4; two continuing areas for improvement in Standard 4; and two new areas for improvement in Standard 2.

Drs. Cathy Gunn and Kathryn Polmanteer commented on behalf of the institution. Each acknowledged a thorough review by the team and was complimentary of the

professionalism of the team members. Each described the accreditation process as a growth experience. Dr. Gunn emphasized the value of the continuous improvement process and the unit's commitment to that process. She indicated that the findings of the BOE team confirmed the unit's self evaluation.

It was brought to the attention of the unit and the committee that NCATE had revised the first AFI in Standard 2. This change would impact the committee's decisions as well as the institution's future annual report submissions. The following AFI is cited in Standard 2 of the BOE Report: *"The unit does not utilize disaggregated performance data to compare programs offered at all sites for program improvement. (Initial)"* It was worded differently by NCATE as follows *"The unit does not disaggregate candidate performance data for its regional campus programs. (Initial)"*

The AAC reviewed the findings of the BOE team and agreed with its findings that all standards were met, with following areas for improvement:

Corrected Areas for Improvement:

Standard 2: Assessment System and Unit Evaluation

- 1) Faculty from the extended campus sites are not represented on the Unit Program Assessment Committee. (Initial and Advanced)

Standard 4: Diversity

- 1) Candidates and alumni report insufficient knowledge and skills in working with culturally diverse students and students with exceptionalities. (Initial and Advanced)

Continued Areas for Improvement:

Standard 4: Diversity

- 1) The unit does not have a systematic method to ensure that candidates work with culturally diverse (including ELL) students and students with exceptionalities. (Initial and Advanced)
- 2) Candidates have limited opportunities to interact with racially and ethnically diverse peers. (Initial and Advanced)

New Areas for Improvement:

Standard 2: Assessment System and Unit Evaluation

- 1) The unit does not utilize disaggregated performance data to compare programs offered at all sites for program improvement. (Initial)

- 2) The unit does not utilize aggregated data across Master of Arts in Teaching programs or across advanced programs for unit improvement. (Initial and Advanced)

Following appropriate meeting protocol, the AAC made the following decisions:

- 1) Voted (5-0, Zella Wells recused) that the BOE team followed approved accreditation guidelines when conducting the visit.
- 2) Voted (5-0, Zella Wells recused) to agree with the corrected areas for improvement cited in the BOE Report.
- 3) Voted (5-0, Zella Wells recused) to agree with the continued areas for improvement cited in the BOE Report.
- 4) Voted (5-0, Zella Wells recused) to agree with the new areas for improvement cited in the BOE Report.
- 5) Voted (5-0, Zella Wells recused) to agree with the BOE team that all standards are met.

In addition to decisions identified above, the AAC recommends **PROGRAM APPROVAL** (Vote: 5-0, Zella Wells recused) and **ACCREDITATION** for **Morehead State University** (Vote: 5-0, Zella Wells recused).

BIENNIAL REVIEW

The AAC conducted biennial reviews for three institutions – Asbury University, Transylvania University and Union College. The committee reviewed the institutions' areas for improvement and provided feedback to the institutions after reviewing two years of annual reports. The committee suggested that future biennial review information should include any previous same-cycle biennial review reports that were sent to the institutions under review.

SPALDING UNIVERSITY

Brenda Priddy, Co-chair of the joint NCATE/EP SB Board of Examiners (BOE) team, presented the report on behalf of the team. She provided an overview of the team members and the team's findings. She was complimentary of the unit's hospitality and attested to the thoroughness of the visit and the preparedness by the institution. She indicated that the BOE team found all standards had been met with one corrected area for improvement in Standard 2, two in Standard 3, and two in Standard 6; no continuing areas for improvement; and one new area for improvement in Standard 2. She discussed the unit's efforts to move to the target level on Standard 3. She discussed the unit's partnership with a local school and highlighted the dedication demonstrated by the university president to this partnership along with other unit initiatives. She confirmed that the team felt the unit had reached the target level on Standard 3.

Drs. Beverly Keepers and James Takona commented on behalf of the institution. Each

acknowledged a thorough review by the team and was complimentary of the professionalism of the team members. Dr. Keepers indicated that the visit was a pleasant experience and she was supportive of the continuous improvement model. Dr. Takona acknowledged the team's findings and confirmed the unit's commitment to moving to target across all standards.

The AAC reviewed the findings of the BOE team and agreed with its findings that all standards were met, with following areas for improvement:

Corrected Areas for Improvement:

Standard 2: Assessment System and Unit Evaluation

- 1) The unit makes limited use of information technologies to maintain its assessment system. (Initial and Advanced)

Standard 3: Field Experiences and Clinical Practice

- 1) School-based clinical faculty in the M.A. in Education Administration program do not participate in the evaluation of the candidates' field experiences. (Advanced)
- 2) The unit has not developed criteria for the selection and evaluation of school-based clinical faculty in the M.A. in Education Administration program. (Advanced)

Standard 6: Unit Governance and Resources

- 1) The library has insufficient professional staff to assist candidates in their research. (Initial and Advanced)
- 2) Limited library resources are available to faculty and candidates. (Initial and Advanced)

Continued Areas for Improvement:

None

New Area for Improvement:

Standard 2: Assessment System and Unit Evaluation

- 1) The unit does not regularly and systematically involve the professional community in the evaluation of its assessment system. (Initial and Advanced)

Following appropriate meeting protocol, the AAC made the following decisions:

- 1) Voted (6-0) that the BOE team followed approved accreditation guidelines when conducting the visit.
- 2) Voted (6-0) to agree with the corrected areas for improvement cited in the BOE Report.
- 3) Voted (6-0) to agree with the new areas for improvement cited in the BOE Report.
- 4) Voted (6-0) to agree with the BOE team that all standards are met.

In addition to decisions identified above, the AAC recommends **PROGRAM APPROVAL** (Vote: 6-0) and **ACCREDITATION** for **Spalding University** (Vote: 6-0).

DRAFT

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Action Item E

Action Item:

Morehead State University: Accreditation of the Educator Preparation Unit and Approval of Programs

Applicable Statute or Regulation:

KRS 161.028
16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB grant continuing accreditation to the Educator Preparation Unit and approve the initial and advanced level preparation programs at Morehead State University?

Background:

A joint NCATE/state Board of Examiners (BOE) team conducted the on-site evaluation of the Educator Preparation Unit at Morehead State University on October 23 – 25, 2011. The BOE team found all standards were met with two corrected areas for improvement, two continued areas for improvement, and two new areas for improvement. The BOE also reviewed program review documents as part of the on-site visit and found them to be in compliance with guidelines established and approved by the EPSB. At its June 18, 2012 meeting, the Accreditation Audit Committee (AAC) reviewed the accreditation materials including but not limited to the Institutional Report, the BOE Reports, the IR Addendum, the Institutional Rejoinder, and the NCATE Action Report. (See attached minutes.)

The AAC reviewed the findings of the BOE team and agreed with its recommendation that all standards were met, with the following areas for improvement.

Corrected

Standard 2: Assessment System and Unit Evaluation

- 1) Faculty from the extended campus sites are not represented on the Unit Program Assessment Committee. (Initial and Advanced)

Standard 4: Diversity

- 1) Candidates and alumni report insufficient knowledge and skills in working with culturally diverse students and students with exceptionalities. (Initial and Advanced)

Continued

Standard 4: Diversity

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- 1) The unit does not have a systematic method to ensure that candidates work with culturally diverse (including ELL) students and students with exceptionalities. (Initial and Advanced)
- 2) Candidates have limited opportunities to interact with racially and ethnically diverse peers. (Initial and Advanced)

New

Standard 2: Assessment System and Unit Evaluation

- 1) The unit does not utilize disaggregated performance data to compare programs offered at all sites for program improvement. (Initial)
- 2) The unit does not utilize aggregated data across Master of Arts in Teaching programs or across advanced programs for unit improvement. (Initial and Advanced)

The AAC voted to agree with the findings of the BOE team as cited in the BOE Report. Pursuant to 16 KAR 5:010, Section 19, the AAC accepts the areas for improvement listed above and recommends: (1) ACCREDITATION and (2) APPROVAL OF THE INITIAL AND ADVANCED LEVEL EDUCATOR PREPARATION PROGRAMS at Morehead State University.

Groups/Persons Consulted

Content Area Program Reviewers
Continuous Assessment Review Committee
Reading Committee
Joint NCATE/State Board of Examiners Team
Accreditation Audit Committee

Alternative Actions:

Issue One: Unit Accreditation

4. Accept the recommendation of the AAC and grant ACCREDITATION for Morehead State University.
5. Modify the AAC recommendation and grant ACCREDITATION WITH CONDITIONS for Morehead State University.
6. Do not accept the AAC recommendation and grant ACCREDITATION WITH PROBATION for Morehead State University.

Issue Two: Program Approval

1. Accept the recommendation of the AAC and grant APPROVAL for the initial and advanced level educator preparation programs at Morehead State University.
2. Modify the AAC recommendation and grant APPROVAL WITH CONDITIONS for the initial and advanced level educator preparation programs at Morehead State University.
3. Do not accept the AAC recommendation and stipulate DENIAL OF APPROVAL for the initial and advanced level educator preparation programs at Morehead State University.

AAC Recommendation:

Issue One: Alternative 1
Issue Two: Alternative 1

Agenda Book

Rationale:

The Joint NCATE/State BOE team and AAC followed national and state guidelines for accreditation of educator preparation programs.

Contact Person:

Dr. Kim Walters-Parker, Director
Division of Educator Preparation
(502) 564-5789
E-mail: kim.walters-parker@ky.gov

Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Action Item F

Action Item:

University of Pikeville: Accreditation of the Educator Preparation Unit and Approval of Programs

Applicable Statute or Regulation:

KRS 161.028

16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB grant continuing accreditation to the Educator Preparation Unit and approve the initial level preparation programs at University of Pikeville?

Background:

A state Board of Examiners (BOE) team conducted the on-site evaluation of the Educator Preparation Unit at Mid-Continent University on October 20 – November 2, 2011. The BOE team found all standards were met with two corrected areas for improvement, one continued area for improvement, and no new areas for improvement. The BOE also reviewed program review documents as part of the on-site visit and found them to be in compliance with guidelines established and approved by the EPSB. At its June 18, 2012 meeting, the Accreditation Audit Committee (AAC) reviewed the accreditation materials including but not limited to the Institutional Report, the BOE Report, and the unit's rejoinder. (See attached minutes.)

The AAC reviewed the following areas for improvement:

Corrected

Standard 4: Diversity

- 1) There are no minority faculty in the unit. (Initial)
- 2) There are no minority candidates in the unit. (Initial)

Continued

Standard 5: Faculty Qualifications, Performance, and Development

- 1) Unit faculty demonstrate limited scholarly work. (Initial)

New

None

The AAC voted to agree with the findings of the BOE team that all standards were met and on the corrected areas for improvement; however, the AAC disagreed with the BOE team regarding the continued area for improvement. Based upon evidence presented by both the BOE team chair and the unit representatives, the AAC voted to remove the continued area for improvement cited in the BOE Report.

Pursuant to 16 KAR 5:010, Section 19, the AAC accepts all the corrected areas for improvement listed above and recommends: (1) REMOVAL of the CONTINUING AFI in Standard 5 listed above, (2) ACCREDITATION, and (3) APPROVAL of the INITIAL LEVEL EDUCATOR PREPARATION PROGRAMS at University of Pikeville.

Groups/Persons Consulted

Content Area Program Reviewers
Continuous Assessment Review Committee
Reading Committee
State Board of Examiners Team
Accreditation Audit Committee

Alternative Actions:

Issue One: Removal of the AFI

1. Accept the recommendation of the AAC and remove the continued area for improvement in Standard 5.
2. Modify the AAC recommendation and revise the continued area for improvement in Standard 5.
3. Do not accept the recommendation of the AAC and accept the findings of the BOE team for Standard 5.

Issue Two: Unit Accreditation

7. Accept the recommendation of the AAC and grant ACCREDITATION for University of Pikeville.
8. Modify the AAC recommendation and grant ACCREDITATION WITH CONDITIONS for University of Pikeville.
9. Do not accept the AAC recommendation and grant ACCREDITATION WITH PROBATION for University of Pikeville.

Issue Three: Program Approval

1. Accept the recommendation of the AAC and grant APPROVAL for the initial level educator preparation programs at University of Pikeville.
2. Modify the AAC recommendation and grant APPROVAL WITH CONDITIONS for the initial level educator preparation programs at University of Pikeville.
4. Do not accept the AAC recommendation and stipulate DENIAL OF APPROVAL for the initial level educator preparation programs at University of Pikeville.

AAC Recommendation:

Issue One: Alternative 1

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Issue Two: Alternative 1

Issue Three: Alternative 1

Rationale:

The State BOE team and AAC followed national and state guidelines for accreditation of educator preparation programs.

Contact Person:

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Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Action Item G

Action Item:

Spalding University: Accreditation of the Educator Preparation Unit and Approval of Programs

Applicable Statute or Regulation:

KRS 161.028

16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the EPSB grant continuing accreditation to the Educator Preparation Unit and approve the initial and advanced level preparation programs at Spalding University?

Background:

A joint NCATE/state Board of Examiners (BOE) team conducted the on-site evaluation of the Educator Preparation Unit at Spalding University on October 16 – 18, 2011. The BOE team found all standards were met with five corrected areas for improvement, no continued areas for improvement, and one new area for improvement. The BOE also reviewed program review documents as part of the on-site visit and found them to be in compliance with guidelines established and approved by the EPSB. At its June 18, 2012 meeting, the Accreditation Audit Committee (AAC) reviewed the accreditation materials including but not limited to the Institutional Report, the BOE Reports, the IR Addendum, the Institutional Rejoinder, and the NCATE Action Report. (See attached minutes.)

The AAC reviewed the findings of the BOE team and agreed with its recommendation that all standards were met, with the following areas for improvement.

Corrected

Standard 2: Assessment System and Unit Evaluation

- 2) The unit makes limited use of information technologies to maintain its assessment system. (Initial and Advanced)

Standard 3: Field Experiences and Clinical Practice

- 2) School-based clinical faculty in the M.A. in Education Administration program do not participate in the evaluation of the candidates' field experiences. (Advanced)
- 3) The unit has not developed criteria for the selection and evaluation of school-based clinical faculty in the M.A. in Education Administration program. (Advanced)

Standard 6: Unit Governance and Resources

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- 1) The library has insufficient professional staff to assist candidates in their research. (Initial and Advanced)
- 2) Limited library resources are available to faculty and candidates. (Initial and Advanced)

Continued

None

New

Standard 2: Assessment System and Unit Evaluation

- 3) The unit does not regularly and systematically involve the professional community in the evaluation of its assessment system. (Initial and Advanced)

The AAC voted to agree with the findings of the BOE team as cited in the BOE Report. Pursuant to 16 KAR 5:010, Section 19, the AAC accepts the areas for improvement listed above and recommends: (1) ACCREDITATION and (2) APPROVAL OF THE INITIAL AND ADVANCED LEVEL EDUCATOR PREPARATION PROGRAMS at Spalding University.

Groups/Persons Consulted

Content Area Program Reviewers
Continuous Assessment Review Committee
Reading Committee
Joint NCATE/State Board of Examiners Team
Accreditation Audit Committee

Alternative Actions:

Issue One: Unit Accreditation

1. Accept the recommendation of the AAC and grant ACCREDITATION for Spalding University.
2. Modify the AAC recommendation and grant ACCREDITATION WITH CONDITIONS for Spalding University.
3. Do not accept the AAC recommendation and grant ACCREDITATION WITH PROBATION for Spalding University.

Issue Two: Program Approval

1. Accept the recommendation of the AAC and grant APPROVAL for the initial and advanced level educator preparation programs at Spalding University.
2. Modify the AAC recommendation and grant APPROVAL WITH CONDITIONS for the initial and advanced level educator preparation programs at Spalding University.
3. Do not accept the AAC recommendation and stipulate DENIAL OF APPROVAL for the initial and advanced level educator preparation programs at Spalding University.

AAC Recommendation:

Issue One: Alternative 1

Issue Two: Alternative 1

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Rationale:

The Joint NCATE/State BOE team and AAC followed national and state guidelines for accreditation of educator preparation programs.

Contact Person:

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Date:

August 6, 2012

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Action Item H

Action Item:

Charter for Literacy Preparation Advisory Committee

Applicable Statutes and Regulation:

16 KAR 5:010

Applicable Goal:

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

Issue:

Should the Education Professional Standards Board (EPSB) approve a charter to guide the work of a special committee to review and make recommendations to the board regarding literacy instruction requirements in middle school and high school initial certification programs, including those that result in P-12 certification?

Background:

Literacy encompasses an array of skills for reading, writing, speaking, and listening in a variety of contexts. As discussed in Dr. Brenda Overturf's presentation to the Education Professional Standards Board at its October 19, 2011, meeting, the need for improved adolescent literacy instruction in particular is great. As reported in that presentation, Kentucky's 2011 ACT data indicated that 49.5% of 11th grade test takers met the college readiness benchmark in English, compared to 66% nationally. In reading, 35.3% of Kentucky 11th grade test takers met the benchmark, compared to 52% nationally. Dr. Overturf's presentation is available at <http://www.epsb.ky.gov/boardinfo/meetingagendas.asp>.

The Literacy Preparation Advisory Committee will be charged with reviewing the literacy instruction preparation currently provided in Kentucky's educator preparation programs for middle school, high school, and P-12 initial certification areas; examining relevant research and information related to best practices in literacy preparation; and then making recommendations to the board regarding literacy instruction preparation.

Senate Bill 1 (2009) assigned several responsibilities to EPSB. These responsibilities include (a) ensuring that pre-service teachers and interns have the capacity to address the revised content standards and (b) collaborating with the Kentucky Department of Education to identify and address needs for improved pre-service writing instruction. Similarly, Senate Bill 163 (2010) charged the EPSB with examining educator preparation programs to ensure that all teachers are able to improve students' content-area reading skills.

The SB 1 directive refers to the Kentucky Core Academic Standards (KCAS), which include English Language Arts (ELA) standards for all instructional levels, P-12. The KCAS for ELA at the middle and high school levels identify specific standards for reading, writing, speaking and

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listening, and language; in addition, the KCAS for ELA include specific reading and writing standards for history/social studies, science, and technical subjects. Since the Literacy Preparation Advisory Committee will review the pre-service literacy preparation for teachers in all academic areas, it will address not only a directive of SB1 (2009) but also a directive of SB163 (2010).

The Literacy Preparation Advisory Committee will also review and take into consideration the findings of a writing study carried out in response to the SB 1 assignment to identify and address needs for improved pre-service writing instruction. A summary of the methodology and findings of that study are scheduled to be presented to the EPSB at its August 6, 2012, meeting. Key findings from the study, which focuses on writing teachers and their preparation to teach writing, relate to current practices in pre-service preparation, types of professional development valued by teachers, and instructional practices used by more effective teachers of writing.

Alternative Actions:

1. Approve the charter for the Literacy Preparation Advisory Committee.
2. Modify the charter for the Literacy Preparation Advisory Committee.
3. Do not approve the charter for the Literacy Preparation Advisory Committee.

Staff Recommendation:

Alternative 1

Rationale:

Approval of this charter will allow the Literacy Preparation Advisory Committee to move forward with reviewing the current status of pre-service literacy preparation in middle school, high school, and P-12 initial certification programs and making recommendations regarding literacy instruction in these pre-service preparation programs.

Contact Person:

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Date:

August 6, 2012

CHARTER
Literacy Preparation Advisory Committee

Purpose

The Literacy Preparation Advisory Committee will review the literacy instruction preparation currently provided in Kentucky's educator preparation programs for middle school, high school, and P-12 initial certification areas; examine relevant research and information related to best practices in literacy preparation; and make recommendations to the board regarding literacy instruction preparation.

Membership of the Committee

The committee will include representatives from public and independent colleges and universities, state agencies, P-12 schools, and advocacy groups.

Scope of Operation

The committee shall remain within statutory boundaries, but it may recommend regulatory, statutory, and policy changes to the EPSB.

All committee members are expected to make a time commitment to the work. EPSB staff will provide support to the committee and provide the necessary resources for the committee to complete its work.

Objectives

Following an examination of educator preparation programs' current literacy preparation for middle school, high school, and P-12 initial certification programs, a review of research and other relevant expert information, and consideration of P-12 students' literacy instruction needs, the Literacy Preparation Advisory Committee shall bring forth recommendations in the following areas:

1. Identification of key features of effective literacy preparation programs for middle and high school teachers.
2. Identification or creation of program and candidate standards that will ensure those key features of effective literacy preparation programs are present in all initial certification programs.
3. Reporting and evaluation procedures to ensure that all middle school, high school, and P-12 initial certification programs meet the identified standards.
4. Reporting and evaluation procedures to ensure that all candidates in middle school, high school, and P-12 initial certification programs meet the appropriate standards.

Time Frame

The Literacy Preparation Advisory Committee will be expected to submit an intermediate report to the EPSB by January 2013, with a final report offering specific recommendations for literacy preparation submitted by May 1, 2013.

**EDUCATION PROFESSIONAL STANDARDS BOARD
STAFF NOTE**

Alternative Route to Certification Application

Action Item:

Alternative Route to Certification Application

Applicable Statutes and Regulation:

KRS 161.028, 161.030, 161.048
16 KAR 9:010

Applicable Goal:

Goal II: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

Issue:

Should the Education Professional Standards Board approve the alternative route to certification application?

Background:

The following individual is requesting certification on the basis of exceptional work experience:

Brian Hinds, Theatre, All Grades

The application will be sent under separate cover.

Alternative Actions:

1. Approve the alternative route to certification application
2. Modify and approve the alternative route to certification application
3. Do not approve the alternative route to certification application

Contact Person:

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August 6, 2012